

Chapter 5: The Witness Reduction Technique

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Is #P closed under proper subtraction?



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Definition 8.2 (#P) #P is the set of all functions $f: \{0, 1\}^* \rightarrow \mathbb{N}$ such that there is a NPTM M such that for all $x \in \{0, 1\}^*$,

$f(x)$ = number of accepting branches in M 's computation graph on x



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Proper Subtraction (\ominus) $a \ominus b = \max(\{0, a - b\})$



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Proper Subtraction (\ominus) $a \ominus b = \max(\{0, a - b\})$

Let σ be an operation from $\mathbb{N} \times \mathbb{N}$ to \mathbb{N} and let \mathcal{F} be a class of functions from \mathbb{N} to \mathbb{N} .

We say that \mathcal{F} is closed under (the operation) σ if

$$(\forall f_1 \in \mathcal{F})(\forall f_2 \in \mathcal{F})[h_{f_1, f_2} \in \mathcal{F}]$$

where $h_{f_1, f_2}(n) = \sigma[f_1(n), f_2(n)]$



Other #P Closures

Example: #P is closed under *addition* | Example: #P is closed under *multiplication*

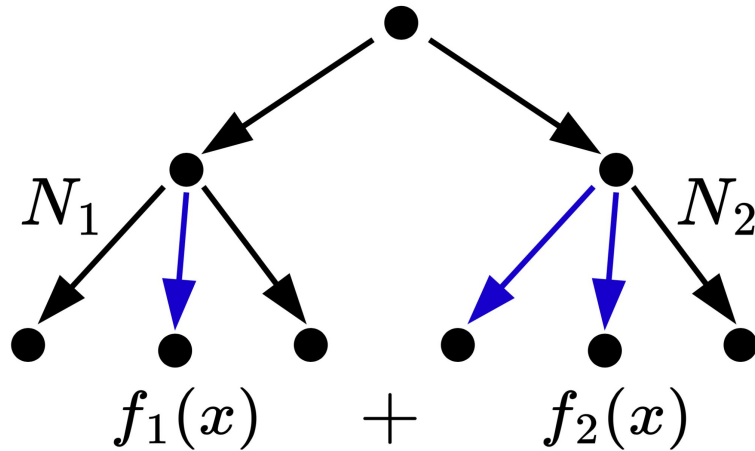
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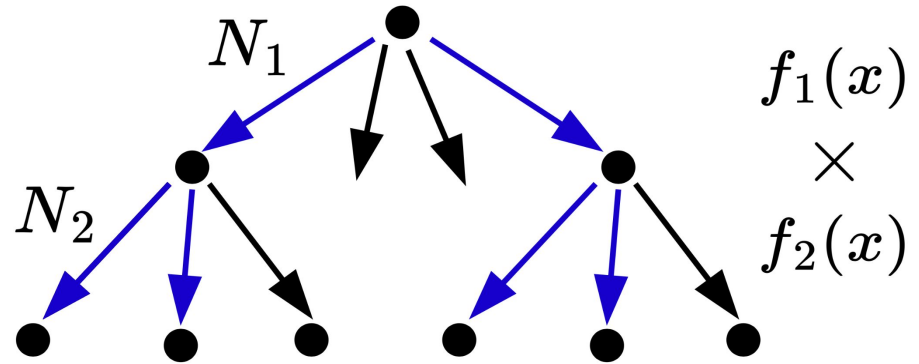


Other #P Closures

Example: #P is closed under *addition*



Example: #P is closed under *multiplication*



Preliminary Definitions

Predicate (does N accept x on y)

Polynomial length of path

Certificates (paths in N)

$$\{ y \mid |y| \leq q(|x|) \wedge R(x, y) \}$$



Preliminary Definitions

Definition of UP

A language L is in **UP** if there is a polynomial-time predicate R and a polynomial q such that for all x ,

$$|\{y \mid |y| \leq q(|x|) \wedge R(x, y)\}| = \begin{cases} 0 & \text{if } x \notin L \\ 1 & \text{if } x \in L \end{cases}$$



Preliminary Definitions

Definition of PP

A language L is in **PP** if there exists a polynomial q and a polynomial-time predicate R such that for all x ,

$$|\{y \mid |y| = q(|x|) \wedge R(x, y)\}| = \begin{cases} < 2^{q(|x|) - 1} & \text{if } x \notin L \\ \geq 2^{q(|x|) - 1} & \text{if } x \in L \end{cases}$$



Preliminary Definitions

Definition of $\oplus\mathbf{P}$

A language L is in $\oplus\mathbf{P}$ if there exists a polynomial q and a polynomial-time predicate R such that for all x ,

$$|\{y \mid |y| \leq q(|x|) \wedge R(x, y)\}| = \begin{cases} \text{even} & \text{if } x \notin L \\ \text{odd} & \text{if } x \in L \end{cases}$$

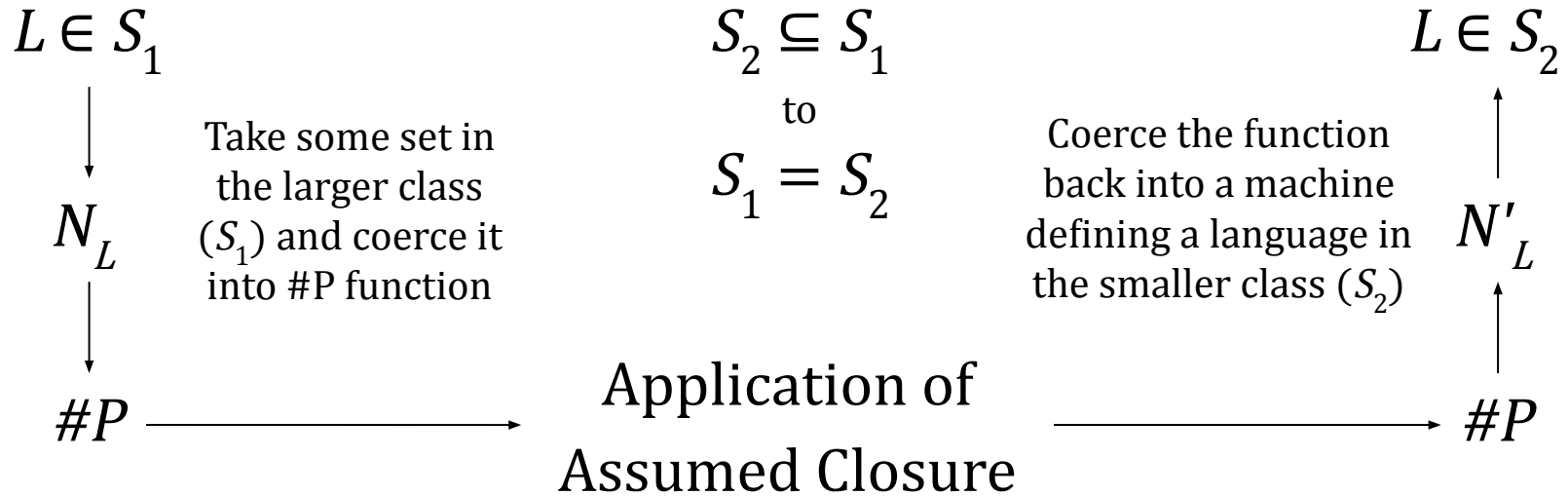


Witness Reduction Technique

- Witness?
- Adding is easy, removing is difficult
- Consequences of the possibility of removing witnesses
- Complexity class collapse
- Idea of the technique



Witness Reduction Technique



Is #P closed under proper subtraction?

- Find a collapse that characterizes the closure
- Operator ‘completeness’ for #P



Is #P closed under proper subtraction?

Theorem 5.6 *The following statements are equivalent*

1. #P is closed under proper subtraction.
2. #P is closed under every polynomial-time computable operation
3. $UP = PP$



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Theorem 5.6 *The following statements are equivalent*

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1. Closed ($\#P, \Theta$)
2. Closed ($\#P, op$) (op is any arbitrary polynomial-time computable operation)
3. UP = PP

Is #P closed under proper subtraction?

$$\text{Closed}(\#P, \ominus) \rightarrow \mathbf{UP} = \mathbf{PP}$$

$$\text{Closed}(\#P, \ominus) \rightarrow \mathbf{PP} \subseteq \mathbf{UP} \wedge \mathbf{UP} \subseteq \mathbf{PP}$$

UP \subseteq **PP** by direct proof



Is #P closed under proper subtraction?

UP \subseteq **PP** by direct proof

1. Let L be a UP language and let N be the NPTM that accepts L
2. Let N' be a NPTM with the same depth, $q(|x|)$, as N and accepts on all paths but one
3. Let N_{pp} be a NPTM that chooses between simulating N and N'

Computation Paths : $2^{q(|x|) + 1}$

Accepting Paths : $2^{q(|x|)}$ ($N: 1, N': 2^{q(|x|)} - 1$)



Is #P closed under proper subtraction?

$$\text{Closed}(\#P, \Theta) \rightarrow \mathbf{UP} = \mathbf{PP}$$

$$\text{Closed}(\#P, \Theta) \rightarrow \mathbf{PP} \subseteq \mathbf{UP} \wedge \mathbf{UP} \subseteq \mathbf{PP}$$

$\mathbf{UP} \subseteq \mathbf{PP}$ by direct proof

$$\text{Closed}(\#P, \Theta) \rightarrow \mathbf{PP} \subseteq \mathbf{UP}$$

$$\text{Closed}(\#P, \Theta) \rightarrow \mathbf{PP} \subseteq \mathbf{CoNP} \wedge \mathbf{CoNP} \subseteq \mathbf{UP}$$



Is #P closed under proper subtraction?

$$\text{Closed}(\#P, \ominus) \rightarrow \mathbf{PP} \subseteq \mathbf{CoNP}$$

$L \in \mathbf{PP}$

$$L = \{ x \mid |\{ y \mid |y| = q(|x|) \wedge R(x, y) \}| \geq 2^{q(|x|) - 1} \}$$

Let $q'(|x|) = q(|x|) + 1$ and for $b \in \{0, 1\}$, $R'(x, yb) = R(x, y)$ and, for all n , $q(n) \geq 1$ (avoid $q(|x|) = 0$)



Is #P closed under proper subtraction?

$$\text{Closed}(\#\mathbf{P}, \ominus) \rightarrow \mathbf{PP} \subseteq \mathbf{CoNP}$$

Let N be an NPTM that on input x guesses each y such that $|y| = q(|x|)$ then tests $R(x, y)$

The #P function f defined by this NPTM has that

$$x \in L \rightarrow f(x) \geq 2^{q(|x|) - 1}$$

$$x \notin L \rightarrow f(x) < 2^{q(|x|) - 1}$$



Is #P closed under proper subtraction?

$$\text{Closed}(\#\mathbf{P}, \ominus) \rightarrow \mathbf{PP} \subseteq \mathbf{CoNP}$$

$g(x) = 2^{q(|x|)} - 1$ is a #P function

By assumption of closure under proper subtraction

$$h(x) = f(x) \ominus g(x)$$

is a #P function, and by substituting yields

$$h(x) \geq 1 \quad \text{if } x \in L$$

$$h(x) = 0 \quad \text{if } x \notin L \quad \text{Clearly } \mathbf{NP}, \text{ but ...}$$



Is #P closed under proper subtraction?

$$\text{Closed}(\#P, \ominus) \rightarrow \mathbf{PP} \subseteq \mathbf{CoNP}$$

There exists a NPTM N for which h computes the number of accepting paths

The values of h are such that N is an NP machine, so the arbitrary \mathbf{PP} language is in \mathbf{NP}

It follows that $\mathbf{PP} \subseteq \mathbf{NP}$

$\mathbf{PP} = \mathbf{CoPP} \rightarrow \mathbf{PP} \subseteq \mathbf{CoNP}$



Is #P closed under proper subtraction?

$$\text{Closed}(\#\mathbf{P}, \Theta) \rightarrow \text{CoNP} \subseteq \text{UP}$$

Let L be an arbitrary **CoNP** language

There exists a NPTM N that accepts \bar{L}

N defines a #P function f such that

$$x \in L \rightarrow f(x) = 0$$

$$x \notin L \rightarrow f(x) \geq 1$$



Is #P closed under proper subtraction?

$$\text{Closed}(\#P, \ominus) \rightarrow \text{CoNP} \subseteq \text{UP}$$

The constant function $g(x) = 1$ is a #P function

By assumption of closure under proper subtraction

$$h(x) = g(x) \ominus f(x)$$

is a #P function, and by substituting yields

$$h(x) = 1 \quad \text{if } x \in L$$

$$h(x) = 0 \quad \text{if } x \notin L$$

h corresponds to a UP machine



Is #P closed under proper subtraction?

Theorem 5.6 *The following statements are equivalent*

1. #P is closed under proper subtraction.
 2. #P is closed under every polynomial-time computable operation
 3. **UP = PP**
- ?



Is #P closed under proper subtraction?

$$\mathbf{UP} = \mathbf{PP} \rightarrow \text{Closed}(\#\mathbf{P}, op)$$

1. Let op be an arbitrary polynomial-time computable operation
2. Let f and g be arbitrary #P functions

$$B_f = \{ \langle x, n \rangle \mid f(x) \geq n \} \in \mathbf{PP}$$

$$B_g = \{ \langle x, n \rangle \mid g(x) \geq n \} \in \mathbf{PP}$$



Is #P closed under proper subtraction?

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1. Let op be an arbitrary polynomial-time computable operation
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$$B_f = \{ \langle x, n \rangle \mid f(x) \geq n \} \in \mathbf{PP}$$

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$$V = \{ \langle x, n_1, n_2 \rangle \mid \langle x, n_1 \rangle \in B_f \wedge \langle x, n_1 + 1 \rangle \notin B_f \wedge \\ \langle x, n_2 \rangle \in B_g \wedge \langle x, n_2 + 1 \rangle \notin B_g \}$$



Is #P closed under proper subtraction?

$$\mathbf{UP} = \mathbf{PP} \rightarrow \text{Closed}(\#\mathbf{P}, op)$$

V gives precise values of $f(x)$ and $g(x)$ by testing adjacent ns to find transition points in B_f and B_g

V 4-truth-table reduces to the language $B_f \oplus B_g$

\oplus denotes disjoint Union: $Y \oplus Z = \{0x \mid x \in Y\} \cup \{1x \mid x \in Z\}$



Is #P closed under proper subtraction?

$$\mathbf{UP} = \mathbf{PP} \rightarrow \text{Closed}(\#\mathbf{P}, op)$$

V gives precise values of $f(x)$ and $g(x)$ by testing adjacent ns to find transition points in B_f and B_g

Corollary 9.17 \mathbf{PP} is closed under polynomial time bounded-truth-table reductions and disjoint union $\rightarrow V \in \mathbf{PP}$

$V \in \mathbf{UP}$ by assumption that $\mathbf{UP} = \mathbf{PP}$



Is #P closed under proper subtraction?

$$\mathbf{UP} = \mathbf{PP} \rightarrow \text{Closed}(\#\mathbf{P}, op)$$

f and g are #P functions, so for some polynomial q and for all x
 $\max\{f(x), g(x)\} \leq 2^{q(|x|)}$

Consider the **NP** machine N that on input x

1. Nondeterministically choose an integer i , $0 \leq i \leq 2^{q(|x|)}$
2. Nondeterministically choose an integer j , $0 \leq j \leq 2^{q(|x|)}$
3. Guesses a computation path of V on input $\langle x, i, j \rangle$. If the path rejects, reject. Otherwise, nondeterministically guess an integer k , $1 \leq k \leq op(i, j)$ and accept.



Is #P closed under proper subtraction?

$$\mathbf{UP} = \mathbf{PP} \rightarrow \text{Closed}(\#\mathbf{P}, op)$$

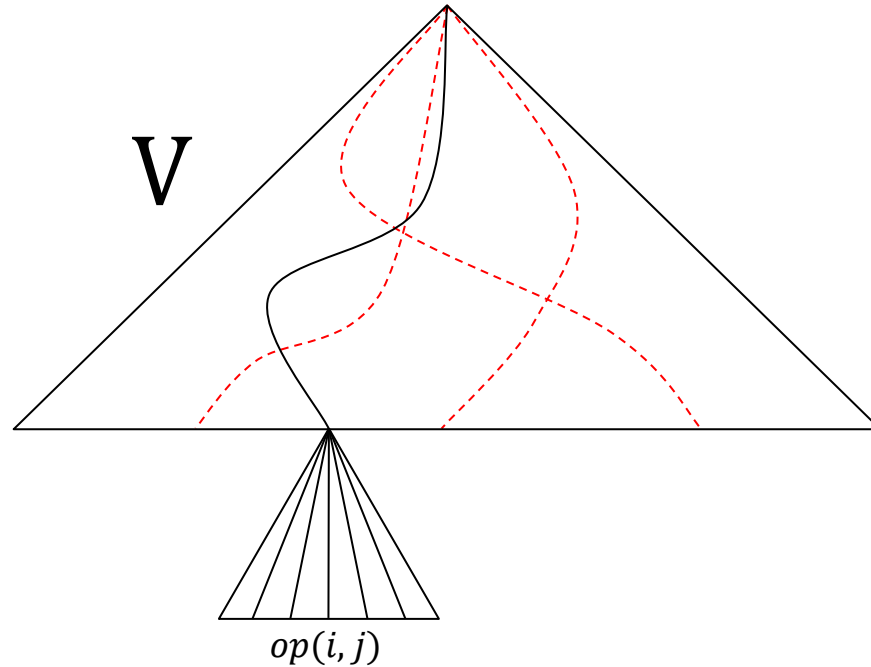
For all $i \neq f(x)$ and $j \neq g(x)$, $V(\langle x, i, j \rangle)$ rejects

For the correct i and j , $N(x)$ accepts along precisely $op(i, j)$ paths

The #P function defined by this machine is $h(x) = op(f(x), g(x))$



Is #P closed under proper subtraction?



How Significant is the Collapse?



How Significant is the Collapse?

Theorem 5.7 *The following statements are equivalent*

1. $UP = PP$
2. $UP = NP = CoNP = PH = \bigoplus P = PP = PP \cup PP^{PP} \cup \dots$



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1. $UP = PP$
2. $UP = NP = CoNP = PH = \bigoplus P = PP = PP \cup PP^{PP} \cup \dots$

Prove each of the above in sequence



How Significant is the Collapse?

$$\mathbf{UP} = \mathbf{NP} = \mathbf{CoNP} = \mathbf{PH} = \bigoplus \mathbf{P} = \mathbf{PP} = \mathbf{PP} \cup \mathbf{PP}^{\mathbf{PP}} \cup \dots$$

NP \subseteq **PP**

1. Let $L \in \mathbf{NP}$ and let N be the NPTM that accepts L
2. Let N' be a NPTM with the same depth, $q(|x|)$, as N and accepts on all paths but one
3. Let $N_{\mathbf{PP}}$ be a NPTM that chooses between simulating N and N'

Computation Paths : $2^{q(|x|) + 1}$

Accepting Paths : $\geq 2^{q(|x|)}$ ($N : \geq 1, N' : 2^{q(|x|)} - 1$)



How Significant is the Collapse?

$$\mathbf{UP} = \mathbf{NP} = \mathbf{CoNP} = \mathbf{PH} = \bigoplus \mathbf{P} = \mathbf{PP} = \mathbf{PP} \cup \mathbf{PP}^{\mathbf{PP}} \cup \dots$$

$$\mathbf{UP} \subseteq \mathbf{NP} \subseteq \mathbf{PP}, \mathbf{UP} = \mathbf{PP} \rightarrow \mathbf{UP} = \mathbf{NP}$$

1. Let $L \in \mathbf{NP}$ and let N be the NPTM that accepts L
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How Significant is the Collapse?

$$\mathbf{UP} = \mathbf{NP} = \mathbf{CoNP} = \mathbf{PH} = \bigoplus \mathbf{P} = \mathbf{PP} = \mathbf{PP} \cup \mathbf{PP}^{\mathbf{PP}} \cup \dots$$

$$\mathbf{PP} = \mathbf{CoPP}$$

1. Let $L \in \mathbf{PP}$ and let N be the NPTM that accepts L
2. Let N' be equivalent to N , but ensuring the rightmost path rejects
3. Let $N_{\mathbf{CoPP}}$ a NPTM that takes N' and expands down one level

For the rightmost leaf node of N' , one child accepts and one rejects

For accepting leaf nodes of N' , both children reject

For rejecting leaf nodes of N' , both children accept



How Significant is the Collapse?

$$\mathbf{UP} = \mathbf{NP} = \mathbf{CoNP} = \mathbf{PH} = \bigoplus \mathbf{P} = \mathbf{PP} = \mathbf{PP} \cup \mathbf{PP}^{\mathbf{PP}} \cup \dots$$

$$\mathbf{PP} = \mathbf{CoPP}$$

1. Let $L \in \mathbf{PP}$ and let N be the NPTM that accepts L
2. Let N' be equivalent to N , but ensuring the rightmost path rejects
3. Let y be the number of accepting paths in N'
4. Let $h - 1$ be the depth of N

Rejecting Paths : $2y + 1$

Accepting Paths : $2^{h+1} - 2y - 1$



How Significant is the Collapse?

$$\mathbf{UP} = \mathbf{NP} = \mathbf{CoNP} = \mathbf{PH} = \bigoplus \mathbf{P} = \mathbf{PP} = \mathbf{PP} \cup \mathbf{PP}^{\mathbf{PP}} \cup \dots$$

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1. Let $L \in \mathbf{PP}$ and let N be the NPTM that accepts L
2. Let N' be equivalent to N , but ensuring the rightmost path rejects
3. Let y be the number of accepting paths in N'
4. Let $h - 1$ be the depth of N

$$\mathbf{PP} = \mathbf{CoPP}, \mathbf{NP} = \mathbf{PP} \rightarrow \mathbf{NP} = \mathbf{CoNP}$$



How Significant is the Collapse?

$$\mathbf{UP = NP = CoNP = PH = \bigoplus P = PP = PP \cup PP^{PP} \cup \dots}$$

$$\mathbf{NP = CoNP \rightarrow NP^{NP \cap CoNP} = NP^{NP} = NP \rightarrow PH = NP}$$



How Significant is the Collapse?

$$\mathbf{UP} = \mathbf{NP} = \mathbf{CoNP} = \mathbf{PH} = \bigoplus \mathbf{P} = \mathbf{PP} = \mathbf{PP} \cup \mathbf{PP}^{\mathbf{PP}} \cup \dots$$

$$\mathbf{P}^{\mathbf{NP}} \subseteq \mathbf{PH}, \mathbf{NP} = \mathbf{UP} \rightarrow \mathbf{P}^{\mathbf{UP}} \subseteq \mathbf{PH}, \mathbf{UP} = \mathbf{PH} \rightarrow \mathbf{P}^{\mathbf{UP}} = \mathbf{UP}$$



How Significant is the Collapse?

$$\mathbf{UP = NP = CoNP = PH = \bigoplus P = PP = PP \cup PP^{PP} \cup \dots}$$

$$\mathbf{P^{NP} \subseteq PH, NP = UP \rightarrow P^{UP} \subseteq PH, UP = PH \rightarrow P^{UP} = UP}$$

$$\mathbf{\text{Lemma 4.14} \rightarrow PP^{\bigoplus P} \subseteq P^{PP}, P^{PP} = UP, UP \subseteq \bigoplus P \subseteq PP^{\bigoplus P} \\ \rightarrow UP = \bigoplus P}$$



How Significant is the Collapse?

$$\mathbf{UP} = \mathbf{NP} = \mathbf{CoNP} = \mathbf{PH} = \bigoplus \mathbf{P} = \mathbf{PP} = \mathbf{PP} \cup \mathbf{PP}^{\mathbf{PP}} \cup \dots$$

$$\mathbf{P}^{\mathbf{NP}} \subseteq \mathbf{PH}, \mathbf{NP} = \mathbf{UP} \rightarrow \mathbf{P}^{\mathbf{UP}} \subseteq \mathbf{PH}, \mathbf{UP} = \mathbf{PH} \rightarrow \mathbf{P}^{\mathbf{UP}} = \mathbf{UP}$$

$$\text{Lemma 4.14} \rightarrow \mathbf{PP}^{\bigoplus \mathbf{P}} \subseteq \mathbf{P}^{\mathbf{PP}}, \mathbf{PP}^{\mathbf{PP}} = \mathbf{UP}, \mathbf{UP} \subseteq \bigoplus \mathbf{P} \subseteq \mathbf{PP}^{\bigoplus \mathbf{P}}$$

$$\rightarrow \mathbf{UP} = \bigoplus \mathbf{P}$$

$$\bigoplus \mathbf{P} = \mathbf{PP}, \mathbf{PP}^{\bigoplus \mathbf{P}} = \mathbf{PP} \rightarrow \mathbf{PP}^{\mathbf{PP}} = \mathbf{PP}^{\bigoplus \mathbf{P}} = \mathbf{PP}$$

→ any stack of **PP**s of arbitrary height can be reduced to **PP**



More Witness Reduction

Theorem 5.9 *The following statements are equivalent*

1. **#P** is closed under integer division
2. **#P** is closed under every polynomial-time computable operation
3. **UP = PP**

Definition 5.8 Let \mathcal{F} be a class of functions from \mathbb{N} to \mathbb{N} . We say that \mathcal{F} is closed under integer division (\oslash) if

$$(\forall f_1 \in \mathcal{F})(\forall f_2 \in \mathcal{F} : (\forall n)[f_2(n) > 0])[f_1 \oslash f_2 \in \mathcal{F}]$$

More Witness Reduction

Theorem 5.9 *The following statements are equivalent*

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2. **#P** is closed under every polynomial-time computable operation
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More Witness Reduction

$$\text{Closed}(\#\mathbf{P}, \emptyset) \rightarrow \mathbf{UP} = \mathbf{PP}$$

Let L be any \mathbf{PP} set, there is a NPTM N and integer $k \geq 1$ such that

1. On each input x , $N(x)$ has exactly $2^{|x|^k}$ computation paths, each containing $|x|^k$ binary choices,
2. On each input x , $x \in L$ iff $N(x)$ has at least $2^{|x|^k} - 1$ accepting paths, and
3. On each input x , $N(x)$ has at least one rejecting path.



More Witness Reduction

$$\text{Closed}(\#\mathbf{P}, \oslash) \rightarrow \mathbf{UP} = \mathbf{PP}$$

Let f be the $\#\mathbf{P}$ function for N

Let g be the $\#\mathbf{P}$ function $g(x) = 2^{|x|^k} - 1$

By assumption of closure under integer division

$$h(x) = f(x) \oslash g(x)$$

is a $\#\mathbf{P}$ function



More Witness Reduction

Closed($\#P, \emptyset$) \rightarrow **UP** = **PP**

$$\text{If } x \in L, \mathfrak{h}(x) = \left\lfloor \frac{2^{|x|^k - 1} \leq f(x) \leq 2^{|x|^k} - 1}{2^{|x|^k - 1}} \right\rfloor = 1$$

$$\text{If } x \notin L, \mathfrak{h}(x) = \left\lfloor \frac{0 \leq f(x) < 2^{|x|^k - 1}}{2^{|x|^k - 1}} \right\rfloor = 0$$

The NPTM corresponding to \mathfrak{h} is a **UP** machine for L

Intermediate Potential Closure Properties



P vs NP Analogues

2CNF-SAT \in P

Closed($\#P$, +)

SAT is NP-complete

Closed($\#P$, Θ) \Leftrightarrow
 \forall poly-time op , Closed($\#P$, op)

SAT \in P \Leftrightarrow P = NP

Closed($\#P$, Θ) \Leftrightarrow UP = PP

PRIME \in NP, not known to be
NP-complete or in P

????



Is #P closed under proper decrement?

- Decrement a restrictive form of subtraction
 - Intuitively more likely that #P could be closed under proper decrement than proper subtraction
- Ideal result:
 - Two classes C and D s.t.:
#P is closed under proper decrement if and only if $C = D$
- How to approach this? Witness reduction technique!

Is #P closed under proper decrement?

Theorem 5.11

1. If #P is closed under proper decrement, then $\text{NP} \subseteq \text{SPP}$.
2. If $\text{UP} = \text{NP}$, then #P is closed under proper decrement.



SPP - Generalized UP

Polynomial-time computable function f
NPTM N

$$x \notin L \implies \#acc_N(x) = f(x) - 1$$

$$x \in L \implies \#acc_N(x) = f(x)$$



Is #P closed under proper decrement?

Theorem 5.11

1. If #P is closed under proper decrement, then $NP \subseteq SPP$.
2. If $UP = NP$, then #P is closed under proper decrement.



$\text{Closed}(\#P, \Theta 1) \implies \text{NP} \subseteq \text{SPP}$

$L \in \text{NP} \longrightarrow \text{NPTM } N \longrightarrow f(x) = \#\text{acc}_N(x) \in \#P$



$$\text{Closed}(\#P, \Theta 1) \implies \text{NP} \subseteq \text{SPP}$$

$$L \in \text{NP} \longrightarrow \text{NPTM } N \longrightarrow f(x) = \#\text{acc}_N(x) \in \#P$$

assume closure under proper decrement

$$g(x) = f(x) \ominus 1 \in \#P$$



Closed($\#P, \Theta 1$) \implies NP \subseteq SPP

$$\begin{array}{l} L \in \text{NP} \longrightarrow \text{NPTM } N \longrightarrow f(x) = \#\text{acc}_N(x) \in \#P \\ \text{assume closure under proper decrement} \quad \downarrow \\ g(x) = f(x) \ominus 1 \in \#P \\ \text{reverse acceptance behavior of all paths} \quad \downarrow \\ 2^{p(|x|)} - g(x) \in \#P \end{array}$$



Closed($\#P, \Theta 1$) \implies NP \subseteq SPP

$$\begin{array}{l} L \in \text{NP} \longrightarrow \text{NPTM } N \longrightarrow f(x) = \#\text{acc}_N(x) \in \#P \\ \text{assume closure under proper decrement} \quad \downarrow \\ g(x) = f(x) \ominus 1 \in \#P \\ \text{reverse acceptance behavior of all paths} \quad \downarrow \\ 2^{p(|x|)} - g(x) \in \#P \\ \text{\#P is closed under addition} \quad \downarrow \\ f(x) + 2^{p(|x|)} - g(x) \in \#P \end{array}$$



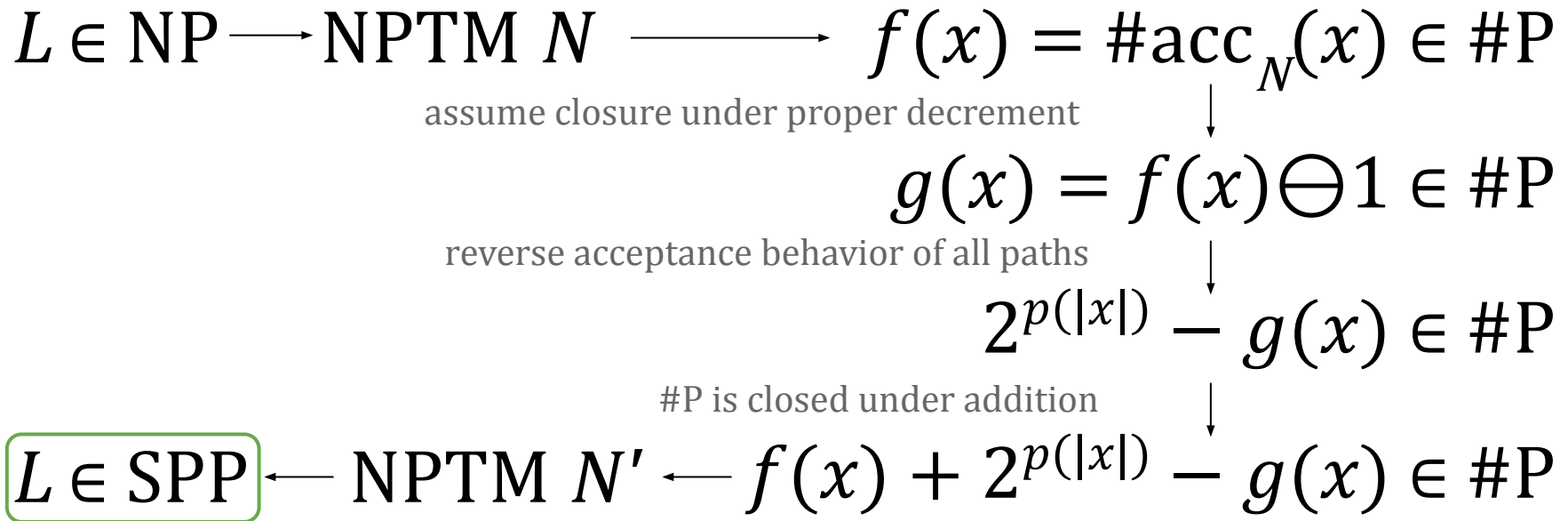
Closed($\#P, \ominus 1$) \implies NP \subseteq SPP

$$x \notin L \rightarrow f(x) = 0 \rightarrow 2^{p(|x|)} + 0 - (0 \ominus 1) = 2^{p(|x|)}$$

$$x \in L \rightarrow f(x) > 0 \rightarrow 2^{p(|x|)} + f(x) - (f(x) - 1) = 2^{p(|x|)} + 1$$

$$f(x) + 2^{p(|x|)} - g(x) \in \#P$$

Closed($\#P, \ominus 1$) $\implies NP \subseteq SPP$



Is #P closed under proper decrement?

Theorem 5.11

1. If #P is closed under proper decrement, then $NP \subseteq SPP$.
2. If $UP = NP$, then #P is closed under proper decrement.



$UP = NP \implies \text{Closed}(\#P, \Theta 1)$

$f \in \#P \longrightarrow \exists \text{ NPTM } N \text{ s.t. } \#acc_N(x) = f(x)$

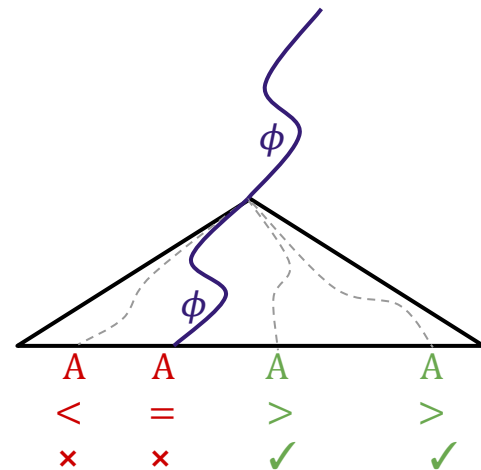
$B = \{ \langle x, \phi \rangle : \phi \text{ is an accepting path of } N(x) \wedge \\ \exists \phi' \text{ s.t. } \phi < \phi' \wedge \phi' \text{ is acc. path of } N(x) \}$



UP = NP \implies Closed($\#P, \Theta 1$)

$f \in \#P \longrightarrow \exists$ NPTM N s.t. $\#acc_N(x) = f(x)$

$B = \{ \langle x, \phi \rangle : \phi \text{ is an accepting path of } N(x) \wedge \exists \phi' \text{ s.t. } \phi < \phi' \wedge \phi' \text{ is acc. path of } N(x) \}$



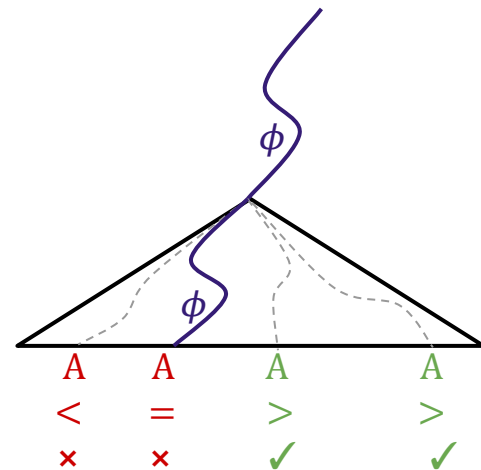
UP = NP \implies Closed($\#P, \Theta 1$)

$$f \in \#P \longrightarrow \exists \text{ NPTM } N \text{ s.t. } \#\text{acc}_N(x) = f(x)$$

$$B = \{ \langle x, \phi \rangle : \phi \text{ is an accepting path of } N(x) \wedge \\ \exists \phi' \text{ s.t. } \phi < \phi' \wedge \phi' \text{ is acc. path of } N(x) \}$$

by assumption that UP = NP

$$B \in \text{NP} \longrightarrow B \in \text{UP}$$



UP = NP \implies Closed($\#P, \Theta 1$)

$f \in \#P \longrightarrow \exists$ NPTM N s.t. $\#acc_N(x) = f(x)$

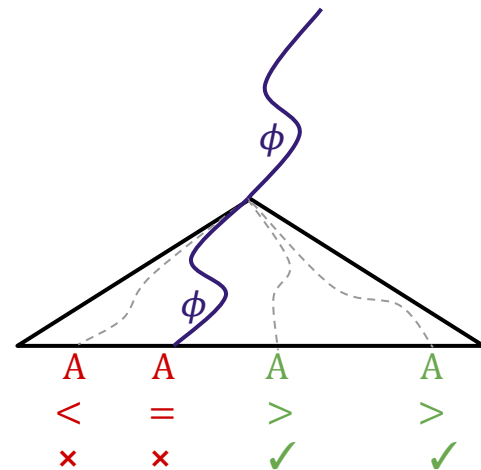
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$B \in NP \longrightarrow B \in UP$



\exists NPTM N' s.t. $L(N') = B \wedge \#acc_{N'}(x) \leq 1$

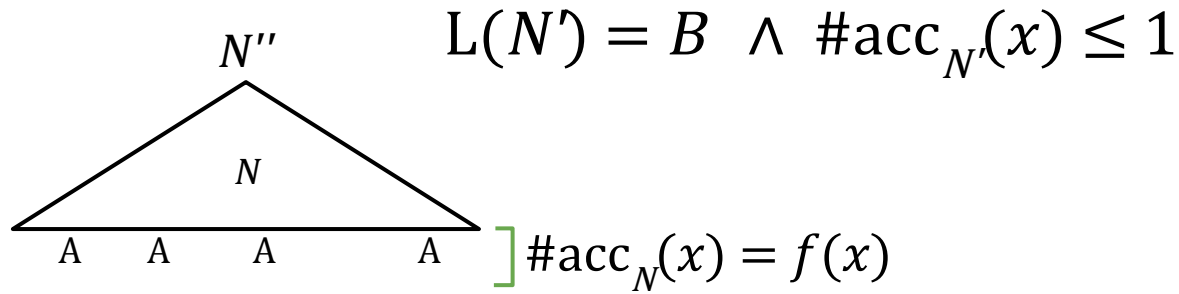


$UP = NP \implies \text{Closed}(\#P, \Theta 1)$

$$L(N') = B \wedge \#acc_{N'}(x) \leq 1$$

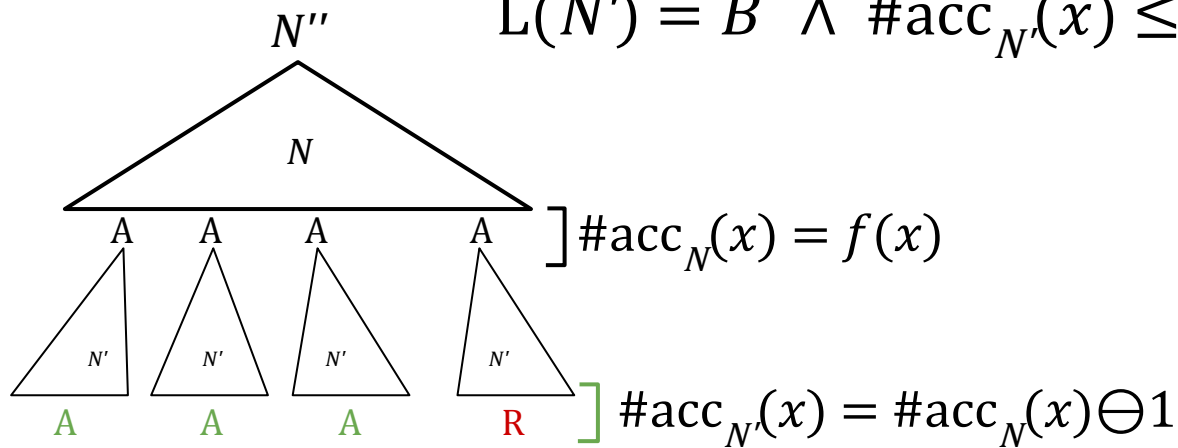


$UP = NP \implies \text{Closed}(\#P, \Theta 1)$

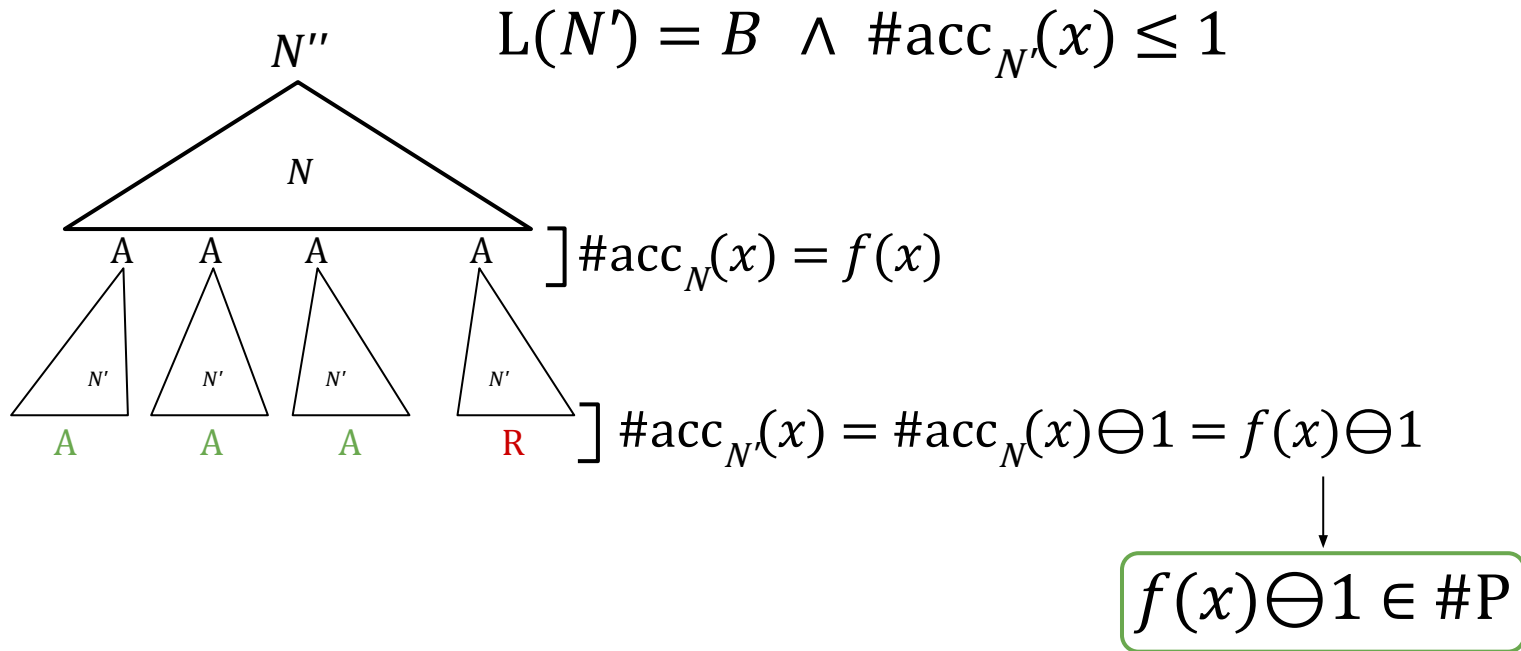


UP = NP \implies Closed(#P, $\ominus 1$)

$$L(N') = B \wedge \#acc_{N'}(x) \leq 1$$



UP = NP \implies Closed(#P, $\ominus 1$)



Is #P closed under integer division by 2?

- Restricting subtraction to decrement was successful
- Restricting division to division by 2 might be similar



Is #P closed under integer division by 2?

- Restricting subtraction to decrement was successful
- Restricting division to division by 2 might be similar

Theorem 5.12

If #P is closed under integer division by two, then $\oplus P = SPP$
(and thus $PH \subseteq PP$)

Note: $SPP \subseteq \oplus P$ holds unconditionally, we need only show that $\oplus P \subseteq SPP$.



Closed($\#P, \emptyset^2$) $\implies \oplus P = SPP$

$L \in \oplus P \longrightarrow$ NPTM $N \longrightarrow f(x) = \#acc_N(x) \in \#P$



$$\text{Closed}(\#P, \leq 2) \implies \oplus P = \text{SPP}$$

$$L \in \oplus P \longrightarrow \text{NPTM } N \longrightarrow f(x) = \#\text{acc}_N(x) \in \#P$$

assume closure under integer division by 2

$$g(x) = 2(f(x) \leq 2) \in \#P$$



$$\text{Closed}(\#P, \not\equiv 2) \implies \oplus P = \text{SPP}$$

$$L \in \oplus P \longrightarrow \text{NPTM } N \longrightarrow f(x) = \#\text{acc}_N(x) \in \#P$$

assume closure under integer division by 2

$$g(x) = 2(f(x) \not\equiv 2) \in \#P$$

reverse acceptance behavior of all paths

$$2^{p(|x|)} - f(x) \in \#P$$



$$\text{Closed}(\#P, \not\equiv 2) \implies \oplus P = \text{SPP}$$

$$L \in \oplus P \longrightarrow \text{NPTM } N \longrightarrow f(x) = \#\text{acc}_N(x) \in \#P$$

assume closure under integer division by 2

$$g(x) = 2(f(x) \not\equiv 2) \in \#P$$

reverse acceptance behavior of all paths

$$2^{p(|x|)} - f(x) \in \#P$$

#P is closed under addition

$$2^{p(|x|)} - f(x) + 2(f(x) \not\equiv 2) \in \#P$$



$$\text{Closed}(\#P, \oplus 2) \implies \oplus P = \text{SPP}$$

$$x \notin L \rightarrow f(x) \text{ even} \rightarrow 2^{p(|x|)} - f(x) + 2(f(x)/2) = 2^{p(|x|)}$$

$$x \in L \rightarrow f(x) \text{ odd} \rightarrow 2^{p(|x|)} - f(x) + 2(f(x)-1)/2 = 2^{p(|x|)} - 1$$

$$2^{p(|x|)} - f(x) + 2(f(x) \oplus 2) \in \#P$$



$$\text{Closed}(\#P, \not\equiv 2) \implies \oplus P = \text{SPP}$$

$$L \in \oplus P \longrightarrow \text{NPTM } N \longrightarrow f(x) = \#\text{acc}_N(x) \in \#P$$

assume closure under integer division by 2

$$g(x) = 2(f(x) \not\equiv 2) \in \#P$$

reverse acceptance behavior of all paths

$$2^{p(|x|)} - f(x) \in \#P$$

#P is closed under addition

$$2^{p(|x|)} - f(x) + 2(f(x) \not\equiv 2) \in \#P$$

NPTM N'

$L \in \text{SPP}$



Other operators?

We know $UP = NP \Rightarrow \text{Closed}(\#P, \Theta 1)$, is there any operator that can reverse the direction?



Closed($\#P, ???$) \implies UP = NP

UP is like NP with *at most* 1 accepting path

For NPTM N where $f(x) = \#acc_N(x)$, what function could we use to coerce this into a UP machine?



Closed($\#P$, min) \implies UP = NP

UP is like NP with *at most* 1 accepting path

For NPTM N where $f(x) = \#acc_N(x)$, what function could we use to coerce this into a UP machine?

$$\min(f(x), 1)$$

(Theorem 5.13.1)



Closed($\#P$, min) \implies UP = NP

$L \in \text{NP} \longrightarrow f(x) \in \#P$

↓

$L \in \text{UP} \longleftarrow \min(f(x), 1) \in \#P$



$$\text{Closed}(\#P, \min) \implies \text{Closed}(\#P, \Theta 1)$$

Recall that $UP = NP \implies \text{Closed}(\#P, \Theta 1)$

We just proved $\text{Closed}(\#P, \min) \implies UP = NP$

So as a direct corollary we get

$$\text{Closed}(\#P, \min) \implies \text{Closed}(\#P, \Theta 1)$$

We can continue chaining prior results to get

$$\text{Closed}(\#P, \min) \implies NP \subseteq SPP$$



Is #P closed under min/max?

Theorem 5.13

1. If #P is closed under minimum then $UP = NP$ ✓
2. If #P is closed under maximum or under minimum then $C=P = SPP$



SPP - Generalized UP

Polynomial-time computable function f
NPTM N

$$x \notin L \implies \#acc_N(x) = f(x) - 1$$

$$x \in L \implies \#acc_N(x) = f(x)$$



$C_{=P}$ - Exact Counting

Polynomial-time computable function f
NPTM N

$$x \in L \iff \#\text{acc}_N(x) = f(x)$$



C_P - Alternate Definition

Polynomial q

Polynomial-time predicate R

Polynomial-time function f

$$x \in L \iff \|\{y : |y| = q(|x|) \wedge R(x, y)\}\| = f(x)$$



C_P - Alternate Definition

Polynomial q -----

Polynomial-time predicate R

Polynomial-time function f

$$x \in L \iff \|\{y : |y| = q(|x|) \wedge R(x, y)\}\| = f(x)$$

Certificates (paths in N) Polynomial length of path



C_P - Alternate Definition

Polynomial q

Polynomial-time predicate R

Polynomial-time function f

$$x \in L \iff \|\{y : |y| = q(|x|) \wedge R(x, y)\}\| = f(x)$$

Does N accept x along path y ?



C_P - Alternate Definition

Polynomial q

Polynomial-time predicate R

Polynomial-time function f -----

$$x \in L \iff \|\{y : |y| = q(|x|) \wedge R(x, y)\}\| = \underbrace{f(x)}$$

Exact number of accepting paths



C_P - Alternate Definition, Fixed f

Polynomial q

Polynomial-time predicate R

~~Polynomial-time function f~~

$$x \in L \iff \|\{y : |y| = q(|x|) \wedge R(x, y)\}\| = \underbrace{2^{q(|x|)-1}}$$

Fix f to be exactly half of all possibilities



C_P - Single Sided Definition (Lemma 5.14)

Polynomial r

Polynomial-time predicate S

$$x \in L \implies \|\{y : |y| = r(|x|) \wedge S(x, y)\}\| = 2^{r(|x|)-2}$$

$$x \notin L \implies \|\{y : |y| = r(|x|) \wedge S(x, y)\}\| < 2^{r(|x|)-2}$$



C_P - Single Sided Definition (Lemma 5.14)

$r(n) = 2q(n)$ z is two paths in N rather than just one

$$S(x, z) = (\exists w_1, w_2 : |w_1| = |w_2| = q(|x|))$$

$$[w_1 \cdot w_2 = z \wedge \underbrace{R(x, w_1)}_{1^{\text{st}} \text{ path accepts}} \wedge \underbrace{\neg R(x, w_2)}_{2^{\text{nd}} \text{ path rejects}}]$$

1^{st} path accepts 2^{nd} path rejects

$$x \in L \implies \|\{y : |y| = r(|x|) \wedge S(x, y)\}\| = 2^{r(|x|)-2}$$

$$x \notin L \implies \|\{y : |y| = r(|x|) \wedge S(x, y)\}\| < 2^{r(|x|)-2}$$

$C=P$ - Single Sided Definition (Lemma 5.14)

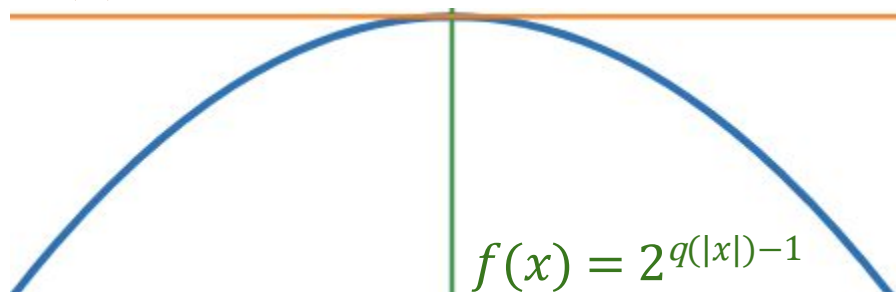
$$r(n) = 2q(n)$$

$$S(x, z) = (\exists w_1, w_2 : |w_1| = |w_2| = q(|x|)) \\ [w_1 \cdot w_2 = z \wedge \underbrace{R(x, w_1)}_{\text{green}} \wedge \underbrace{\neg R(x, w_2)}_{\text{red}}]$$

$$h(x) = f(x)(2^{q(|x|)} - f(x))$$



C_P - Single Sided Definition (Lemma 5.14)

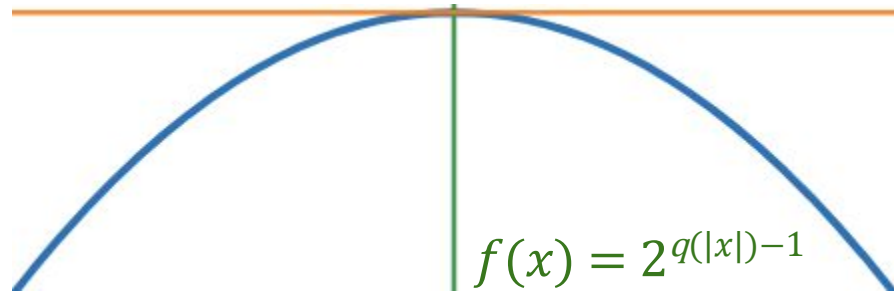
$$h(x) = 2^{2q(|x|)-2} = 2^{r(|x|)-2}$$


$$f(x) = 2^{q(|x|)-1}$$

$$h(x) = f(x)(2^{q(|x|)} - f(x))$$

C_P - Single Sided Definition (Lemma 5.14)

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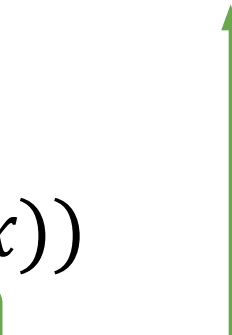


$$h(x) = f(x)(2^{q(|x|)} - f(x))$$

$$\max(h(x)) = 2^{r(|x|)-2}$$

C_P - Single Sided Definition (Lemma 5.14)

$$x \in L \implies \|\{y : |y| = r(|x|) \wedge S(x, y)\}\| = 2^{r(|x|)-2}$$
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$$q = r \quad R = S \quad f(x) = 2^{r(|x|)-2}$$

$$x \in L \iff \|\{y : |y| = q(|x|) \wedge R(x, y)\}\| = f(x)$$

Is #P closed under min/max?

Theorem 5.13

1. If #P is closed under minimum then $UP = NP$ ✓
2. If #P is closed under maximum or under minimum then $C_{\#P} = SPP$

Note: $SPP \subseteq C_{\#P}$ holds unconditionally, proof is trivial.

We need only show $C_{\#P} \subseteq SPP$.



Closed(#P, max) \implies $\underline{C_P} = SPP$

$$x \in L \implies \|\{y : |y| = r(|x|) \wedge S(x, y)\}\| = 2^{r(|x|)-2}$$

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↓

$\exists f \in \#P$

$$x \in L \implies f(x) = 2^{r(|x|)-2}$$

$$x \notin L \implies f(x) < 2^{r(|x|)-2}$$



Closed($\#P$, max) $\implies C_{=}P = SPP$

$$x \in L \implies f(x) = 2^{r(|x|)-2}$$

$$x \notin L \implies f(x) < 2^{r(|x|)-2}$$

$$g(x) = 2^{r(|x|)-2} - 1 \in \#P$$



Closed($\#P$, max) $\implies C_{=}P = SPP$

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$$g(x) = 2^{r(|x|)-2} - 1 \in \#P$$

$$h(x) = \max(f(x), g(x))$$

$$x \in L \implies \max(2^{r(|x|)-2}, 2^{r(|x|)-2} - 1) = 2^{r(|x|)-2}$$

$$x \notin L \implies \max(<2^{r(|x|)-2}, 2^{r(|x|)-2} - 1) = 2^{r(|x|)-2} - 1$$

Closed($\#P$, max) $\implies C_{=}P = SPP$

$$x \in L \implies f(x) = 2^{r(|x|)-2}$$

$$x \notin L \implies f(x) < 2^{r(|x|)-2}$$

$$g(x) = 2^{r(|x|)-2} - 1 \in \#P$$

$$h(x) = \max(f(x), g(x))$$

NPTM N

$L \in SPP$



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1. If #P is closed under minimum then $UP = NP$ ✓
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Closed($\#P$, min) $\implies C_{\underline{P}} = SPP$

$$x \in L \implies \|\{y : |y| = r(|x|) \wedge S(x, y)\}\| = 2^{r(|x|)-2}$$

$$x \notin L \implies \|\{y : |y| = r(|x|) \wedge S(x, y)\}\| < 2^{r(|x|)-2}$$



Closed(#P, min) $\implies \underline{C}_P = \text{SPP}$

$$x \in L \implies \|\{y : |y| = r(|x|) \wedge S(x, y)\}\| = 2^{r(|x|)-2}$$

$$x \notin L \implies \|\{y : |y| = r(|x|) \wedge S(x, y)\}\| < 2^{r(|x|)-2}$$

↓ negate S , essentially flipping accepting/rejecting paths

$$x \in L \implies \|\{y : |y| = r(|x|) \wedge \neg S(x, y)\}\| = 2^{r(|x|)} - 2^{r(|x|)-2}$$

$$x \notin L \implies \|\{y : |y| = r(|x|) \wedge \neg S(x, y)\}\| > 2^{r(|x|)} - 2^{r(|x|)-2}$$



Closed(#P, min) $\implies C_{=P} = SPP$

$$x \in L \implies \|\{y : |y| = r(|x|) \wedge S(x, y)\}\| = 2^{r(|x|)-2}$$

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$$x \notin L \implies \|\{y : |y| = r(|x|) \wedge \neg S(x, y)\}\| > 2^{r(|x|)} - 2^{r(|x|)-2}$$

$$\downarrow$$
$$x \in L \implies f(x) = (3/4)2^{r(|x|)}$$

$$x \notin L \implies f(x) > (3/4)2^{r(|x|)}$$

Closed($\#P$, min) $\implies C_{\#P} = SPP$

$$x \in L \implies f(x) = (3/4)2^{r(|x|)}$$

$$x \notin L \implies f(x) > (3/4)2^{r(|x|)}$$

$$g(x) = (3/4)2^{r(|x|)} + 1 \in \#P$$



Closed($\#P$, min) $\implies C_{\#P} = SPP$

$$x \in L \implies f(x) = \left(\frac{3}{4}\right)2^{r(|x|)}$$

$$x \notin L \implies f(x) > \left(\frac{3}{4}\right)2^{r(|x|)}$$

$$g(x) = \left(\frac{3}{4}\right)2^{r(|x|)} + 1 \in \#P$$

$$h(x) = \min(f(x), g(x))$$



Closed($\#P$, min) $\implies C_{=P} = SPP$

$$x \in L \implies f(x) = (3/4)2^{r(|x|)}$$

$$x \notin L \implies f(x) > (3/4)2^{r(|x|)}$$

$$g(x) = (3/4)2^{r(|x|)} + 1 \in \#P$$

$$h(x) = \min(f(x), g(x))$$

$$x \in L \implies \min((3/4)2^{r(|x|)}, (3/4)2^{r(|x|)} + 1) = (3/4)2^{r(|x|)}$$

$$x \notin L \implies \min(>(3/4)2^{r(|x|)}, (3/4)2^{r(|x|)} + 1) = (3/4)2^{r(|x|)} + 1$$

Closed($\#P$, min) $\implies C_{=P} = SPP$

$$x \in L \implies f(x) = (3/4)2^{r(|x|)}$$

$$x \notin L \implies f(x) > (3/4)2^{r(|x|)}$$

$$g(x) = (3/4)2^{r(|x|)} + 1 \in \#P$$

$$h(x) = \min(f(x), g(x))$$

NPTM N

$L \in SPP$



More to consider

- Classes other than #P
 - OptP (max output)
 - SpanP (cardinality of set of outputs)
- Complete characterizations
 - Open issue for #P under proper decrement



References

- [1] Hemaspaandra, Lane A., and Mitsunori Ogihara. "The complexity theory companion." *Acm Sigact News* 32, no. 4 (2001): 66-68.
- [2] Barak, Boaz (Spring 2006). "Complexity of counting". *Computer Science 522: Computational Complexity*. Princeton University.
- [3] wikipedia: Nondeterministic Turing machine.
- [4] https://courses.corelab.ntua.gr/pluginfile.php/74/mod_resource/content/0/pthakp-talk-7.pdf
- [5] wikipedia: UP (complexity). [https://en.wikipedia.org/wiki/UP_\(complexity\)](https://en.wikipedia.org/wiki/UP_(complexity))



Thanks!



Bonus Content: OptP



OptP - Optimization Functions

$f \in \text{OptP}$ iff, for some NPTM N :

$$f(x) = \max\{i \in \mathbb{N} : \text{a path of } N(x) \text{ outputs } i\}$$



Is OptP closed under proper subtraction?



Is OptP closed under proper subtraction?

Theorem 5.15 *The following are equivalent:*

1. OptP is closed under proper subtraction
2. OptP is closed under every polynomial-time operation
3. $NP = coNP$



Is OptP closed under proper subtraction?

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Is OptP closed under proper subtraction?

Theorem 5.15 *The following are equivalent:*

1. OptP is closed under proper subtraction
2. OptP is closed under every polynomial-time operation
3. NP = coNP



$NP = coNP \implies \text{Closed}(\text{OptP}, op)$

$f \in \text{OptP} \rightarrow \text{NPTM } N_f$
 $g \in \text{OptP} \rightarrow \text{NPTM } N_g$



$NP = coNP \implies \text{Closed}(\text{OptP}, op)$

$f \in \text{OptP} \rightarrow \text{NPTM } N_f$

$g \in \text{OptP} \rightarrow \text{NPTM } N_g$

$L_f = \{ \langle x, i \rangle : f(x) > i \} \in NP$

$L_g = \{ \langle x, i \rangle : g(x) > i \} \in NP$

$NP = coNP \implies \text{Closed}(\text{OptP}, op)$

$f \in \text{OptP} \rightarrow \text{NPTM } N_f$
 $g \in \text{OptP} \rightarrow \text{NPTM } N_g$

$L_f = \{ \langle x, i \rangle : f(x) > i \} \in NP = coNP$
 $L_g = \{ \langle x, i \rangle : g(x) > i \} \in NP = coNP$ by assumption



$NP = coNP \implies \text{Closed}(\text{OptP}, op)$

$$f \in \text{OptP} \rightarrow \text{NPTM } N_f$$

$$g \in \text{OptP} \rightarrow \text{NPTM } N_g$$

$$L_f = \{ \langle x, i \rangle : f(x) > i \} \in NP = coNP$$

$$L_g = \{ \langle x, i \rangle : g(x) > i \} \in NP = coNP$$

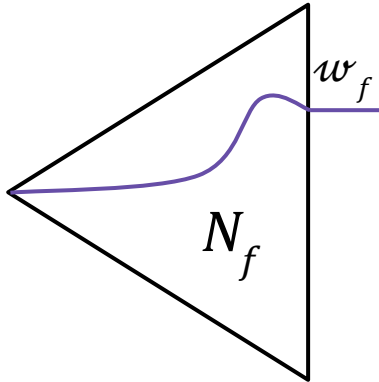
by assumption

$$\bar{L}_f \in NP \rightarrow \text{NPTM } N_{\geq f}$$

$$\bar{L}_g \in NP \rightarrow \text{NPTM } N_{\geq g}$$

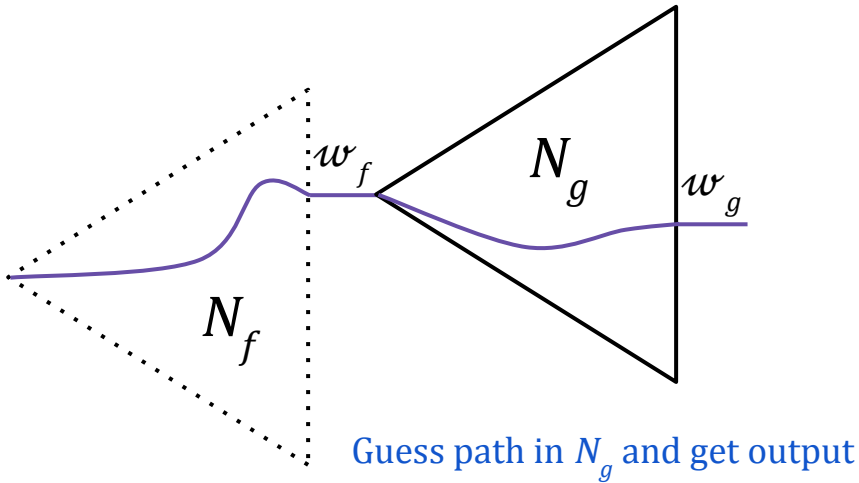


$NP = coNP \implies \text{Closed}(\text{OptP}, op)$

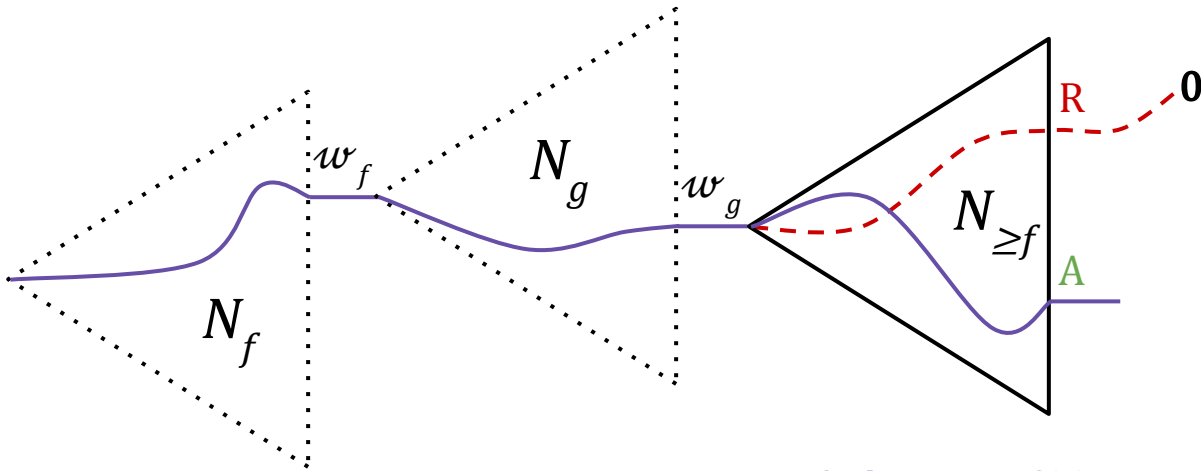


Guess path in N_f and get output

$NP = coNP \implies \text{Closed}(\text{OptP}, op)$

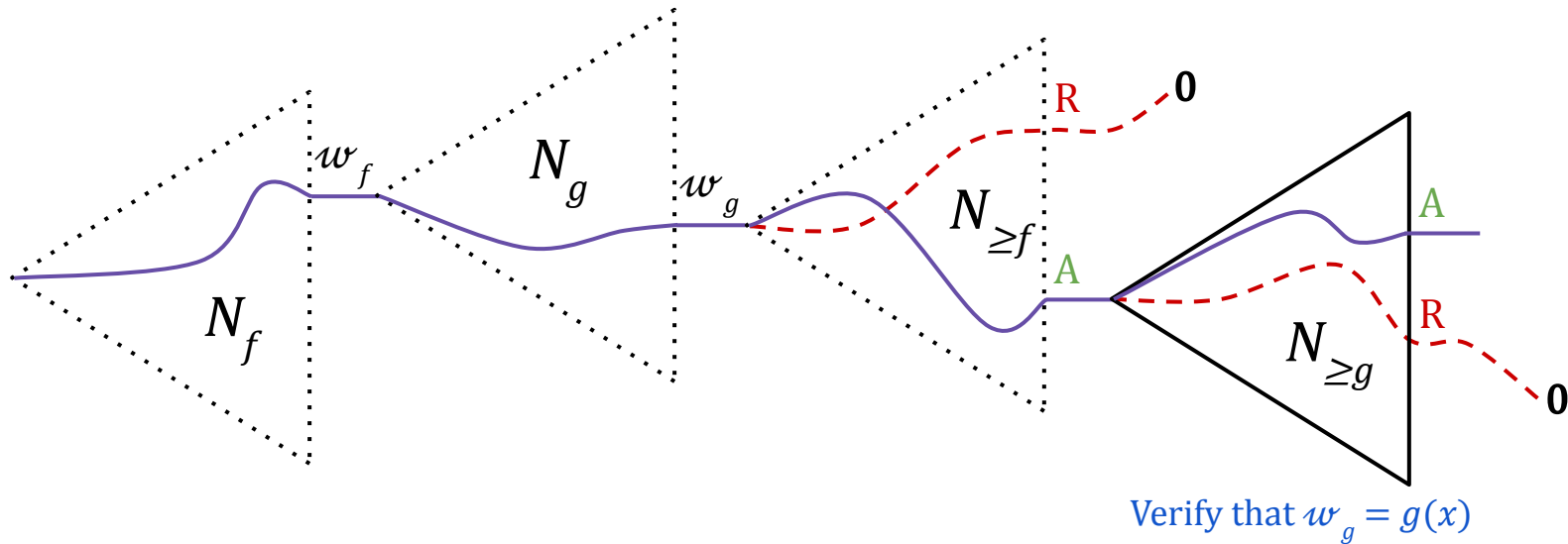


$NP = coNP \implies \text{Closed}(\text{OptP}, op)$

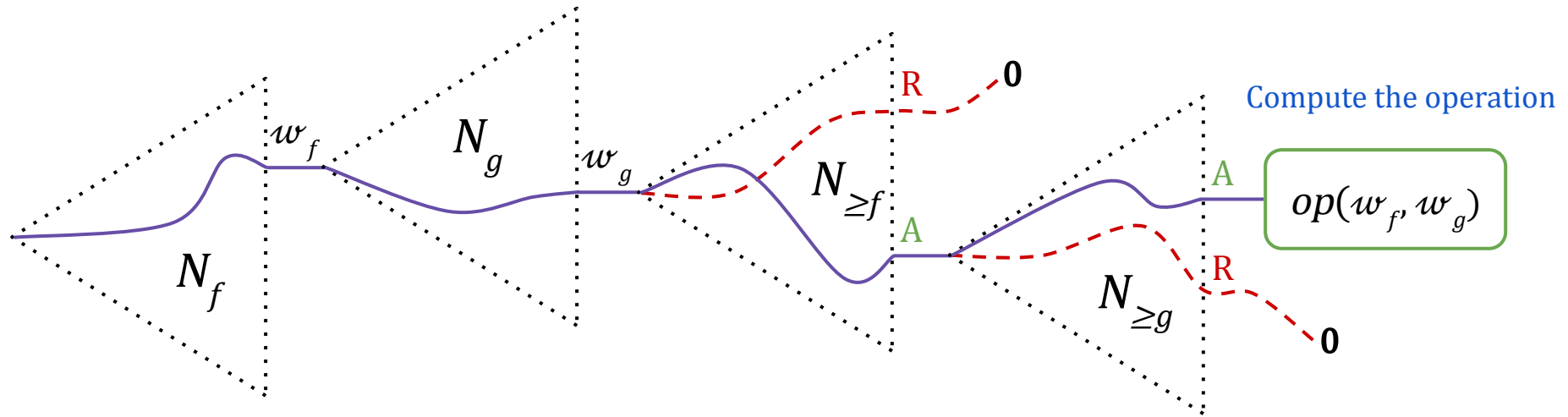


Verify that $w_f = f(x)$

$NP = coNP \implies \text{Closed}(\text{OptP}, op)$



$NP = coNP \implies \text{Closed}(\text{OptP}, op)$



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Theorem 5.15 *The following are equivalent:*

1. OptP is closed under proper subtraction
2. OptP is closed under every polynomial-time operation
3. $NP = coNP$



Closed(OptP, Θ) \implies NP = coNP

output 1 on accepting paths, 0 on rejecting paths

$L \in \text{NP} \longrightarrow \text{NPTM } N \longrightarrow f(x) = \begin{cases} 1 & \text{if } x \in L \\ 0 & \text{otherwise} \end{cases} \in \text{OptP}$



Closed(OptP, Θ) \implies NP = coNP

output 1 on accepting paths, 0 on rejecting paths

$$L \in \text{NP} \longrightarrow \text{NPTM } N \longrightarrow f(x) = \begin{cases} 1 & \text{if } x \in L \\ 0 & \text{otherwise} \end{cases} \in \text{OptP}$$

assume closure under proper subtraction

$$\downarrow \\ g(x) = 1 \ominus f(x) \in \text{OptP}$$



Closed(OptP, \ominus) \implies NP = coNP

output 1 on accepting paths, 0 on rejecting paths

$$L \in \text{NP} \longrightarrow \text{NPTM } N \longrightarrow f(x) = \begin{cases} 1 & \text{if } x \in L \\ 0 & \text{otherwise} \end{cases} \in \text{OptP}$$

assume closure under proper subtraction

$$g(x) = 1 \ominus f(x) \in \text{OptP}$$

$$g(x) = \begin{cases} 0 & \text{if } x \in L \\ 1 & \text{otherwise} \end{cases} \in \text{OptP}$$



Closed(OptP, \ominus) \implies NP = coNP

output 1 on accepting paths, 0 on rejecting paths

$$L \in \text{NP} \longrightarrow \text{NPTM } N \longrightarrow f(x) = \begin{cases} 1 & \text{if } x \in L \\ 0 & \text{otherwise} \end{cases} \in \text{OptP}$$

assume closure under proper subtraction \downarrow

$$g(x) = 1 \ominus f(x) \in \text{OptP}$$

accept only on paths that output 1 \downarrow

$$\text{NPTM } N' \longleftarrow g(x) = \begin{cases} 0 & \text{if } x \in L \\ 1 & \text{otherwise} \end{cases} \in \text{OptP}$$



Closed(OptP, \ominus) \implies NP = coNP

output 1 on accepting paths, 0 on rejecting paths

$$L \in \text{NP} \longrightarrow \text{NPTM } N \longrightarrow f(x) = \begin{cases} 1 & \text{if } x \in L \\ 0 & \text{otherwise} \end{cases} \in \text{OptP}$$

assume closure under proper subtraction

$$g(x) = 1 \ominus f(x) \in \text{OptP}$$

accept only on paths that output 1

$$L \in \text{coNP} \longleftarrow \text{NPTM } N' \longleftarrow g(x) = \begin{cases} 0 & \text{if } x \in L \\ 1 & \text{otherwise} \end{cases} \in \text{OptP}$$



Is OptP closed under proper subtraction?

Theorem 5.15 *The following are equivalent:*

- ✓ 1. OptP is closed under proper subtraction
- 2. OptP is closed under every polynomial-time operation
- 3. $NP = coNP$



SpanP - Output Cardinality

$f \in \text{SpanP}$ iff, for some NPTM N :

$$f(x) = \|\{\omega \in \Sigma^* : \text{an accepting path of } N(x) \text{ outputs } \omega\}\|$$



Is SpanP closed under proper subtraction?

Theorem 5.16 *The following are equivalent:*

1. SpanP is closed under proper subtraction
2. SpanP is closed under every polynomial-time operation
3. $PP^{NP} = PH = NP$

Proof is left as an exercise for the reader.

