# Research in Natural Language Processing 

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## Problems in Natural Language

- Part of Speech Tagging
- Parsing
- Information Extraction
- Generation
- Question Answering
- Summarization
- Dialog Systems
- Machine Translation


## Basic Problem: Resolving Ambiguity

- Time flies like an arrow.
- Time flies with a stopwatch.
- I saw the man with the telescope.


## Approaches to Resolving Ambiguity

- World Knowledge: Telescope is used to make things look bigger.
- Statistical Techniques: learn from examples
- but: need examples that machines can understand
- or: need machines that can guess from examples!
- This talk: language understanding, and translation


## Structural Ambiguity



## Stochastic Context Free Grammar

$$
\begin{aligned}
& 1 \mathrm{~S} \Rightarrow \mathrm{NP} \mathrm{VP} \\
& \ldots \\
& 0.5 \mathrm{VP} \Rightarrow \mathrm{~V} \\
& 0.25 \mathrm{VP} \Rightarrow \mathrm{~V} \mathrm{NP} \\
& 0.25 \mathrm{VP} \Rightarrow \mathrm{~V} \mathrm{NP} \mathrm{PP}
\end{aligned}
$$

$$
.0009 \mathrm{~N} \Rightarrow \operatorname{man}
$$

$$
.0001 \mathrm{~V} \Rightarrow \operatorname{man}
$$

Independence assumption on trees.

## Lexicalization



$$
P(T)=P(\mathrm{NP} \mathrm{VP} \mid \mathrm{S}, \mathrm{saw}) P(\mathrm{~V} \mathrm{NP} \mathrm{PP} \mid \mathrm{VP}, \text { saw }) P(\mathrm{P} \mathrm{NP} \mid \mathrm{PP}, \text { with }) . . .
$$

# Translation Example 

| Korean | Chu-Ka Kong-Keup-Mul-eul 103 Ceon-wi-Ci-wenn- <br> Tae-Tae-e-Ke Sa-Ryeong-Pu-Ka Cu-coss-Ta |
| :--- | :---: |
| Word gloss | Additional supply 103 forward support <br> battalion headquarters gave |
| Commercial MT $\quad$Additional supply 103 FSB headquarters <br> which you bite gave |  |
| Target | Headquarters gave the 103rd forward support <br> battalion additional supplies |

## Syntactic Tree Representation



## Meaning is More Than Syntactic Structure

- HQ gave [object the battalion] supplies HQ gave supplies [pp to the battalion]
- She broke [object the cup ]
[subject The cup ] broke
- The discussion [pp between the leaders ]
[possessive The leaders'] discussion
Meaning requires argument structure, i.e., semantic roles.


## Predicate Argument Representation

[Donor Headquarters ] gave [Recipient the 103rd forward support battalion ]
[Theme additional supplies ]

## Approaches to Natural Language Understanding

- Domain knowledge known to be important since Winograd (1972).
- Work during 1980s focused on deeper semantics: analysis of quantifiers, pronoun resolution, discourse structure.
- fragile!
- Recent shift towards "shallow" semantics, systems trained on annotated data
- But these operate within a very constrained domain (MUC evaluations: corporate acquisitions, terrorist events)
- This talk: statistical system for general domains.


## Current Directions in NLP

Statistical approaches to broad coverage semantics are beginning to be possible thanks to

- Improvements in robust, statistical syntactic parsers
- Large amounts of text available (including parallel text)
- New learning techniques to leverage unlabeled data


## Goal: Automatically Label Sentence with Semantic Roles

Can you blame the dealer for being late?
Judge Evaluee Reason

Most ranchers tend to blame everything on coyotes.
Judge Reason Evaluee

She writes of the contempt that the government has for universities and their staff.

$$
\text { Judge } \quad \text { Evaluee }
$$

## Semantic Frames - FrameNet

Frame Level:

Domain: Cognition

| Frame: | Judgment |  |
| :--- | :---: | :--- | :--- |
| Roles: | Judge <br> Evaluee <br> Reason <br> Role |  |
|  |  | Frame: Categorization  <br> Cognizer  <br> Roles: Item <br> Category <br> Criterion |


| blame-v |  | fault-n |
| :---: | :---: | :---: |
| admire-v | blame-n <br> appreciate-v | $\left.\begin{array}{c}\text { contempt-n }\end{array}\right]$ |

Doman: Communication

Frame: Questioning
Roles: Speaker Addressee Message Topic Medium

## The FrameNet Corpus

- 49,000 instances of predicates from British National Corpus, with 99,000 annotated arguments
- 1462 predicate words from 67 frames:
- 927 verbs, 339 nouns, 175 adjectives


## Proposition Bank

Kingsbury \& Palmer (2002)

- Argument labels defined per-predicate: Arg0, Arg1, ... Temporal, Locative, etc
- Tagging all verbs in Wall Street Journal corpus, for which syntactic trees are available
- Preliminary corpus: 26,000 instances of predicates, 65,000 individual arguments, 1527 unique verbs


## Probability Model for Roles

- Extract set of features $F_{i}$ for each constituent $i$ in syntactic tree for sentence containing predicate $p$
- Role probabilities for individual constituents: $P\left(r_{i} \mid F_{i}, p\right)$
- Probabilities of predicate's complete set of roles: $P\left(r_{1 . . n} \mid F_{1 . . n}, p\right)$


## Feature 1: Phrase Type

Can [Judge you] blame [Evaluee the dealer] [Cause for being late]?


From output of automatic parser (Collins)

## Feature 2: Grammatical Function

Read from parse tree, used for NP constituents only:

Can you blame the dealer for being late?


## Feature 3: Position

Whether constituent is Before/After predicate word:

Can you blame the dealer for being late?


## Feature 4: Voice

Active/Passive use of predicate word read from parse tree:
"Can you blame the dealer" vs. "The dealer is blamed"

## Feature 5: Head Word

Head word of constituent as defined by parser:

She writes of the contempt that the British government has for universities and their staff.


## Probability Model for Roles

- Extract features $F=\{p t, g f, p o s, v, h\}$ from syntactic parse
- Role probabilities for individual constituents: $P(r \mid p t, g f, p o s, v, h, p)$
- Sparseness of training data prevents direct estimation
- Combine probabilities conditioned on subsets of features


## Backoff Lattice



## Combining Distributions

| Distributions | Combining Method | Correct |
| :--- | :--- | :--- |
| Baseline | $P(r \mid p)$ | $40.9 \%$ |
| All | Equal Linear Interpolation | 79.5 |
|  | Weighted Linear Interpolation | 79.3 |
|  | Geometric Mean | 79.6 |
| Backoff | Linear interpolation | 80.4 |
|  | Geometric mean | 79.6 |

\% labeled with correct role, 8167 observations

## Finding Which Constituents Are Arguments

Calculate probabilities of a constituent being an argument given features:

- Path through tree from predicate to constituent
- Predicate
- Head Word

$\mathrm{Ex}: P(\arg =$ true $\mid p=$ "eat", path $=$ " $\mathrm{VB} \uparrow \mathrm{VP} \uparrow \mathrm{S} \downarrow \mathrm{NP} "$, head $=$ "He" $)$


## Probabilities for Sets of Arguments

Probability $P\left(\left\{r_{1 . . n}\right\} \mid p\right)$ of unordered set of arguments observed in a sentence:
\{Judge, Evaluee, Reason \}
"Can you blame the dealer for being late?"
"Ranchers tend to blame everything on coyotes."

| Argument Set | $P\left(\left\{r_{1 . . n}\right\} \mid p=\right.$ "blame" $)$ |
| :---: | :---: |
| \{ Eval, Judge, Reas \} | 0.549 |
| \{ Eval, Judge \} | 0.160 |
| \{ Eval, Reas \} | 0.167 |
| \{ Eval \} | 0.097 |
| \{Eval, Judge, Role \} | 0.014 |
| \{Judge \} | 0.007 |
| \{ Judge, Reas \} | 0.007 |

## Interdependence of Argument Assignments

Choose best assignment of roles $r_{1 . . n}$ given predicate $p$, and features $F_{1 . . n}$ :

$$
\begin{aligned}
\operatorname{argmax}_{r_{1 . . n}} P\left(r_{1 . . n} \mid F_{1 . . n}, p\right) & =P\left(r_{1 . . n} \mid p\right) \frac{P\left(F_{1 . . n} \mid r_{1 . . n}, p\right)}{P\left(F_{1 . . n} \mid p\right)} \\
& \approx P\left(\left\{r_{1 . . n}\right\} \mid p\right) \prod_{i} P\left(F_{i} \mid r_{i}, p\right) \\
& =P\left(\left\{r_{1 . . n}\right\} \mid p\right) \prod_{i} \frac{P\left(r_{i} \mid F_{i}, p\right) P\left(F_{i} \mid p\right)}{P\left(r_{i} \mid p\right)} \\
& =P\left(\left\{r_{1 . . n}\right\} \mid p\right) \prod_{i} \frac{P\left(r_{i} \mid F_{i}, p\right)}{P\left(r_{i} \mid p\right)}
\end{aligned}
$$

Argument set probabilities provide (limited) dependence between individual labeling decisions.

## Integrated Labeling and Boundary Identification

|  | Prec. | Recall |
| :--- | ---: | ---: |
| Label constituents independently: $\prod_{i} P\left(r_{i} \mid F_{i}, p\right)$ | 67.0 | 46.8 |
| With argument sets: $P\left(\left\{r_{1 . . n}\right\} \mid p\right) \prod_{i} \frac{P\left(r_{i} \mid F_{i}, p\right)}{P\left(r_{i} \mid p\right)}$ | 64.6 | 61.2 |

Argument sets improve recall by telling the system what it's looking for.

## Effect of Parsing Accuracy

PropBank data come with gold-standard syntactic trees.

|  | FrameNet |  | PropBank |  |
| :--- | ---: | ---: | ---: | ---: |
|  | Precision | Recall | Precision | Recall |
| Gold-standard parses |  |  | 73.5 | 71.7 |
| Automatic parses | 64.6 | 61.2 | 59.0 | 55.4 |

Accurate syntactic parsing is important!
But even automatically generated trees are better than a flat representation.

## Machine Translation

Current approaches:

- Analyze source language, generate in target language (commercial systems)
- Statistical approaches trained on parallel text
- Two probability models estimated from parallel corpora:
word translation and word-level alignment.


## Alignment Example

An alignment is a set of pairs of words which correspond:


Headquarters gave the 103rd forward support battalion additional supplies

A translation probability: $P_{t}$ (Headquarters $\mid$ Chu-Ka)
An alignment probability: $P_{a}\left(e_{8} \mid k_{1}\right)$

## Tree-Based Alignment

Yamada \& Knight 2001


## Tree-Based Alignment 2



## EM Training Procedure

- Observed data: sentence pairs
- Hidden data: word-level alignment
- Compute expected counts for each possible alignment using dynamic programming (E-step)
- Re-estimate re-order, insert, and translation probabilities (M-step)


## Trees Constrain Possible Alignments



Of the six possible re-orderings of the three terminals, two are not allowed: 1,3,2 and 2,3,1

## Allow Subtrees to be "Cloned"



Constituents of sentence can move to arbitrary locations, at a cost in probability.
Assumption that individual clone operations are independent means no increase in computational complexity.

## Cloning example



English question word order not possible through tree re-ordering.

## Korean-English Parallel Treebank

- 5083 parallel sentences
- Human annotated syntactic trees in both languages
- Average English sentence is 16 words


## Results: Alignment Error

Agreement with human judgments of word-level alignment.

|  | Alignment <br> Error Rate |
| :--- | ---: |
| Unstructured (IBM) | .35 |
| Tree Re-order (Y\&K) | .43 |
| Tree Re-order, Clone | .32 |

- Tree-based model is too rigid by itself.
- Clone operation provides flexibility needed to use syntactic information in statistical system.


## Alignment - Summary

Relaxing tree-based model improves alignments, hybrid between purely statistical and analytic systems, and combines the robustness of statistical methods with the benefits of syntactic analysis.

## Conclusion

- General approach to ambiguity: Learn from examples
- Key Problems:
- sparse data
- generalization
- providing the right representation

