

CSC 252: Computer Organization

Spring 2022: Lecture 24

Instructor: Yuhao Zhu

Department of Computer Science
University of Rochester

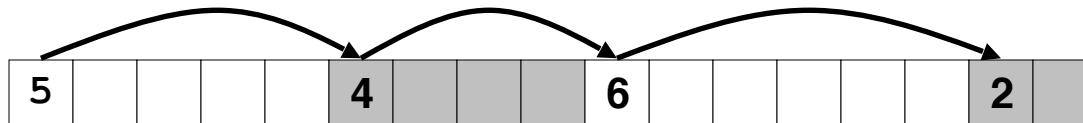
Announcements

- Cache problem set: <https://www.cs.rochester.edu/courses/252/spring2022/handouts.html>
 - Not to be turned in. Won't be graded.
- Assignment 5 due April 21.

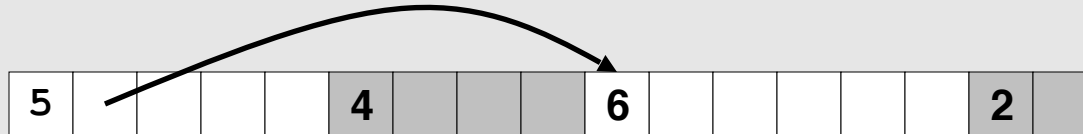
10	11	12	13	14	15	16
				Today		
17	18	19	20	21	22	23
				Due		
24	25	26	27	28	29	30
		Last Lecture				

Keeping Track of Free Blocks

- Method 1: *Implicit list* using length—links all blocks



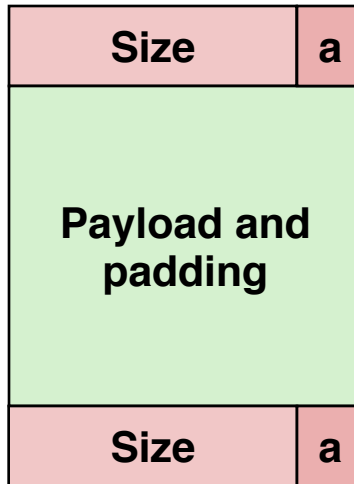
- Method 2: *Explicit list* among the free blocks using pointers



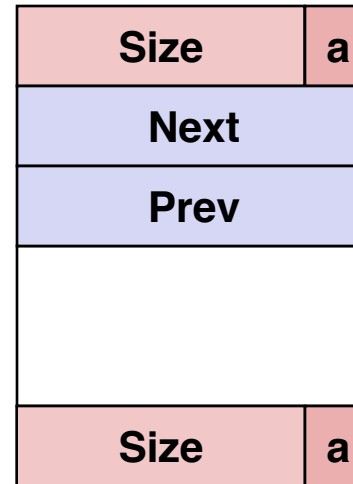
- Method 3: *Segregated free list*
 - Different free lists for different size classes

Explicit Free Lists

Allocated block
(same as before)



Free block



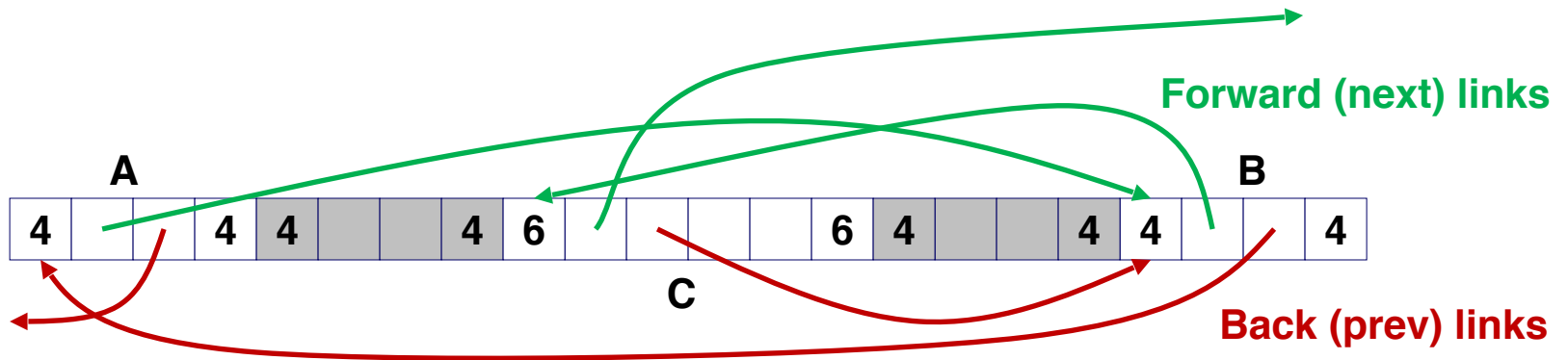
- Maintain list(s) of *free* blocks, not *all* blocks
 - The “next” free block could be anywhere
 - So we need to store forward/back pointers, not just sizes
 - These pointers exist only in free blocks, occupying the would-be payload area, so not really an overhead.
 - Still need boundary tags for coalescing.

Explicit Free Lists

- Logically:

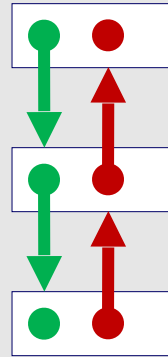


- Physically: blocks can be in any order



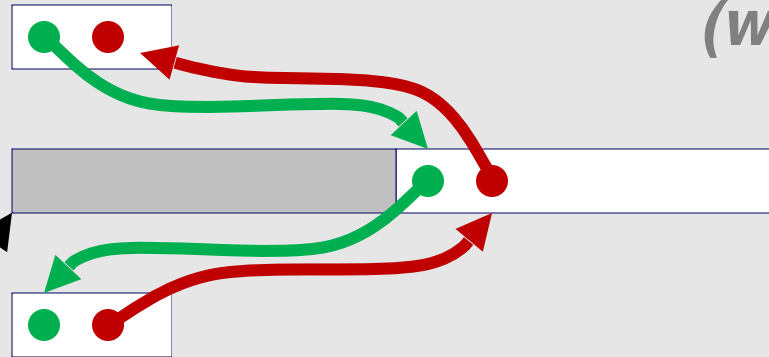
Allocating From Explicit Free Lists

Before



conceptual graphic

After



(with splitting)

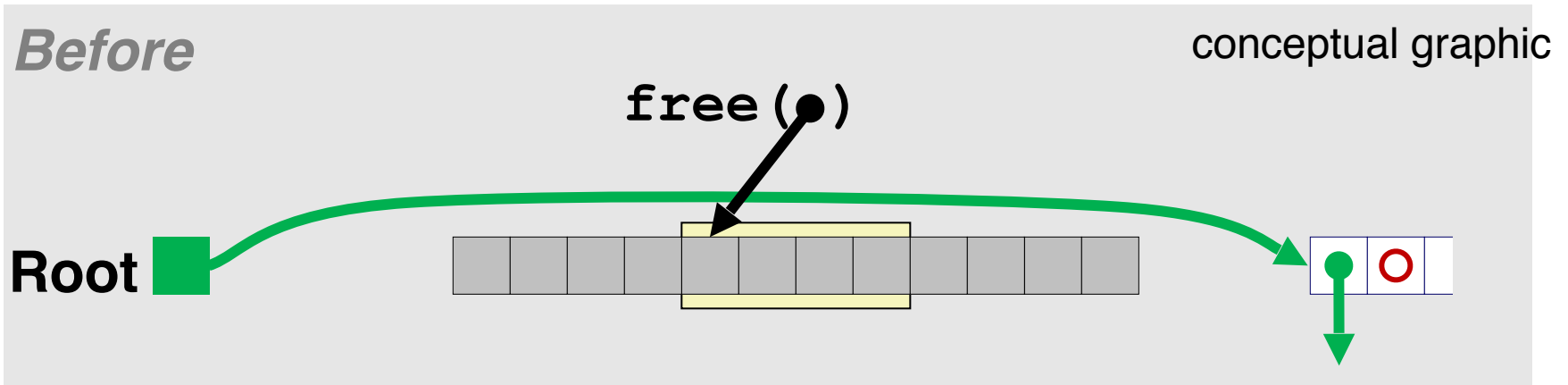
● = malloc(...)

Freeing With Explicit Free Lists

- *Insertion policy*: Where in the free list do you put a newly freed block?
- LIFO (last-in-first-out) policy
 - Insert freed block at the beginning of the free list
 - *Pro*: simple and constant time
 - *Con*: studies suggest fragmentation is worse than address ordered
- Address-ordered policy
 - Insert freed blocks so that free list blocks are always in address order:
$$addr(prev) < addr(curr) < addr(next)$$
 - *Con*: requires search
 - *Pro*: studies suggest fragmentation is lower than LIFO

Freeing With a LIFO Policy (Case 1)

- Insert the freed block at the root of the list

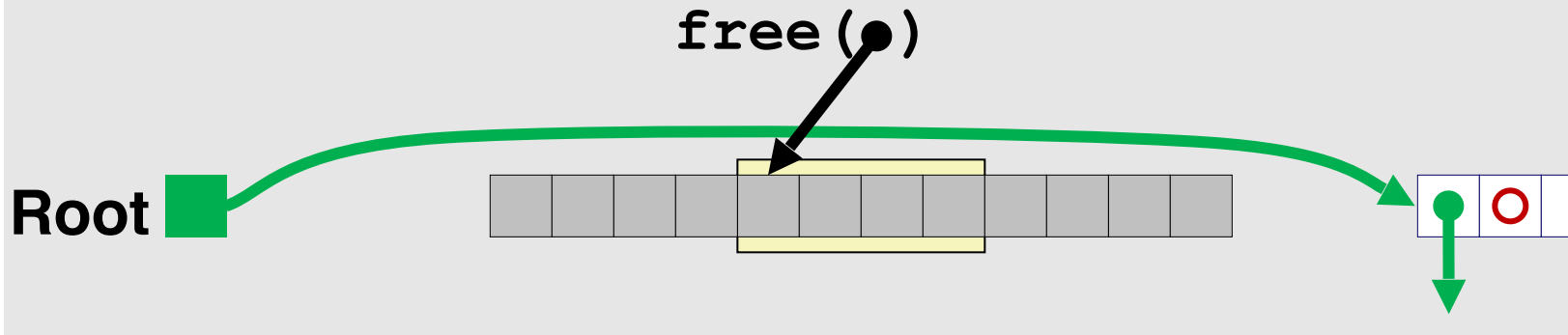


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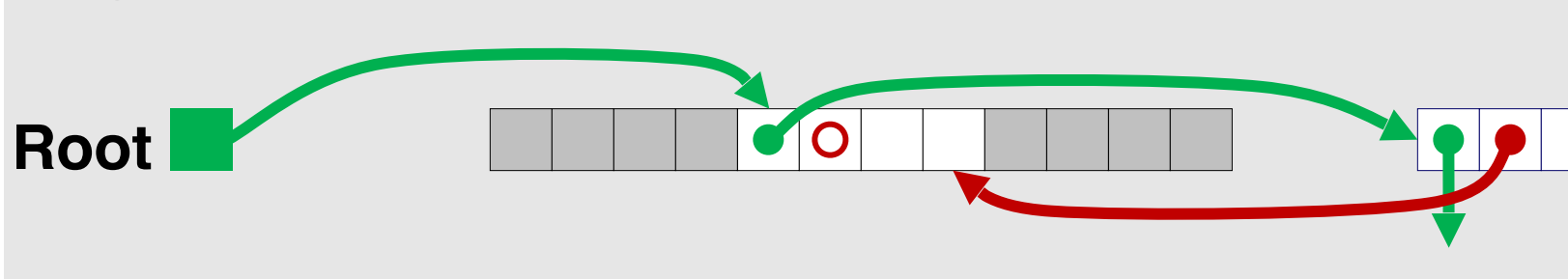
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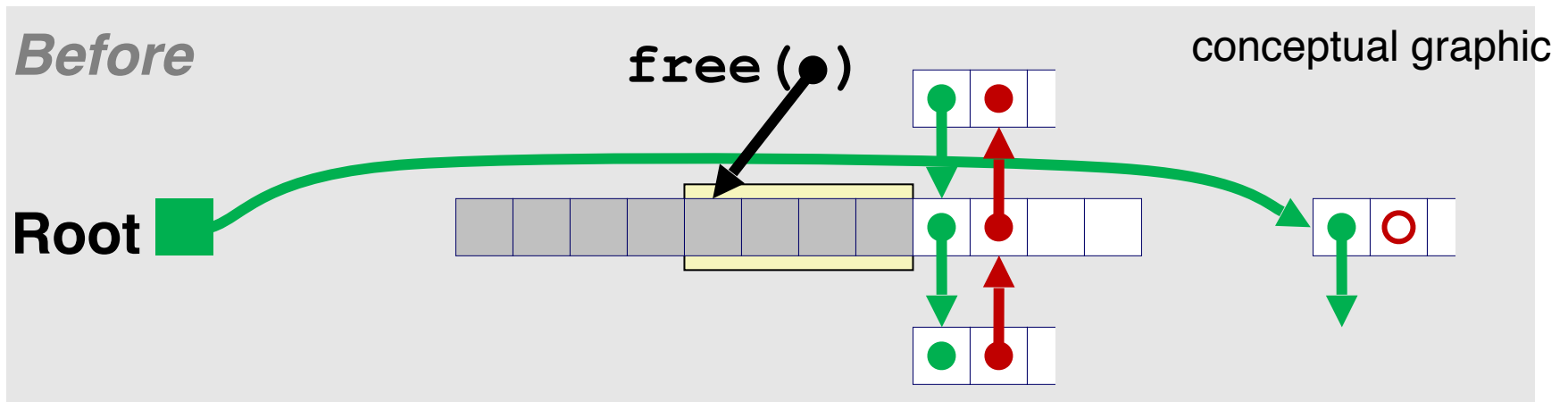


After



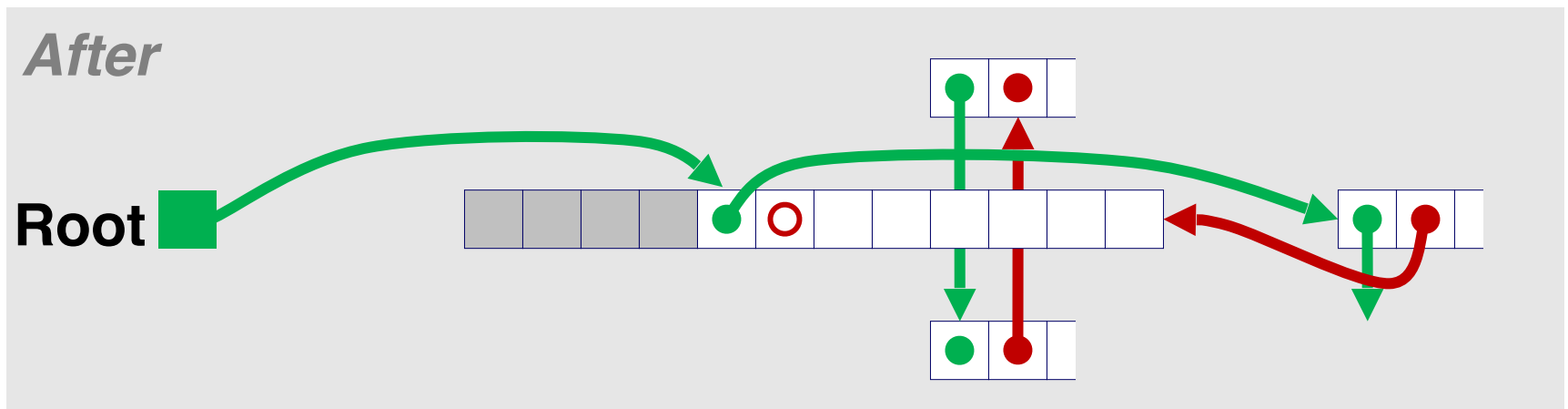
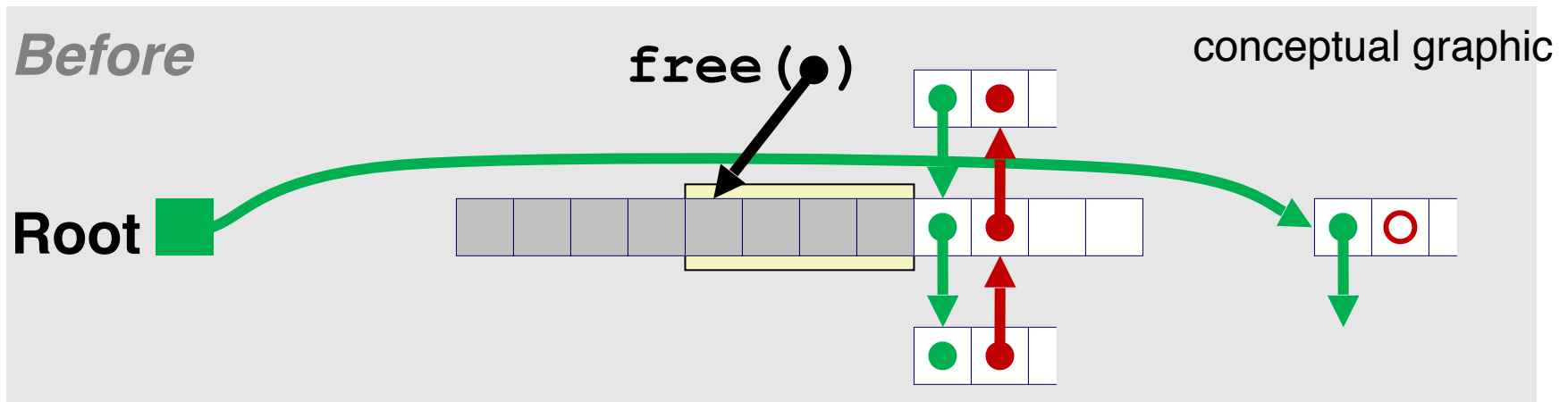
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- Splice out successor block, coalesce both memory blocks and insert the new block at the root of the list



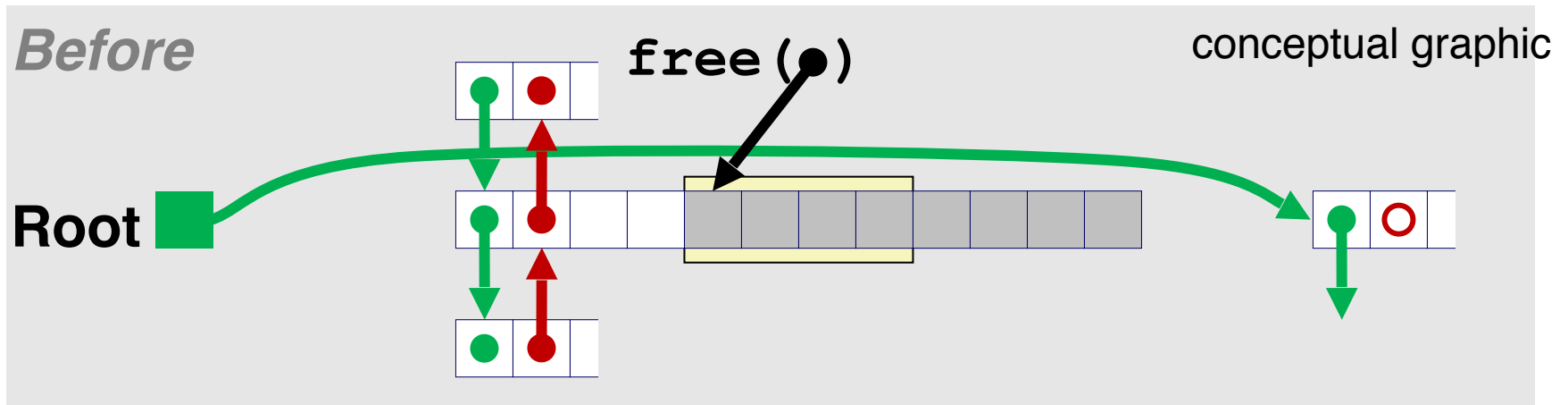
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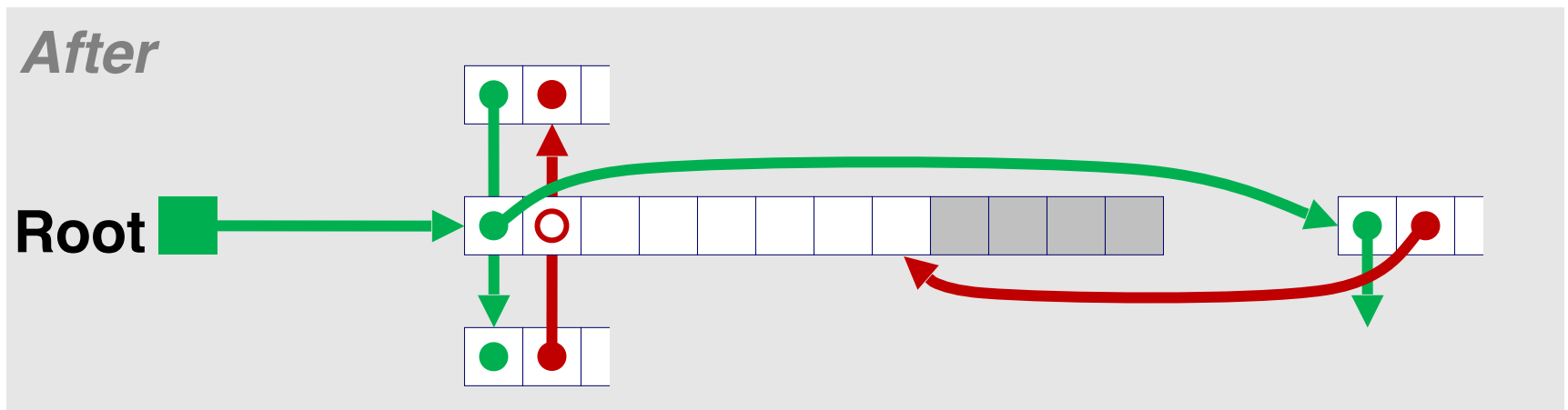
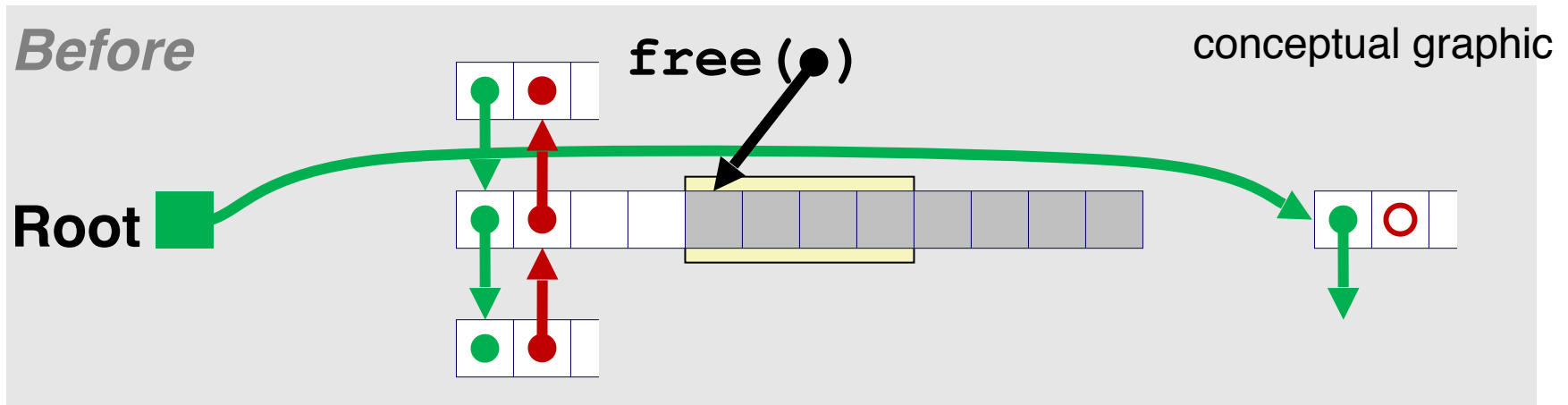
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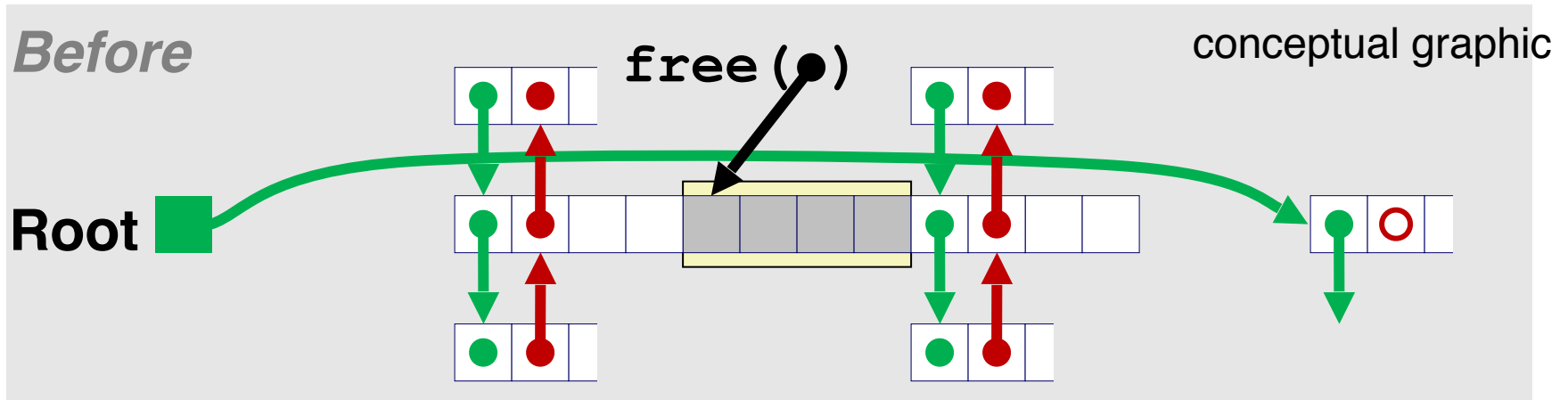
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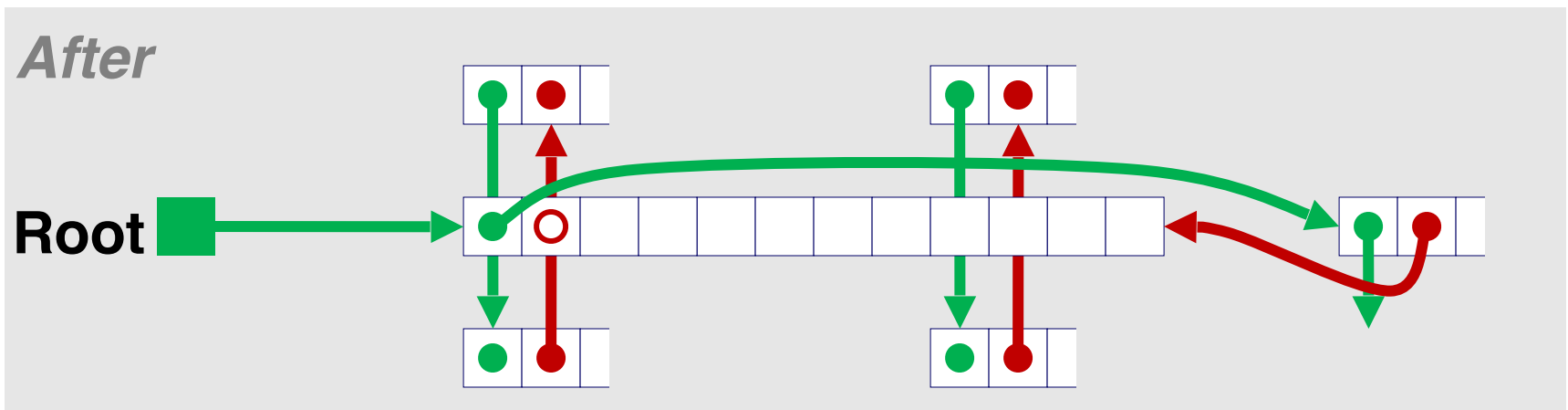
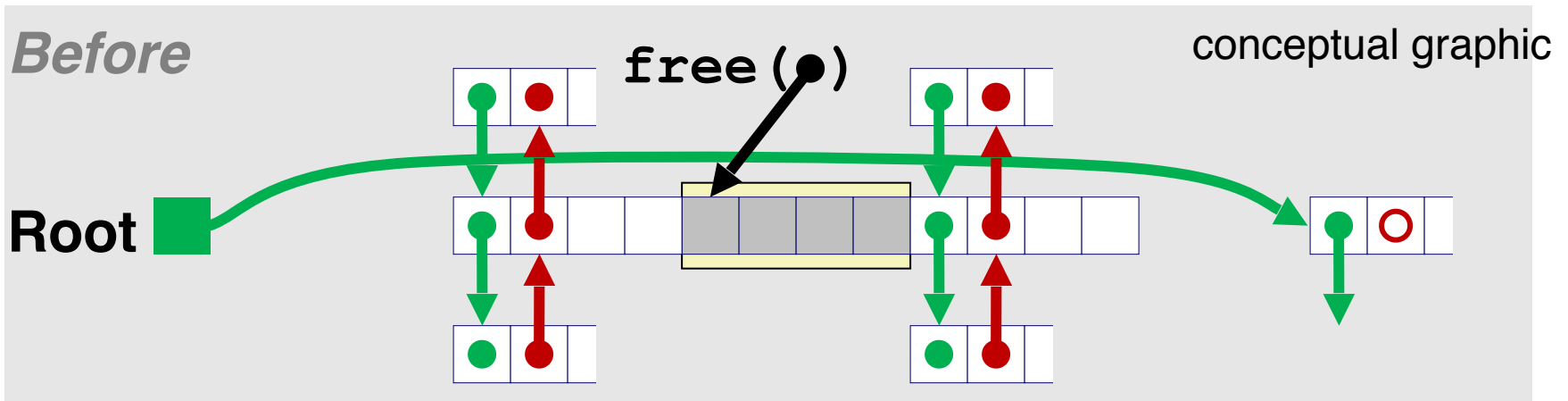
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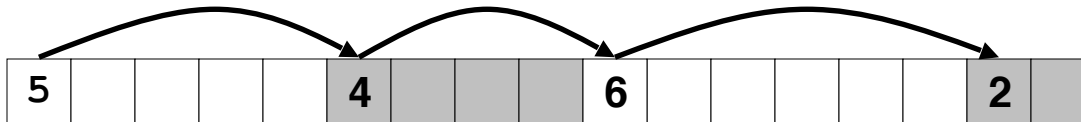


Explicit List Summary

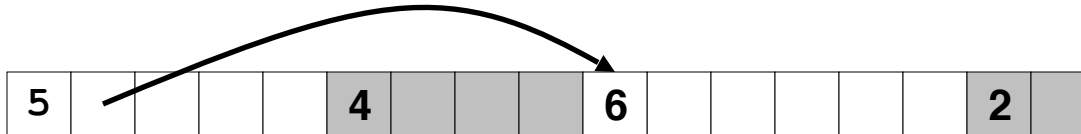
- Comparison to implicit list:
 - Allocate is linear time in number of **free** blocks instead of **all** blocks. Much faster when most of the memory is full.
 - Slightly more complicated allocate and free since needs to splice blocks in and out of the list
 - Some extra space for the links in free blocks (2 extra words needed for each block).

Keeping Track of Free Blocks

- Method 1: *Implicit list* using length—links all blocks

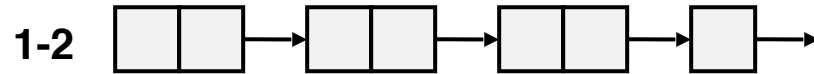


- Method 2: *Explicit list* among the free blocks using pointers



- Method 3: *Segregated free list*
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Segregated List (Seglist) Allocators



- Each *size class* of blocks has its own free list
- Organize the Seglist
 - Often have separate classes for each small size
 - For larger sizes: One class for each two-power size (why?)

Seglist Allocator

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- To allocate a block of size n :
 - Search appropriate free list for block of size $m > n$
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 - Remember heap is in VM, so request heap memory in pages
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- To free a block:
 - Coalesce and place on appropriate list

Advantages of Seglist allocators

- Higher throughput
 - Constant time allocation and free for requests that have a dedicated free list (most of the cases)
 - log time for power-of-two size classes (searching the lists)
- Better memory utilization
 - First-fit search of segregated free list approximates a best-fit search of entire heap.
 - Extreme case: Giving each block its own size class is equivalent to best-fit.

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- Common in many dynamic languages:
 - Python, Ruby, Java, JavaScript, Perl, ML, Lisp, Mathematica
- The key: **Garbage collection**
 - Automatic reclamation of heap-allocated storage — application never has to free

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Garbage Collection

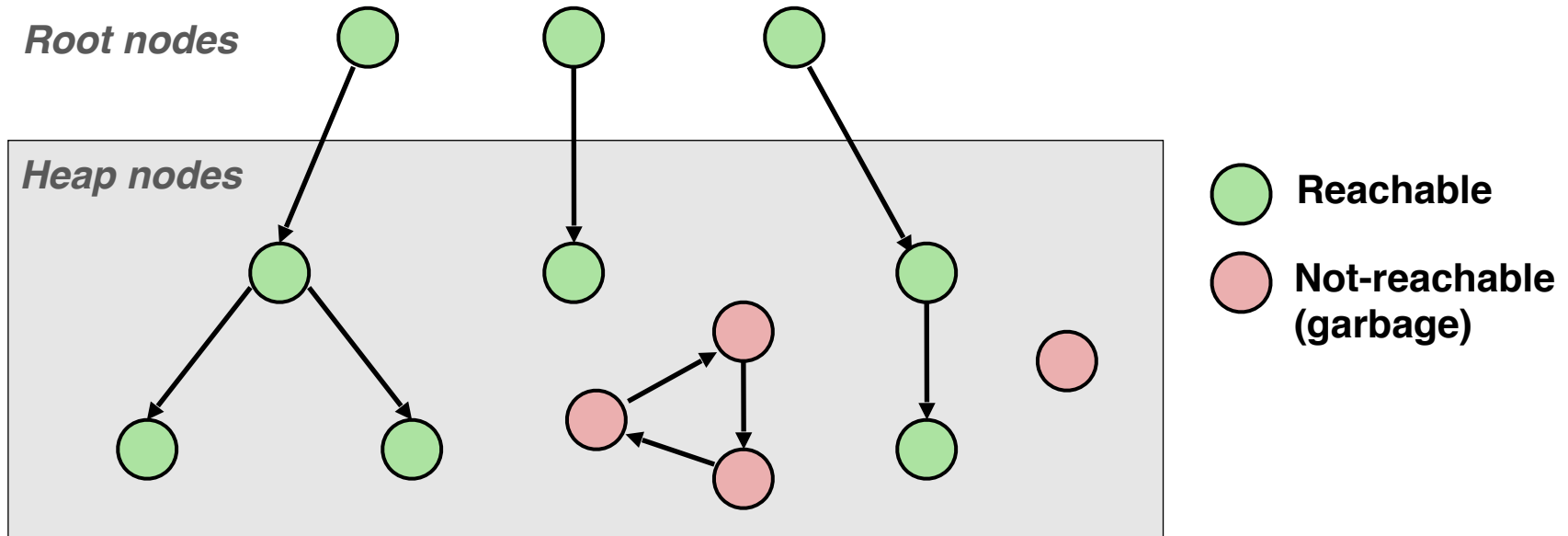
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 - In general we cannot know what is going to be used in the future since it depends on program's future behaviors
 - But we can tell that certain blocks cannot possibly be used ***if there are no pointers to them***
 - Garbage collection is essentially to obtain all **reachable** blocks and discard unreachable blocks.

Memory as a Graph

- We view memory as a directed graph
 - Each block is a node in the graph
 - Each pointer is an edge in the graph
 - Locations not in the heap that contain pointers into the heap are called **root** nodes (e.g. registers, locations on the stack, global variables)



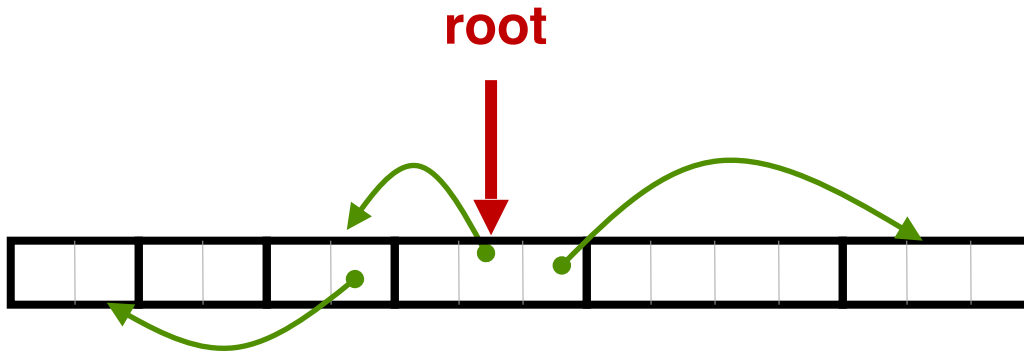
A node (block) is **reachable** if there is a path from any root to that node.

Non-reachable nodes are **garbage** (cannot be needed by the application)

Mark and Sweep Collecting

- Idea:

- Use extra **mark bit** in the header to indicate if a block is reachable
- **Mark**: Start at roots and set mark bit on each reachable block
- **Sweep**: Scan all blocks and free blocks that are not marked



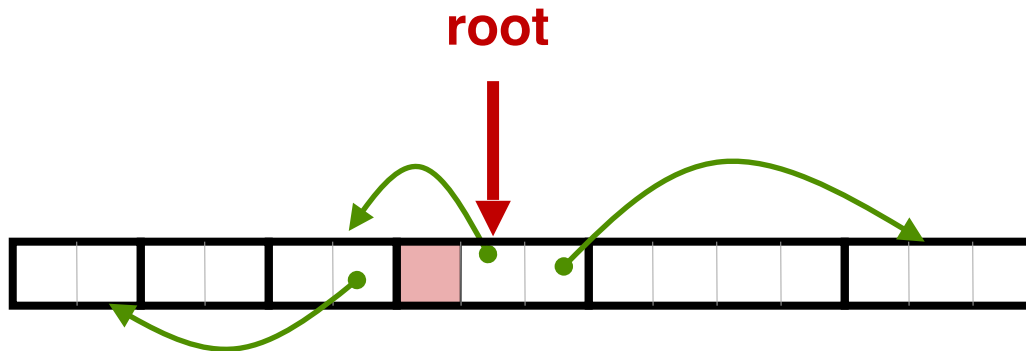
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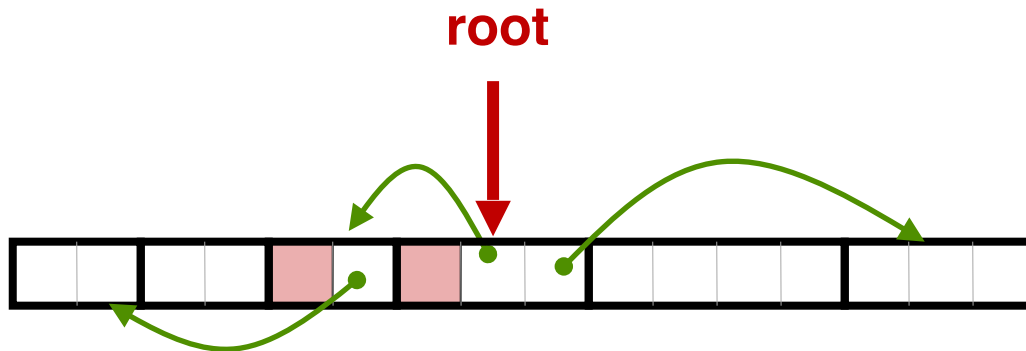
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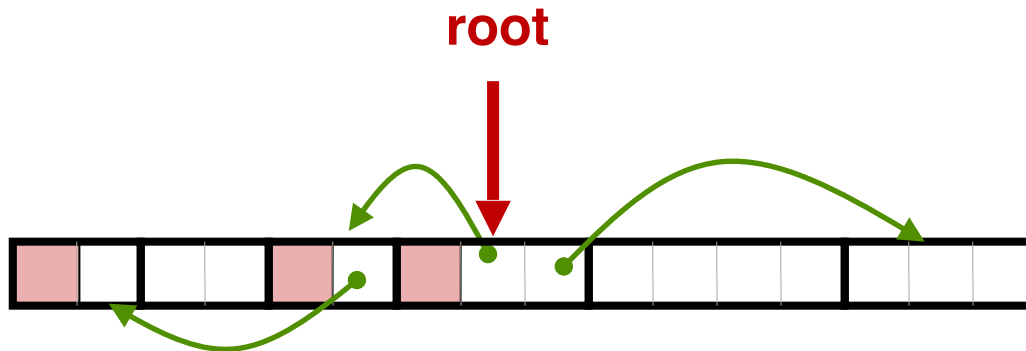


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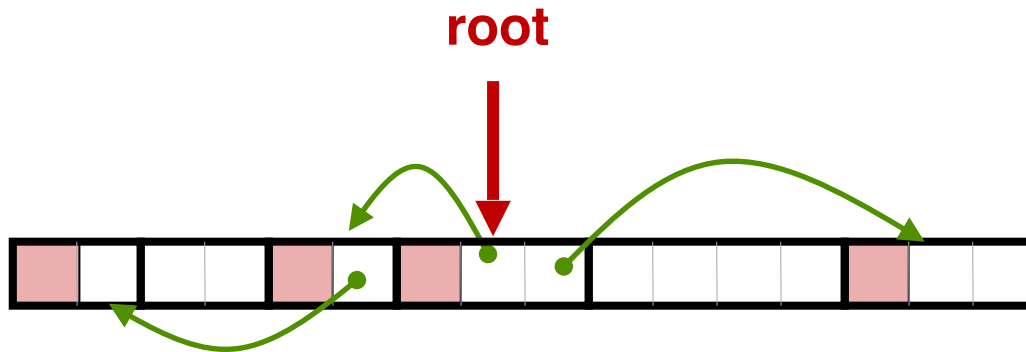
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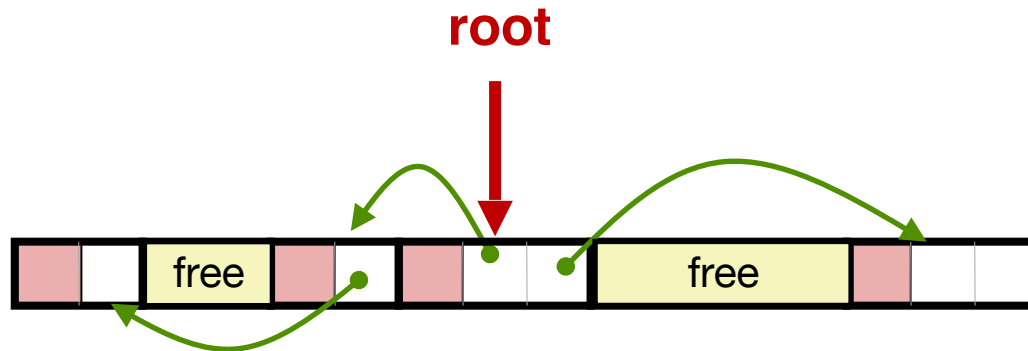
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Mark and Sweep (cont.)

Mark using depth-first traversal of the memory graph

```
ptr mark(ptr p) {  
    if (!is_ptr(p)) return;           // do nothing if not pointer  
    if (markBitSet(p)) return;       // check if already marked  
    setMarkBit(p);                   // set the mark bit  
    for (i=0; i < length(p); i++)    // call mark on all words  
        mark(p[i]);                  // in the block  
    return;  
}
```

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Sweep using lengths to find next block

```
ptr sweep(ptr p, ptr end) {  
    while (p < end) {  
        if markBitSet(p)  
            clearMarkBit();  
        else if (allocateBitSet(p))  
            free(p);  
        p += length(p);  
    }  
}
```

Conservative Mark & Sweep in C

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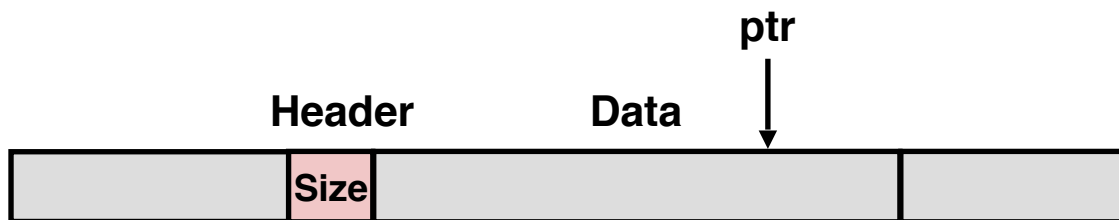
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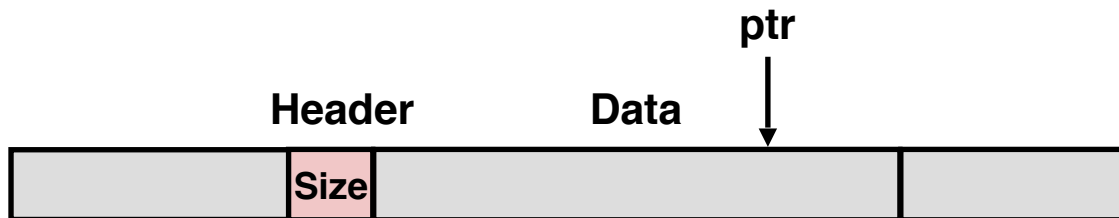
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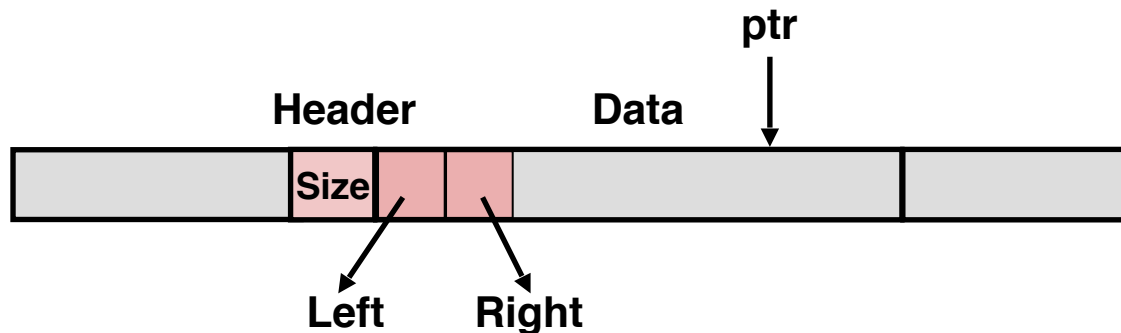
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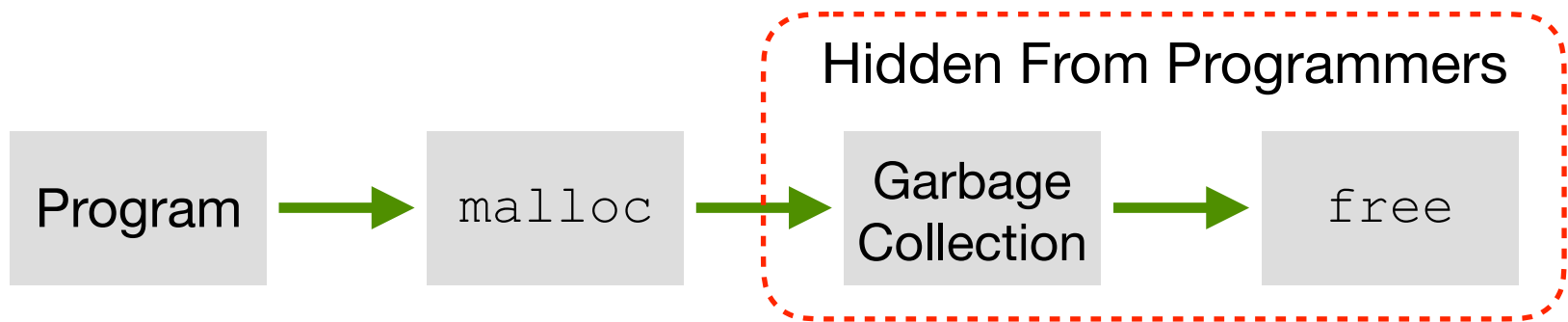
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Left: smaller addresses
Right: larger addresses

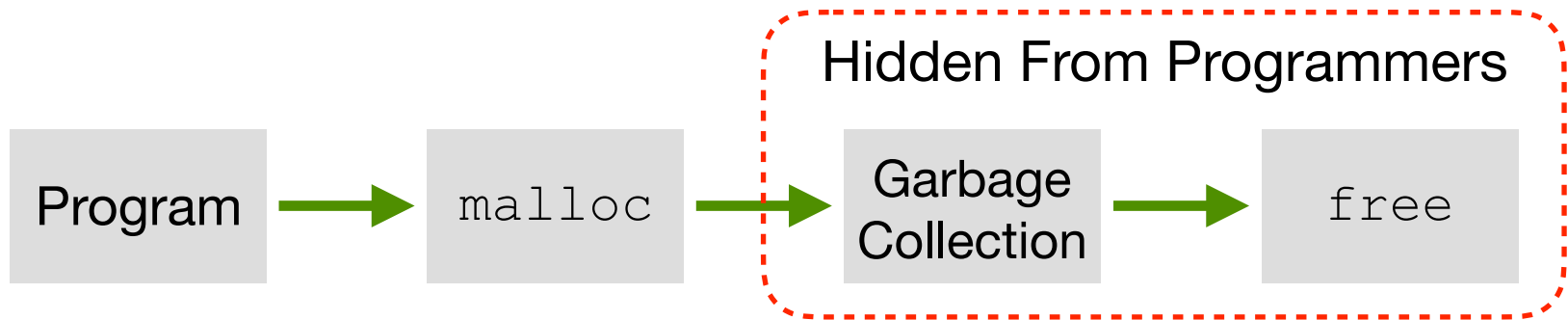
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- Can build on top of `malloc/free` function
 - Call `malloc` until you run out of space. Then `malloc` will call GC.



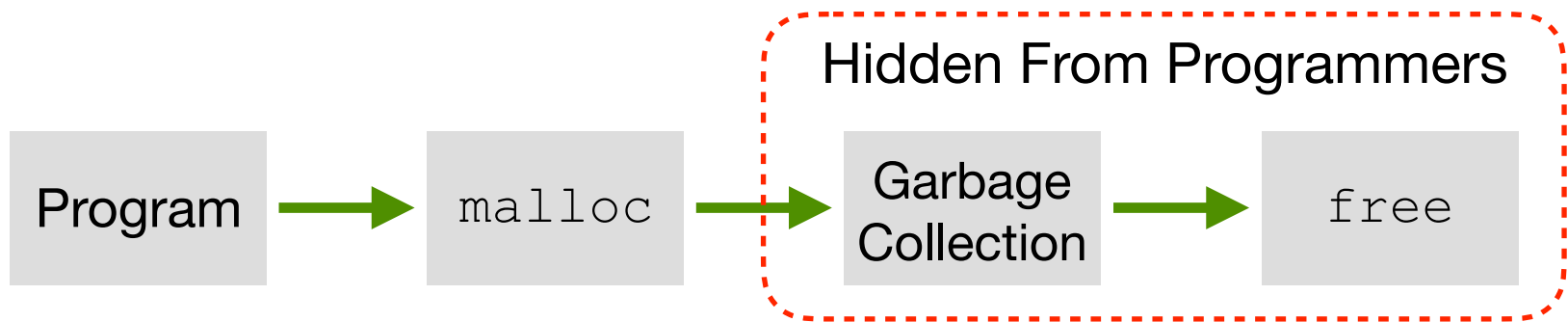
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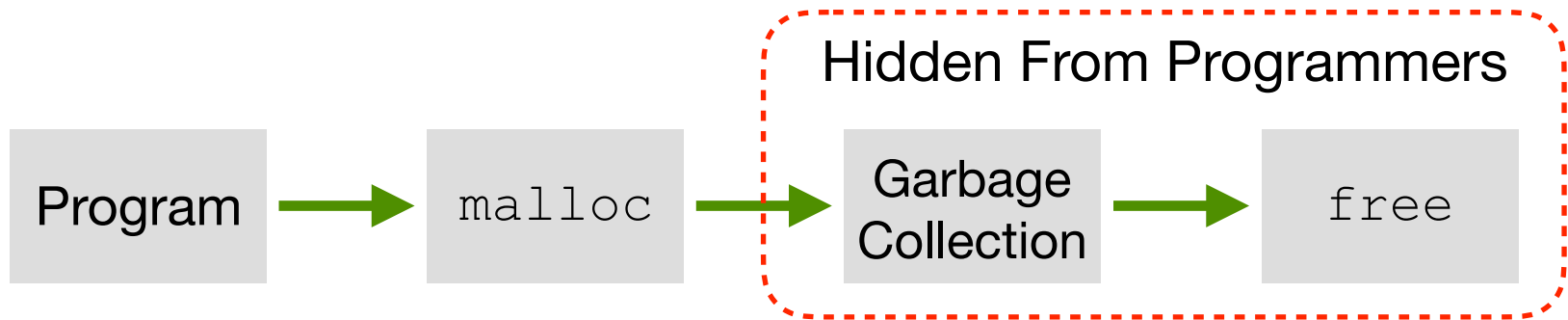
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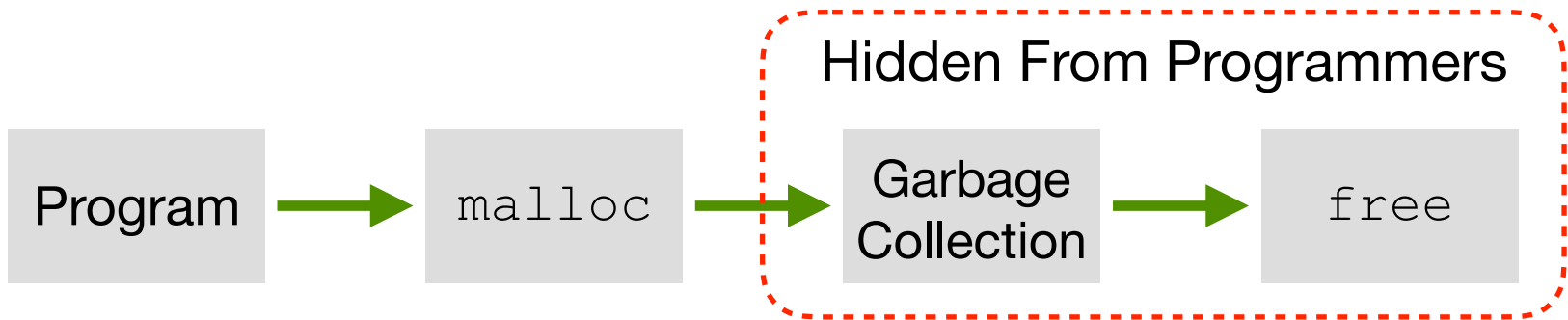
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 - **Concurrent GC**: Run GC service in a separate process/thread

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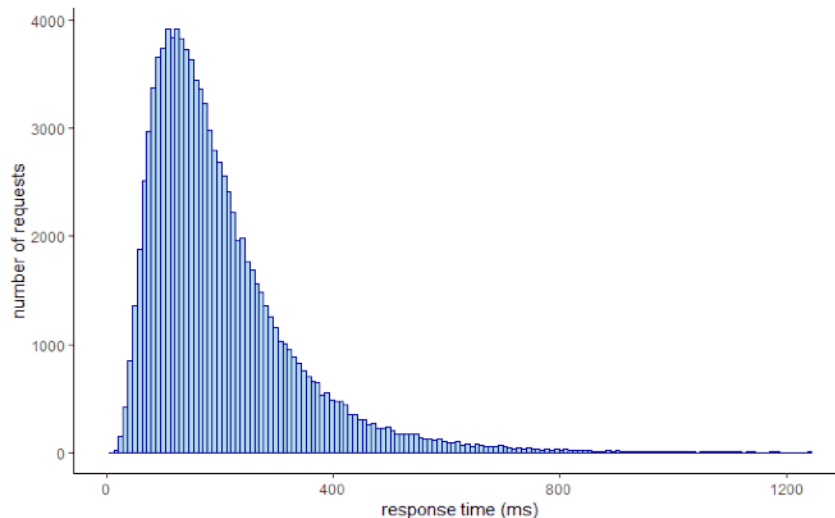
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 - Stop-the-world GC makes program periodically unresponsive
 - Concurrent/Incremental GC helps, but still has performance impacts
 - Bad for real-time systems: think of a self-driving car that needs to decide whether to avoid a pedestrian but a GC kicks in...

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- GC is a great source of performance non-determinisms
 - Generally can't predict when GC will happen
 - Stop-the-world GC makes program periodically unresponsive
 - Concurrent/Incremental GC helps, but still has performance impacts
 - Bad for real-time systems: think of a self-driving car that needs to decide whether to avoid a pedestrian but a GC kicks in...
 - Bad for server/cloud systems: GC is a great source of *tail latency*



Classical GC Algorithms

- Mark-and-sweep collection (McCarthy, 1960)

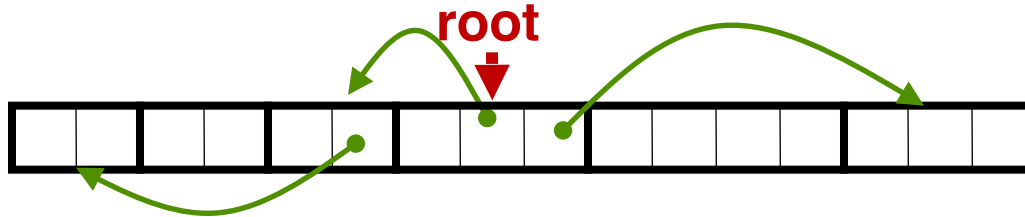
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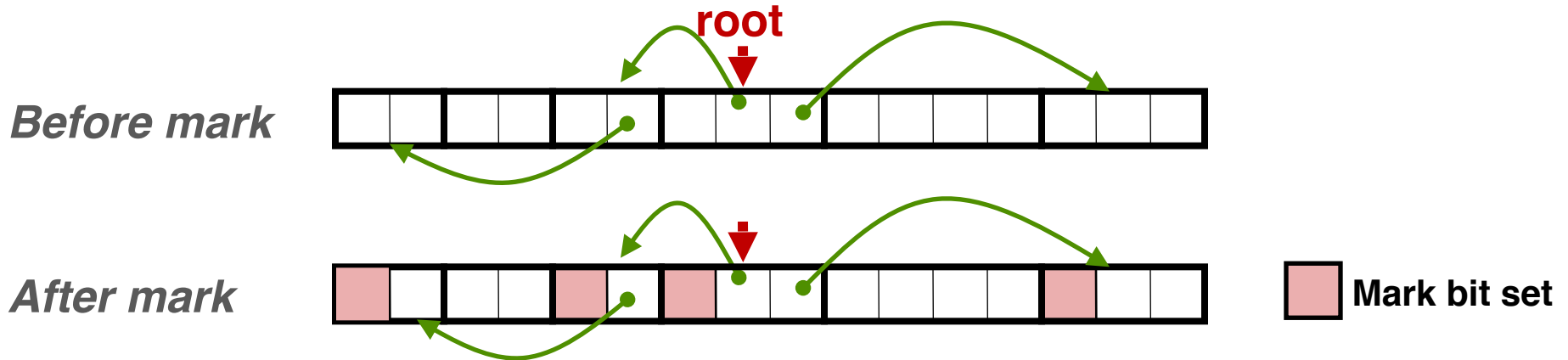
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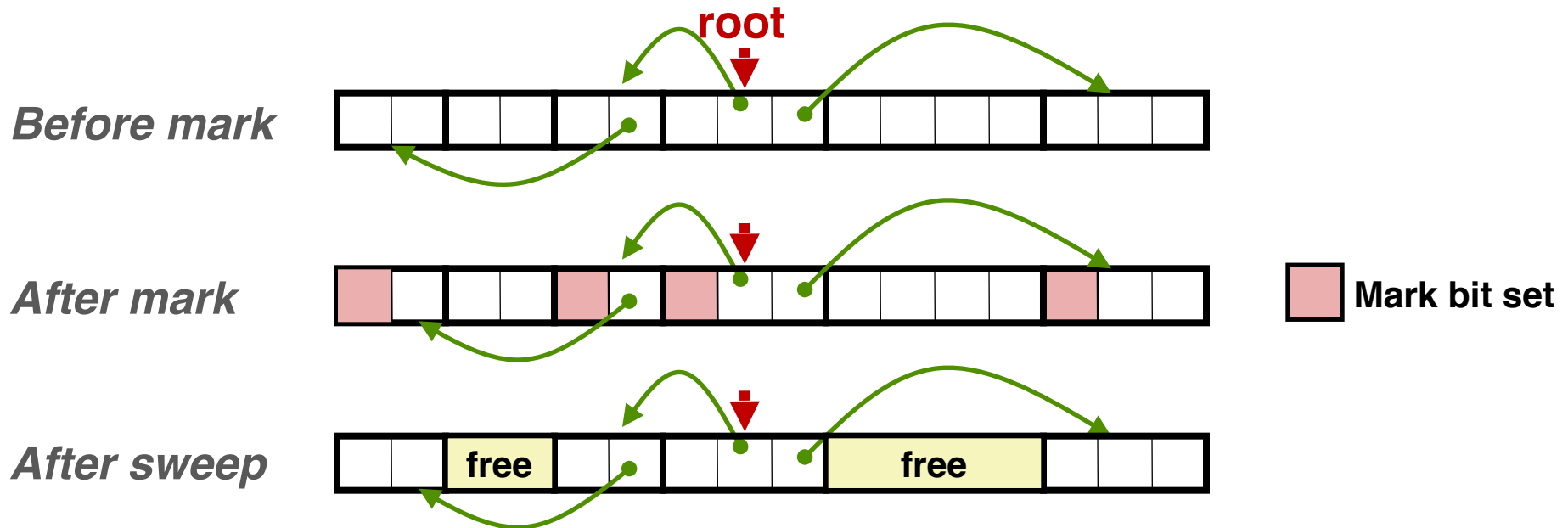
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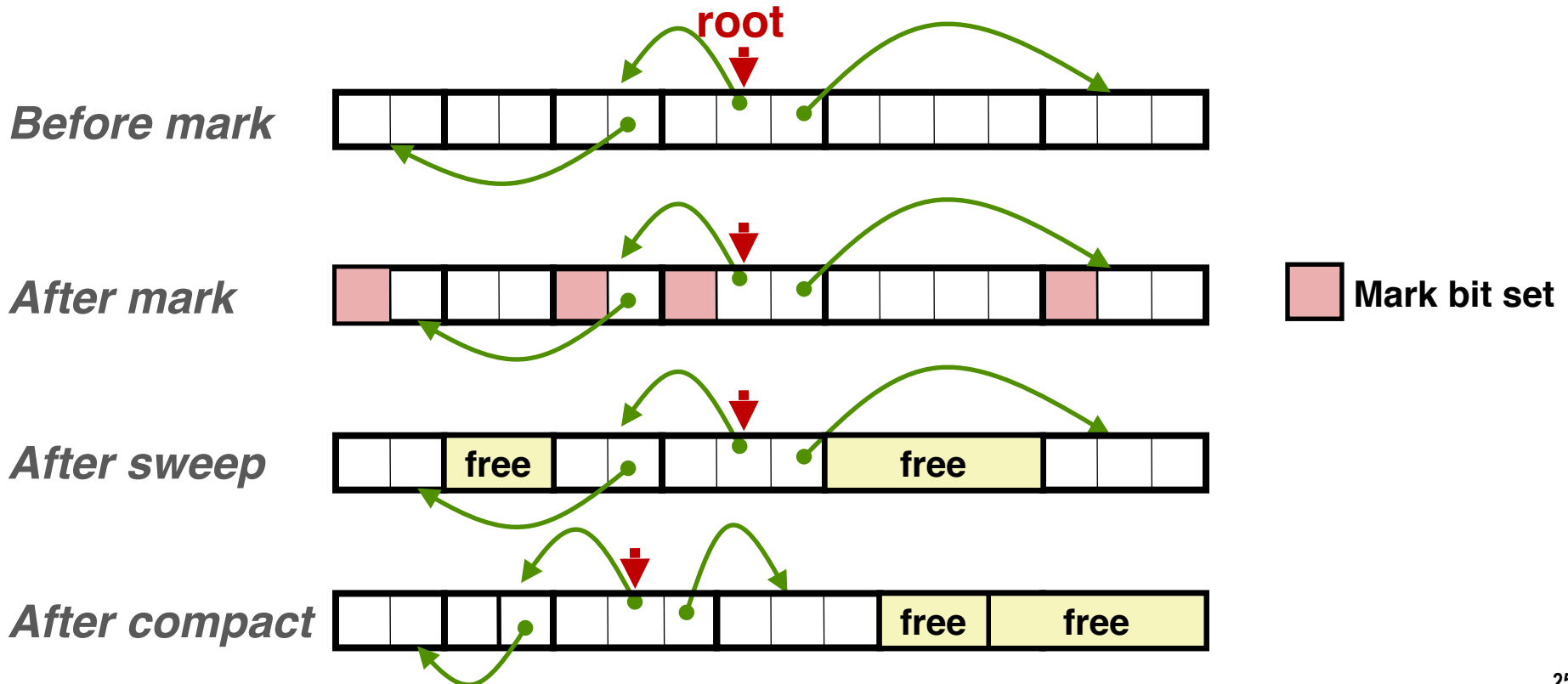
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- **Question: Can any of these algorithms be used for GC in C?**

Jones and Lin, “Garbage Collection: Algorithms for Automatic Dynamic Memory”, 1996.

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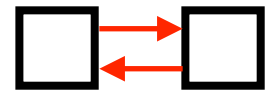
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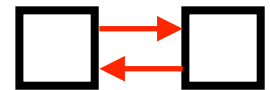
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- A heterogeneous approach (RC + tracing) is often used



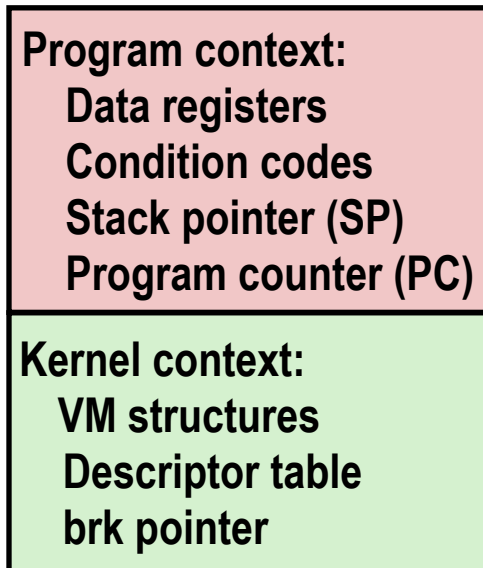
Today

- From process to threads
 - Basic thread execution model
- Multi-threading programming
- Hardware support of threads
 - Single core
 - Multi-core
 - Hyper-threading
 - Cache coherence

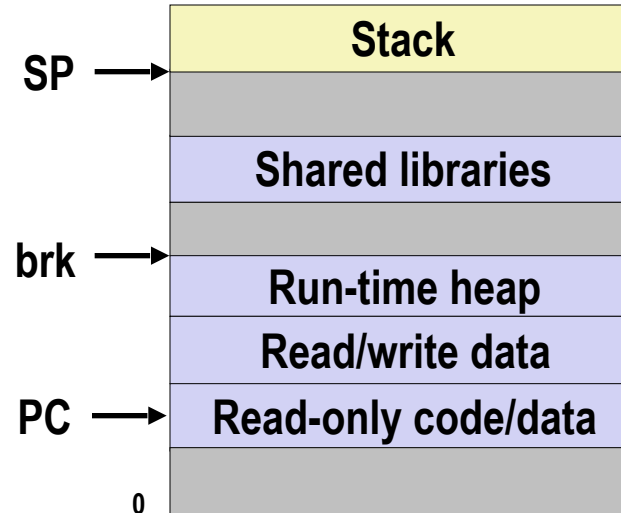
Programmers View of A Process

- Process = process context + code, data, and stack

Process context



Code, data, and stack



A Process With Multiple Threads

- Multiple threads can be associated with a process
 - Each thread has its own logical control flow
 - Each thread shares the same code, data, and kernel context
 - Each thread has its own stack for local variables
 - but not protected from other threads
 - Each thread has its own thread id (TID)

Thread 1 (main thread)

Thread 2 (peer thread)

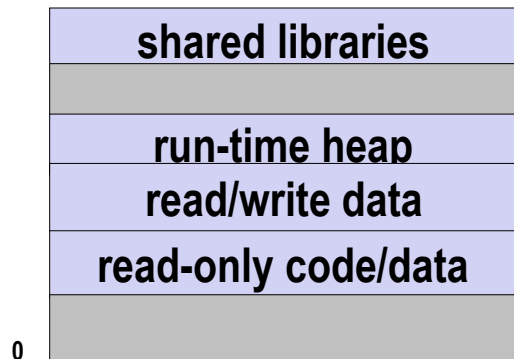
Shared code and data

stack 1

stack 2

Thread 1 context:
Data registers
Condition codes
SP1
PC1

Thread 2 context:
Data registers
Condition codes
SP2
PC2

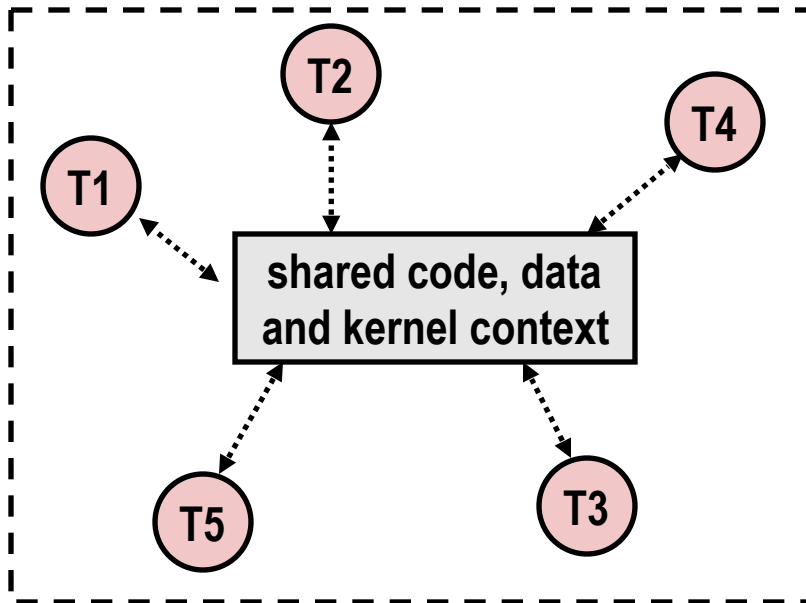


Kernel context:
VM structures
Descriptor table
brk pointer

Logical View of Threads

- Threads associated with process form a pool of peers
 - Unlike processes which form a tree hierarchy

Threads associated with process foo



Process hierarchy

