CSC 252: Computer Organization Spring 2021: Lecture 20

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Announcements

- Cache problem set: https://www.cs.rochester.edu/courses/252/spring2021/handouts.html
- Not to be turned in. Won't be graded.
- Assignment 4 out.

4	5	6	7	3	9	10
11	12	13	14	Today	16	17
18	19	20	21	22	Due	24

Today

- Signals: The Way to Communicate with Processes
- Interrupts and exceptions: how signals are triggered

Signals

- A signal is a small message that notifies a process that an event of some type has occurred in the system
 - Sent from the OS kernel
 - Could be requested by another process, by user, or automatically by the kernel
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ID Name	Default Action	Corresponding Event
2 SIGINT	Terminate	User typed ctrl-c
9 SIGKILL	Terminate	Kill program (cannot override or ignore)
11 SIGSEGV	Terminate	Segmentation violation
14 SIGALRM	Terminate	Timer signal
17 SIGCHLD	Ignore	Child stopped or terminated

Sending Signals with kill Function

```
void fork12()
    pid t pid[N];
    int i:
    int child status;
    for (i = 0; i < N; i++)
        if ((pid[i] = fork()) == 0) {
           /* Child: Infinite Loop */
            while(1)
    for (i = 0; i < N; i++) {
        printf("Killing process %d\n", pid[i]);
        kill(pid[i], SIGINT);
    for (i = 0; i < N; i++) {
        pid t wpid = wait(&child status);
        if (WIFEXITED(child_status))
            printf("Child %d terminated with exit status %d\n",
                   wpid, WEXITSTATUS(child_status));
        else
            printf("Child %d terminated abnormally\n", wpid);
                                                              forks.c
```

Default Actions to Signals

- Each signal type has a predefined default action, which is one of:
 - The process terminates
 - The process stops until restarted by a SIGCONT signal
 - The process ignores the signal

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 - Executing handler is called "catching" or "handling" the signal

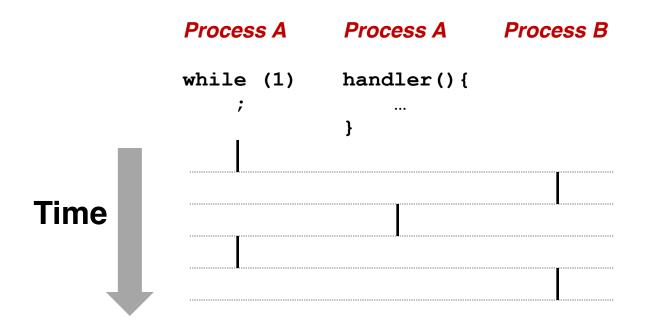
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 - Called when process receives signal of type signum
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 - Executing handler is called "catching" or "handling" the signal
 - When the handler executes its return statement, control passes back to instruction in the control flow of the process that was interrupted by receipt of the signal

Signal Handling Example

```
void sigint_handler(int sig) /* SIGINT handler */
{
    printf("So you think you can stop the bomb with ctrl-c, do you?\n");
    sleep(2);
    printf("Well...");
    fflush(stdout);
    sleep(1);
    printf("OK. :-)\n");
    exit(0);
int main()
    /* Install the SIGINT handler */
    if (signal(SIGINT, sigint handler) == SIG ERR)
        unix error("signal error");
    /* Wait for the receipt of a signal */
    pause();
    return 0;
                                                                 sigint.c
```

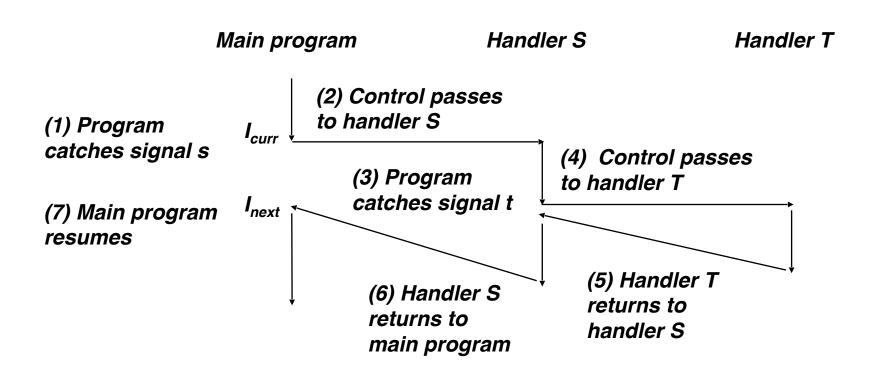
Signals Handlers as Concurrent Flows

A signal handler is a separate logical flow (not process)
 that runs concurrently with the main program



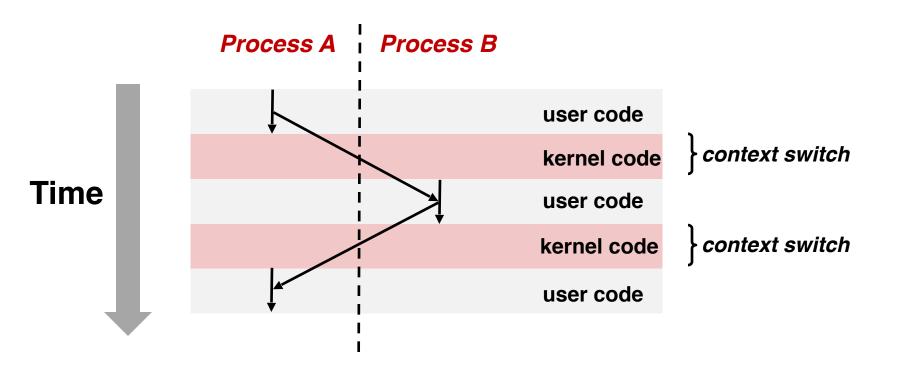
Nested Signal Handlers

Handlers can be interrupted by other handlers



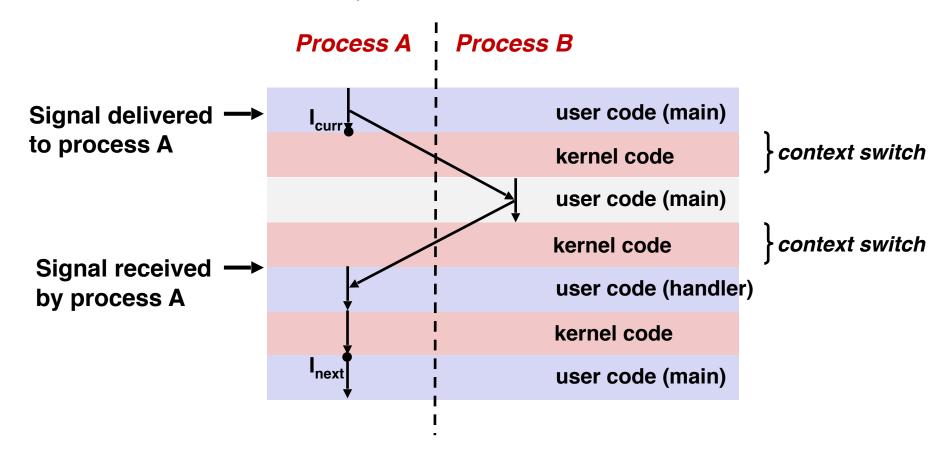
Receiving/Responding to Signals

 Kernel handles signals delivered to a process p when it switches to p from kernel mode to user mode (e.g., after a context switch)



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Pending and Blocked Signals

- A signal is pending if sent but not yet received
 - There can be at most one pending signal of any particular type for a process
 - That is: Signals are not queued
 - If a process has a pending signal of type k, then subsequent signals of type k that are sent to that process are discarded
 - A pending signal is received at most once

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- A process can block/mask the receipt of certain signals
 - Blocked signals can be delivered, i.e., in the pending state, but will not be received/responded to *until the signal is unblocked*

Pending/Blocked Bits

- Kernel maintains pending and masked bit vectors in the context of each process
 - pending: represents the set of pending signals
 - Kernel sets bit k in pending when a signal of type k is delivered
 - Kernel clears bit k in pending when a signal of type k is received
 - masked: represents the set of blocked signals
 - Can be set and cleared by using the sigprocmask function
 - Also referred to as the signal mask.

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Else

- Choose least nonzero bit k in pnm and force process p to receive signal k, i.e., by executing the corresponding signal handler
- Repeat for all nonzero k in pnm

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- Repeat for all nonzero k in pnm
- Pass control to next instruction in logical flow for p

Blocking Signals

```
sigset_t mask, prev_mask;
sigemptyset(&mask);
sigaddset(&mask, SIGINT);

/* Block SIGINT and save previous blocked set */
sigprocmask(SIG_BLOCK, &mask, &prev_mask);

/* Code region that will not be interrupted by SIGINT */
/* Restore previous blocked set, unblocking SIGINT */
sigprocmask(SIG_SETMASK, &prev_mask, NULL);
```

Explicit blocking and unblocking signal

- sigprocmask function
- sigemptyset Create empty set
- sigfillset Add every signal number to set
- sigaddset Add signal number to set
- sigdelset Delete signal number from set

Safe Signal Handling

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static int x = 5;
void handler(int sig)
   x = 10;
int main(int argc, char **argv)
    int pid, y = 0;
    Signal(SIGCHLD, handler);
    if ((pid = Fork()) == 0) { /* Child */
        Execve("/bin/date", argv, NULL);
    if (x == 5)
        y = x * 2; // You'd expect y == 10
    exit(0);
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What if the following happens:

 Parent process executes and finishes if (x == 5)

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- Parent process executes and finishes if (x == 5)
- Context switch to child, which then terminates, sends a SIGCHLD signal
- Another context switch back to parent, and now the kernel needs to execute the SIGCHLD handler
- When return to parent process, y == 20!

- Handlers are tricky because they are concurrent with main program and may share the same global data structures.
 - Programmers have no control over the execution ordering between the main program and the signal handler, that is:
 - when a signal happens/delivers (depends on user or other process)
 - when the signal handler will be executed (depends on kernel)
 - If not careful, shared data structures can be corrupted

Fixing the Signal Handling Bug

```
static int x = 5;
void handler(int sig)
   x = 10;
int main(int argc, char **argv)
    int pid;
    sigset_t mask_all, prev_all;
    sigfillset(&mask all);
    signal(SIGCHLD, handler);
    if ((pid = Fork()) == 0) { /* Child */
        Execve("/bin/date", argv, NULL);
    Sigprocmask(SIG_BLOCK, &mask_all, &prev_all);
    if (x == 5)
        y = x * 2; // You'd expect y == 10
    Sigprocmask(SIG_SETMASK, &prev_all, NULL);
    exit(0);
```

- Block all signals before accessing a shared, global data structure.
- Can't use a lock (later in this course)

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 - Popular functions on the list:
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 - Popular functions that are not on the list:
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 - Unfortunate fact: write is the only async-signal-safe output function

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- Assume a program wants to do the following:
 - The parent creates multiple child processes
 - When each child process is created, add the child PID to a queue
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Another Unsafe Signal Handler Example

- Assume a program wants to do the following:
 - The parent creates multiple child processes
 - When each child process is created, add the child PID to a queue
 - When a child process terminates, the parent process removes the child PID from the queue
- One possible implementation:
 - An array for keeping the child PIDs
 - Use a loop to fork child, and add PID to the array after fork
 - Install a handler for SIGCHLD in parent process
 - The SIGCHLD handler removes the child PID

```
void handler(int sig)
    pid t pid;
   while ((pid = wait(NULL)) > 0) { /* Reap child */
       /* Delete the child from the job list */
        deletejob(pid);
int main(int argc, char **argv)
   int pid;
    Signal(SIGCHLD, handler);
    initjobs(); /* Initialize the job list */
   while (1) {
        if ((pid = Fork()) == 0) { /* Child */
            Execve("/bin/date", argv, NULL);
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The following can happen:

The first child runs, and terminates

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- The handler deletes the job, which isn't in the queue yet!

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- The first child runs, and terminates
- Kernel sends SIGCHLD
- Context switch to parent, which executes the SIGCHLD handler before
 addjob (pid) is executed
- The handler deletes the job, which isn't in the queue yet!
- The parent process resumes and adds a terminated child to job list

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int main(int argc, char **argv)
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    Signal(SIGCHLD, handler);
    initjobs(); /* Initialize the job list */
    while (1) {
        if ((pid = Fork()) == 0) { /* Child */
            Execve("/bin/date", argv, NULL);
        /* Add the child to the job list */
        addjob(pid);
    exit(0);
```

Key in this example: creating a child and adding its PID to the job list must be an <u>atomic unit</u>: either both happen or neither happen; there can't be anything else that separates the two.

Second Attempt

```
void handler(int sig)
    sigset_t mask_all, prev_all;
   pid t pid;
   sigfillset(&mask all);
   while ((pid = wait(NULL)) > 0) {
        sigprocmask(SIG BLOCK, &mask all, &prev all);
        deletejob(pid);
        sigprocmask(SIG SETMASK, &prev all, NULL);
int main(int argc, char **argv)
    int pid;
    sigset t mask all, prev all;
    sigfillset(&mask all);
    signal(SIGCHLD, handler);
    initjobs(); /* Initialize the job list */
   while (1) {
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   exit(0);
```

Third Attempt (The Correct One)

```
int main(int argc, char **argv)
   int pid;
    sigset_t mask_all, mask_one, prev_one;
    Sigfillset(&mask_all);
    Sigemptyset(&mask_one);
    Sigaddset(&mask_one, SIGCHLD);
    Signal(SIGCHLD, handler);
    initjobs(); /* Initialize the job list */
   while (1) {
        Sigprocmask(SIG_BLOCK, &mask_one, &prev_one); /* Block SIGCHLD */
        if ((pid = Fork()) == 0) { /* Child process */
            Sigprocmask(SIG_SETMASK, &prev_one, NULL); /* Unblock SIGCHLD */
            Execve("/bin/date", argv, NULL);
        addjob(pid); /* Add the child to the job list */
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     Signal(SIGCHLD, handler);
     initjobs(); /* Initialize the job list */
    while (1) {
         Sigprocmask(SIG_BLOCK, &mask_one, &prev_one); /* Block SIGCHLD */
         if ((pid = Fork()) == 0) { /* Child process */
Why this? → Sigprocmask(SIG_SETMASK, &prev_one, NULL); /* Unblock SIGCHLD */
             Execve("/bin/date", argv, NULL);
         addjob(pid); /* Add the child to the job list */
         Sigprocmask(SIG_SETMASK, &prev_one, NULL); /* Unblock SIGCHLD */
     exit(0);
```

Thinking in Parallel is Hard

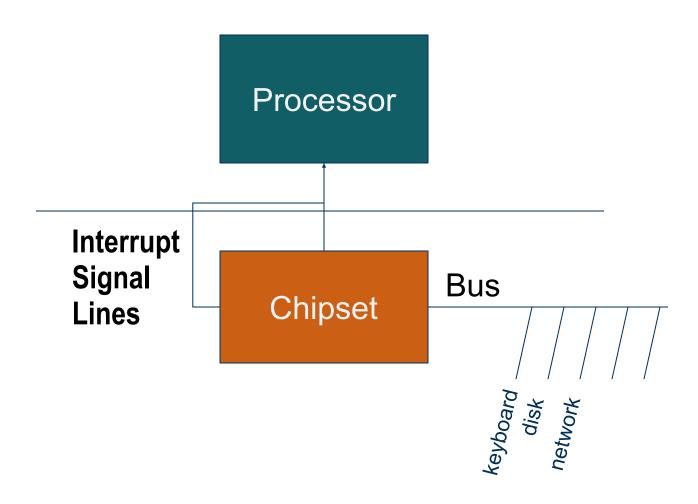
Thinking in Parallel is Hard

Maybe Thinking is Hard

Today

- Signals: The Way to Communicate with Processes
- Interrupts and exceptions: how signals are triggered

Interrupts in a Processor



Interrupts, a.k.a., Asynchronous Exceptions

- Caused by events external to the processor
 - Events that can happen at any time. Computers have little control.
 - Indicated by setting the processor's interrupt pin
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Examples:

- Timer interrupt
 - Every few ms, an external timer chip triggers an interrupt
 - Used by the kernel to take back control from user programs
- I/O interrupt from external device
 - Hitting Ctrl-C at the keyboard
 - Arrival of a packet from a network
 - Arrival of data from a disk

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 - These exceptions will generate signals to processes
 - Aborts

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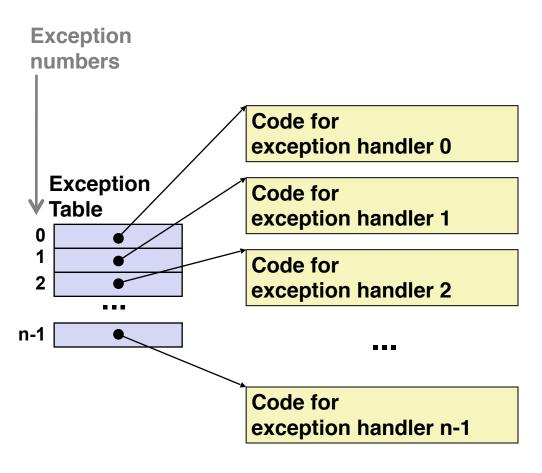
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Aborts

- Unintentional and unrecoverable
- Examples: illegal instruction, parity error, machine check
- Aborts current program through a SIGABRT signal

Each Exception Has a Handler



- Each type of event has a unique exception number k
- k = index into exception table
- Exception table lives in memory. Its start address is stored in a special register
- Handler k is called each time exception k occurs

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 - Ctrl + C sends a keyboard interrupt to the CPU, which triggers an interrupt handler
 - The interrupt handler, executed by the kernel, triggers certain piece of the kernel, which generates the signal, which is then delivered to the target process

When to Execute the Handler?

- Interrupts: when convenient. Typically wait until the current instructions in the pipeline are finished
- Exceptions: typically immediately as programs can't continue without resolving the exception (e.g., page fault)
- Maskable verses Unmaskable
 - Interrupts can be individually masked (i.e., ignored by CPU)
 - Synchronous exceptions are usually unmaskable
- Some interrupts are intentionally unmaskable
 - Called non-maskable interrupts (NMI)
 - Indicating a critical error has occurred, and that the system is probably about to crash

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 - Handler returns to the *following* instruction
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- Aborts
 - Never returns to the program