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LL PARSING and RECURSIVE DESCENT

We can implement top-down parsing in two ways:

- recursive descent parser
 - written by hand or automatically
- parse table and a driver
 - written automatically

We'll consider the table-driven option more in a bit.

If you took 173 you probably saw recursive descent; this is a review.

Key idea: set of mutually recursive subroutine, one for each nonterminal. Each such routine is responsible for discovering a subtree of the parse tree, rooted at the symbol for which it is named.

Also need a `_match_` routine:

takes a token name as argument and reads a matching token from the input stream, or announces an error if it can't.

(How to handle errors comes in the next lecture. For now, let's assume we just quit.)

Consider recursive descent routines for the calculator language:

The parser begins by calling the following subroutine:

```
procedure pgm
  case input_token of
    id, read, write, $$ : stmt_list; match($$)
    else                  error
```

Other subroutine include:

```
procedure stmt_list
  case input_token of
    id, read, write : stmt; stmt_list
    $$              : skip // epsilon
    else            error
```

```
procedure stmt
  case input_token of
    id   : match(id); match(:=); expr
    read : match(read); match(id)
    write: match(write); expr
    else error
```

```
procedure expr
  case input_token of
    id, literal, ( : term; term_tail
    else           error
```

```
procedure term
  case input_token of
    id, literal, ( : factor; fact_tail
    else           error
```

```
procedure term_tail
  case input_token of
    +, -           : add_op; term; term_tail
    ), id, read, write, $$ : skip // epsilon
    else           error
```

etc.

Each routine knows that it's expecting to see

- the `_yield_` of the symbol for which it is named

It needs to

(1) choose a production with which to generate the symbol's children in the parse tree

- makes this choice based on the upcoming token from the scanner

(2) parse those children one by one

- match any that are terminals
- call the appropriate RD routine to parse any that are nonterminals

So how exactly do we know (in a complicated grammar) which production to use, given an expected nonterminal (root of to-be-fleshed-out subtree) and upcoming token?

That is, how to label the arms of the switch statements?

PREDICT Sets

If a RHS can start with a given token (directly or indirectly), the appearance of that token **predicts** its rhs.

If the rhs is epsilon (or something that can derive epsilon), any token that can follow the LHS anywhere in the grammar predicts the epsilon production.

An LL(1) parser generator constructs these "predict sets" for you.

We'll consider the algorithm in a future lecture. It depends on the following definitions:

```
FIRST( $\alpha$ )  $\equiv$  {c :  $\alpha \Rightarrow^* c \beta$ }
FOLLOW(A)  $\equiv$  {c :  $S \Rightarrow^+ \alpha A c \beta$ }
PREDICT(A  $\rightarrow \alpha$ )  $\equiv$  FIRST( $\alpha$ )
U (if  $\alpha \Rightarrow^* \epsilon$  then FOLLOW(A) else  $\emptyset$ )
```

Here \rightarrow is the familiar "goes to" symbol used in productions.

\Rightarrow means "derives" - can be replaced by. Note that its LHS doesn't have to be a single symbol.

\Rightarrow^* means "derives in zero or more steps"

\Rightarrow^+ means "derives in one or more steps"

FIRST sets capture the "RHS can start with 'c'" case.

FOLLOW sets capture the "RHS can generate ϵ " case.

NB: conventional notation uses

lower case letters near the beginning of the alphabet for terminals

lower case letters near the end of the alphabet for `_strings_` of terminals

upper case letters near the beginning of the alphabet for non-terminals

upper case letters near the end of the alphabet for arbitrary symbols

greek letters for arbitrary `_strings_` of symbols

*** In a recursive descent parser, if $c \in \text{PREDICT}(A \rightarrow \alpha)$, then the RD routine for A will predict $A \rightarrow \alpha$ when it sees c on the input.

The calculator language is simple enough that one can figure these out more or less by inspection. "Real" languages are too complex for that to be a reasonable task. We need an algorithm (stay tuned).

MAKING A GRAMMAR LL

Note the implicit assumption that the choice among productions

$A \rightarrow \alpha$ and $A \rightarrow \beta$ is always uniquely determined.

What if there is more than one production w/ a LHS of A and a RHSs that can start w/ the same nonterminal?

Or two RHSs than can generate epsilon?

Or a RHS that can start with c `_and_` a RHS that generate epsilon, when c is in FOLLOW(A)?

In this case the grammar is not LL(1) - by definition.

If you're trying to write an LL(1) grammar, you probably want to avoid `_left recursion_` and `_common prefixes_`

left recursion

example

```
id_list  $\Rightarrow$  ID | id_list , ID
```

convert this to

```
id_list  $\Rightarrow$  ID id_list_tail
```

```
id_list_tail  $\Rightarrow$  , ID id_list_tail |  $\epsilon$ 
```

common prefixes

example

```
stmt  $\Rightarrow$  ID := expr | ID ( arg_list )
```

convert this to

```
stmt  $\Rightarrow$  ID id_stmt_tail
```

```
id_stmt_tail  $\Rightarrow$  := expr | ( arg_list )
```

Both left recursion and common prefixes can be removed mechanically.

Note, however, that there are infinitely many non-LL `_languages_`, and the mechanical transformations work on them just fine, so removing these is necessary but not sufficient to make a grammar LL(1).

Fortunately, the cases that arise in practice, however, can generally be handled with kludges.

A famous example was the if-then-else statements of Algol-60 and Pascal.

Does

```
if A < B then if C < D then X := 1 else X := 2
```

mean

```
if A < B then
  if C < D then
    X := 1
```

```
  else
```

```
    X := 2
```

or

```
if A < B then
  if C < D then
    X := 1
```

```
else
```

```
  X := 2
```

The hack for top-down parsers was to force the first interpretation with a bit of special-case code. If the programmer wanted the second interpretation they needed to type

```
if A < B then begin
```

```
  if C < D then
```

```
    X := 1
```

```
end
```

```
else
```

```
  X := 2
```

Languages since 1970 have fixed this with 'elsif' and 'endif'/'fi'.

```
if A < B then
```

```
  if C < D then
```

```
    X := 1
```

```
  fi
```

```
else
```

```
  X := 2
```

```
fi
```