

# Locally testable cyclic codes

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Are there good  
cyclic codes ???  
[Open Problem 9.2 in  
MacWilliams, Sloane '77]

Are there good  
locally-testable codes ???  
[Goldreich, Sudan '02]

Theorem:

There are no good families of  
**locally-testable cyclic** codes over  $\mathbb{F}_q$ .

This talk  $q = 2$

# Linear codes – basic parameters

code  $C$  = linear subspace of  $\mathbb{F}_q^n$

alphabet size  $q$

block size  $n$

dimension = information length  $k$

minimum weight = distance  $d$

# A **good** family of codes

$n \rightarrow \infty$

$k = \Omega(n)$  **linear information (const rate)**

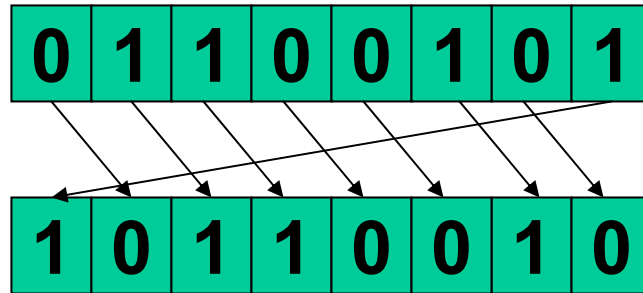
$d = \Omega(n)$  **linear distance ( $\Omega(n)$  errors corrected)**

**existence [Shannon'48].**

**explicit [Justesen'72].**

# Cyclic codes [Prange'57]

$$(a_0, \dots, a_{n-1}) \in C \iff (a_{n-1}, a_0, a_1, \dots, a_{n-2}) \in C$$



## Why cyclic codes?

Hardware – shift registers

Classical codes – BCH, Reed-Solomon

Theory – principal ideal rings

[MacWilliams, Sloane'77] "Cyclic codes are the most studied of all codes since they are easy to encode and include the important family of BCH codes."

# Are there **good cyclic** codes?

# ???

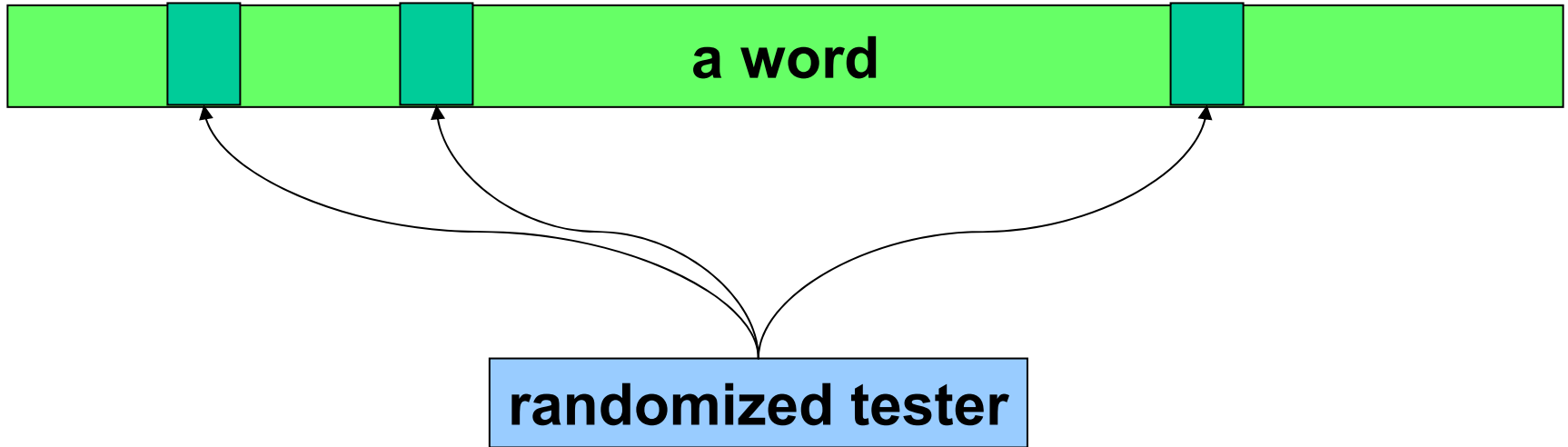
**[Lin, Weldon '67]** BCH codes are not good

**[Berman '67]** If the largest prime divisor of  $n$  is  $O(1)$  then the family cannot be good.

**[BSS '03]** If the largest prime divisor of  $n$  is  $\leq c\sqrt{\ln n \ln \ln n}$  then the family cannot be good.

# Local testability

(context: holographic proofs/PCPs)



**check few bits - randomized**

**codeword**

**- surely accepted**

**far from all codewords**

**- likely rejected**

# Holographic proofs/PCPs

[Babai, Fortnow, Lund '91]

polylog bits checked, quasipoly length

[Babai, Fortnow, Levin, Szegedy '91, 20??]

polylog bits checked, nearly linear length

[Arora, Lund, Motwani, Sudan, Szegedy'92]

const bits checked, polynomial length

# Locally testable codes

[Friedl, Sudan'95] – local testability formalized

const bits checked, nearly quadratic length

[Goldreich, Sudan'02]

const bits checked, nearly linear length

Clarified **PCP** ↔ **loc. testable codes** connection

# Locally decodable codes

**strengthening of local testability**

**stronger tradeoffs known**

[Katz, Trevisan '00]

[Goldreich, Karloff, Schulman, Trevisan '02]

[Deshpande, Jain, Kavitha, Lokam, Radhakrishnan '02]

[Kerenidis, de Wolf '03]



# Are there good **locally testable cyclic** codes?



$n$  is smooth

no good cyclic code

[Berman '67, BSS '03]

**No local testability  
assumption needed!**

$n$  contains a large prime

no good locally  
testable cyclic code

# Our lower bound proof works against

- **adaptive** tester
- codeword always accepted
- word at distance  $\Omega(n)$  rejected with **positive** probability

**TRADEOFF:** If  $L$  bits tested then either

information length  $k \leq \frac{n}{(\log n)^{1/2L}}$

or

distance  $d \leq \frac{n}{(\log n)^{1/2}}$

# Idea of proof – illustrated

**CASE:**  $n$  prime + cyclic pattern tester



randomized tester  
accept iff

$$x_1 + x_2 + \dots + x_L = 0$$

$a_1, \dots, a_L$  fixed

$s$  uniformly random from  $\{0, \dots, n-1\}$

**Method of proof: Diophantine approximation**

# Dirichlet's Theorem

(simultaneous Diophantine approximation)

For any integer  $T$ , reals  $\alpha_1, \dots, \alpha_L$   
it is possible to simultaneously approximate  $\alpha_1, \dots, \alpha_L$

by rationals  $\frac{b_1}{t}, \dots, \frac{b_L}{t}$   $0 < t \leq T$

with error bounded by  $\frac{1}{tT^{1/L}}$  :

$$(\forall i) \left| \alpha_i - \frac{b_i}{t} \right| \leq \frac{1}{tT^{1/L}}$$

# $n$ prime + cyclic pattern tester



“spread” of the tester:  
shortest arc which includes an instance of the pattern

determines the codeword



dimension  $\leq$  spread-1

**The trick:**

**We shrink the spread to  $o(n)$   
without changing the dimension.**

**Corollary:**

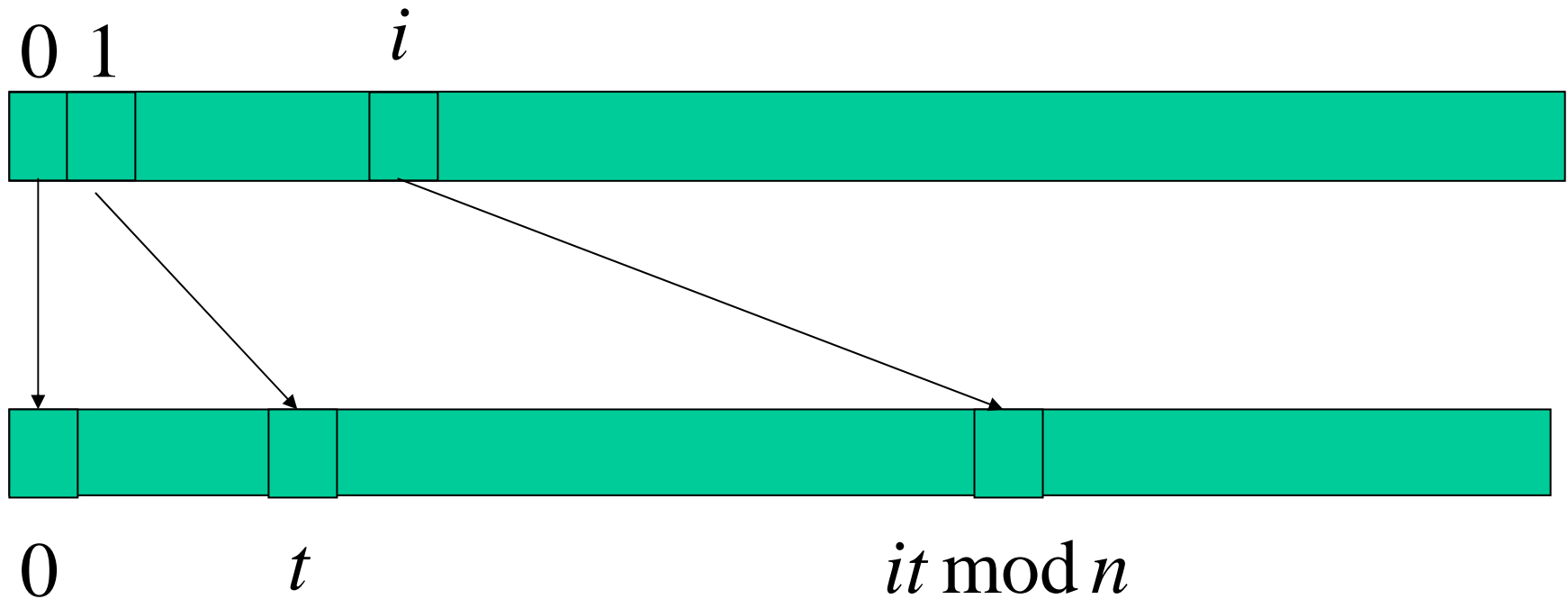
**dimension  $d = o(n)$**

**code not good**

**Q.E.D.**

**Q: How to **shrink** the spread?**

**A: **Stretch** the code mod  $n$ .**



**Stretch factor  $t$   $\gcd(t, n) = 1$**

**New code: cyclic, same dimension**

**We can even use our old tester! Instead of querying positions**

$a_1 + s, \dots, a_L + s$ , query positions  $t(a_1 + s), \dots, t(a_L + s)$   
 $\bmod n$   $\bmod n$

# Lemma:

If  $n$  is prime then there exists a stretch factor which reduces the spread to

$$2n^{1-1/L}$$

**Proof:** apply Dirichlet's Theorem to approximating with denominator  $\leq n-1$

$$\frac{a_1}{n}, \dots, \frac{a_L}{n}$$

**The stretch factor will be the common denominator.**



# Algebraic machinery for cyclic codes

$$(a_0, \dots, a_{n-1}) \mapsto f(x) = a_0 + \dots + a_{n-1}x^{n-1}$$
$$\in \mathbb{F}_2^n \qquad \qquad \qquad \in \mathbb{F}_2[x]$$

$$\text{cyclicity} \rightarrow \text{mod}(x^n - 1)$$

$h(x)$  : **check polynomial of cyclic code  $C$**

$$h(x) \mid x^n - 1$$

$$f(x) \in C \Leftrightarrow (x^n - 1) \mid f(x)h(x)$$

**Information length  $k = \deg h(x)$**

**We need to understand divisors of degree  $\Omega(n)$  of  $x^n - 1$  over  $\mathbb{F}_2$  .**

**Factoring  $x^n - 1$  over  $\mathbb{Z}$**

$$x^n - 1 = \prod_{s|n} \Phi_s(x)$$

$\Phi_s(x)$  **cyclotomic polynomial of order s**

$$\Phi_1(x) = x - 1$$

$$\Phi_2(x) = x + 1$$

$$\Phi_3(x) = x^2 + x + 1$$

$$\Phi_4(x) = x^2 + 1$$

$$\Phi_5(x) = x^4 + x^3 + x^2 + x + 1$$

$$\Phi_6(x) = x^2 - x + 1$$

$$\Phi_7(x) = x^6 + x^5 + x^4 + x^3 + x^2 + x + 1$$

$$\Phi_8(x) = x^4 + 1$$

$$\Phi_9(x) = x^6 + x^3 + 1$$

$$\Phi_{10}(x) = x^4 - x^3 + x^2 - x + 1$$

$$\Phi_{11}(x) = x^{10} + x^9 + \dots + x + 1$$

$$\Phi_{12}(x) = x^4 - x^2 + 1$$

$$\Phi_{mp^r}(x) = \Phi_{mp}(x^{p^{r-1}})$$

**very sparse,  
weight independent of  $r$**

$\Phi_s(x)$  **irreducible over  $\mathbb{Z}$   
but not over  $\mathbb{F}_2$  (ignore for now)**



$\Phi_s(x)$  irreducible over  $\mathbb{Z}$   
but not over  $\mathbb{F}_2$  (don't ignore)

$$\Phi_{mp^r}(x) = \Phi_{mp}(x^{p^{r-1}})$$

very sparse, weight independent of  $r$

even the  $\mathbb{F}_2$  irreducible factors exhibit  
similar pattern of sparsity

So, code not good.

Q.E.D!

("some" technical details omitted :-)

# Are there good cyclic codes?

$n := 2^p - 1$  Mersenne prime

Factors of  $\Phi_n$  in  $\mathbb{F}_2[x]$  have degree  $p$

**Conjecture:**

Random cyclic code with Mersenne prime block length is good.