## Lecture 4: Sampling and Convolution

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### CSC 292/572, Fall 2022 **Mobile Visual Computing**



### Logistics

- Written assignment 1 is up and is due Sept. 16 11:30 AM.
- You can work in groups of 2.
- schedule.html. You will find reading assignments and slides.
- Start thinking and talking to me about your final project idea.

Course schedule: <u>https://www.cs.rochester.edu/courses/572/fall2022/</u>



### The Roadmap

### **Theoretical Preliminaries**

Human Visual Systems

**Color in Nature, Arts, Tech** (a.k.a., the birth, life, and death of light)

**Digital Camera Imaging** 

Modeling and Rendering

Applications



Geometric Transformations Fourier Series & Transforms Sampling & Reconstruction



continuous signal?



Given just a few sparse samples, can we always reconstruct the underlying





continuous signal?



Given just a few sparse samples, can we always reconstruct the underlying





continuous signal?



• Given just a few sparse samples, can we always reconstruct the underlying





- continuous signal?
- If not carefully sampled, reconstructed signals will be aliased: high frequencies signals masquerading as low-frequency signals.



• Given just a few sparse samples, can we always reconstruct the underlying













# Fourier Theory of Sampling and Reconstruction



### Impulse Train Function

Impuse/Delta/Dirac Delta Function



https://en.wikipedia.org/wiki/Dirac\_comb



### Impulse Train Function

Impuse/Delta/Dirac Delta Function



https://en.wikipedia.org/wiki/Dirac\_comb

#### Impulse Train/Dirac Comb/Shah Function (with a period of T and frequency of 1/T)

$$III(t) = \sum_{i=-\infty}^{\infty} \delta(t - iT)$$





## Mathematically Express Sampling



### $s_a(t) = III_T(t)s(t)$

### Impulse Train

 $S_a(t)$  is defined over a continuous domain; its value is 0 except at integer multiples of **T**.



# Fourier Transform a Sampled Signal $F(\omega) = \mathscr{F}(s(t))$ $\boldsymbol{\omega}$ 0 s(t) t



# Fourier Transform a Sampled Signal $F(\omega) = \mathscr{F}(s(t))$ $\boldsymbol{\omega}$ 0 s(t)









## 

$$\mathscr{F}(III_T(t)s(t)) = \sum_{i=-\infty}^{\infty} F(\omega - i\frac{1}{T})$$



The Fourier Transform of the sampled signal is an infinite sequence of the Fourier Transform of the original signal *s* with a period of **1/T**.









**Box Function** 









**Box Function** 





$$B(\omega) = \begin{cases} 1, & |\omega| < \frac{1}{2T}, \\ 0, & \text{otherwise}. \end{cases}$$







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$$B(\omega) = \begin{cases} 1, & |\omega| < \frac{1}{2T}, \\ 0, & \text{otherwise} \end{cases}$$



### **Reconstructing the Original Signal**

#### **Box Function**











































# Ideal Signal Reconstruction Summary s(t) Ш<sub>т</sub>(t) ... The reconstruction equation $s(t) = \mathscr{F}^{-1}[\mathscr{F}(S_a(t))B_{1/2T}(\omega)]$











 $\boldsymbol{\omega}_{s}$  is the maximum frequency of the spectrum.  $F(\boldsymbol{\omega}) = 0$  for all  $|\boldsymbol{\omega}| > \boldsymbol{\omega}_{s}$ .





 $\omega_s$  is the maximum frequency of the spectrum.  $F(\omega) = 0$  for all  $|\omega| > \omega_s$ .





 $\omega_s$  is the maximum frequency of the spectrum.  $F(\boldsymbol{\omega}) = 0$  for all  $|\boldsymbol{\omega}| > \boldsymbol{\omega}_s$ .









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https://pbr-book.org/3ed-2018/Sampling\_and\_Reconstruction/Sampling\_Theory



### **Reconstruction with Aliasing**





High-frequency information in the original signal is lost and shows up as low-frequency errors.



### How To Guarantee Alias-Free Reconstruction?



https://en.wikipedia.org/wiki/Nyquist\_frequency




 $\omega_s < \frac{1}{2T} = \frac{f_s}{2}$ 

https://en.wikipedia.org/wiki/Nyquist\_frequency





Sampling period: **T** Sampling frequency **f**<sub>s</sub>: **1/T** 

https://en.wikipedia.org/wiki/Nyquist\_frequency

 $\omega_s < \frac{1}{2T} = \frac{f_s}{2}$ 





Sampling frequency f<sub>s</sub>: 1/T

https://en.wikipedia.org/wiki/Nyquist\_frequency





**Nyquist–Shannon sampling theorem**: if a signal contains no frequency higher than  $\omega_s$ , it can be perfectly reconstructed from sampling it at a rate higher than  $2\omega_s$ .





Sampling frequency f<sub>s</sub>: 1/T

**Nyquist–Shannon sampling theorem**: if a signal contains no frequency higher than  $\omega_s$ , it can be perfectly reconstructed from sampling it at a rate higher than  $2\omega_s$ .

Nyquist frequency





**Nyquist–Shannon sampling theorem**: if a signal contains no frequency higher than  $\omega_s$ , it can be perfectly reconstructed from sampling it at a rate higher than  $2\omega_s$ .



# **Band-Limited Signal**



 Nyquist-Shannon sampling theorem is only useful if the original signal does have a maximum frequency  $\omega_s$ . Otherwise the sampling rate would have to be infinite.

• Band-limited signal: a signal where there exists a frequency  $\omega$ s such that  $F(\omega) = 0$ for all  $|\omega| > \omega_s$ .

 Most real-world signals are "broad-band" signals; they are **not** band-limited.





## 2D Sampling

#### **"Brush" Function**



**2D sampling theorem**: if a signal contains no horizontal frequency higher than  $\omega_u$  and no vertical frequency higher than  $\omega_v$ , it can be completely reconstructed from sampling it at a horizontally rate higher than  $2\omega_u$  and a vertical rate higher than  $2\omega_v$ .

The horizontal sampling distance  $H < 1/(2 \omega_u)$ The vertical sampling distance  $V < 1/(2 \omega_v)$ 



**Everything is Signal** Sampling & Reconstruction



Light in the physical scene (3D continuous signal)



# Light on the sensor plane (2D continuous signal)













https://www.pinterest.com/pin/86694361554535525/



Displays reconstruct continuous 2D functions from 2D samples



iPhone 6

iPhone 11

https://www.pinterest.com/pin/86694361554535525/

![](_page_48_Picture_6.jpeg)

# Geometric

![](_page_49_Picture_2.jpeg)

3D continuous signal

2D continuous signal (on the sensor plane)

2D sampled signal (i.e., image)

Reconstructed 3D continuous signal

![](_page_49_Picture_7.jpeg)

![](_page_49_Picture_8.jpeg)

# **Everything is Signal Sampling and Reconstruction**

- Physical scene: continuous (at least) 3D function
- Camera lens ⇒ Image sensor ⇒ Display
- Camera lens ⇒ Image sensor ⇒ Print/Paint
- Eye lens ⇒ Retina

![](_page_50_Picture_7.jpeg)

# Anti-Aliasing Techniques

![](_page_51_Picture_1.jpeg)

### Insufficient Sampling and Reconstruction

![](_page_52_Picture_1.jpeg)

https://svi.nl/AntiAliasing https://distance.ufhealth.org/preparing-for-lecture-capture/

![](_page_52_Picture_3.jpeg)

![](_page_52_Picture_4.jpeg)

![](_page_52_Picture_5.jpeg)

# "Just-Enough" Sampling

 Anti-aliasing by sampling at a high not band-limited. No hope?

#### • Anti-aliasing by sampling at a high rate. But most real-world signals are

![](_page_53_Picture_3.jpeg)

# "Just-Enough" Sampling

- not band-limited. No hope?
- In many cases we just need to sample at a rate "just high enough",
  - Acoustic; Vision

• Anti-aliasing by sampling at a high rate. But most real-world signals are

because humans can't sense signals above certain frequency anyways.

![](_page_54_Picture_6.jpeg)

# "Just-Enough" Sampling

	Sampling rate	
	8,000 Hz	Telephone and encrypted walkie-talkie, wireless intercom and wireless mi
	11,025 Hz	One quarter the sampling rate of audio CDs; used for lower-quality PCM,
	16,000 Hz	Wideband frequency extension over standard telephone narrowband 8,00
	22,050 Hz	One half the sampling rate of audio CDs; used for lower-quality PCM and audio formats such as 78s and AM Radio. <sup>[15]</sup>
	32,000 Hz	miniDV digital video camcorder, video tapes with extra channels of audio digital audio, used alongside analogue television sound in some countries
	37,800 Hz	CD-XA audio
	44,056 Hz	Used by digital audio locked to NTSC color video signals (3 samples per
	44,100 Hz	Audio CD, also most commonly used with MPEG-1 audio (VCD, SVCD, Neither 25 frames per second (PAL) or 30 frame/s (using an NTSC <i>monocl</i> recording equipment of the time. A PCM adaptor would fit digital audio same frame, 25 frames per second.
	47,250 Hz	world's first commercial PCM sound recorder by Nippon Columbia (Denor
	48,000 Hz	The standard audio sampling rate used by professional digital video equip could reconstruct frequencies up to 22 kHz and work with 29.97 frames p systems it is necessary to handle 1601.6 audio samples per frame deliver consumer video formats like DV, digital TV, DVD, and films. The professio broadcast television equipment together uses this audio sampling frequer recording devices.

#### Use

crophone transmission; adequate for human speech but without sibilance (ess sounds like eff (/s/, /f/)).

MPEG audio and for audio analysis of subwoofer bandpasses. [citation needed]

0 Hz. Used in most modern VoIP and VVoIP communication products.<sup>[14]</sup>

MPEG audio and for audio analysis of low frequency energy. Suitable for digitizing early 20th century

(e.g. DVCAM with four channels of audio), DAT (LP mode), Germany's Digitales Satellitenradio, NICAM 6. High-quality digital wireless microphones.<sup>[16]</sup> Suitable for digitizing FM radio.<sup>[citation needed]</sup>

line, 245 lines per field, 59.94 fields per second = 29.97 frames per second).

MP3). Originally chosen by Sony because it could be recorded on modified video equipment running at hrome video recorder) and cover the 20 kHz bandwidth thought necessary to match professional analog mples into the analog video channel of, for example, PAL video tapes using 3 samples per line, 588 lines

#### า)

pment such as tape recorders, video servers, vision mixers and so on. This rate was chosen because it ber second NTSC video – as well as 25 frame/s, 30 frame/s and 24 frame/s systems. With 29.97 frame/s ring an integer number of audio samples only every fifth video frame.<sup>[9]</sup> Also used for sound with onal Serial Digital Interface (SDI) and High-definition Serial Digital Interface (HD-SDI) used to connect ncy. Most professional audio gear uses 48 kHz sampling, including mixing consoles, and digital

![](_page_55_Picture_13.jpeg)

# **Anti-Aliasing By Pre-Filtering**

frequency higher than  $f_{sample}/2$ .

• If we can only sample at a rate of f<sub>sample</sub>, pre-filter the signal to remove the

![](_page_56_Picture_3.jpeg)

![](_page_56_Picture_4.jpeg)

# **Anti-Aliasing By Pre-Filtering**

- frequency higher than  $f_{sample}/2$ .

• If we can only sample at a rate of f<sub>sample</sub>, pre-filter the signal to remove the

• Then sample; won't see aliasing, but the reconstructed signal is blurred.

![](_page_57_Picture_5.jpeg)

![](_page_57_Picture_6.jpeg)

# **Anti-Aliasing By Pre-Filtering**

- If we can only sample at a rate of f<sub>sample</sub>, pre-filter the signal to remove the frequency higher than  $f_{sample}/2$ .
- Then sample; won't see aliasing, but the reconstructed signal is blurred. • Blur is more acceptable visually than aliasing.

![](_page_58_Picture_4.jpeg)

![](_page_58_Picture_5.jpeg)

### **Pre-Filtering In the Frequency Domain**

![](_page_59_Picture_1.jpeg)

![](_page_59_Picture_2.jpeg)

1D Discrete Signal

3	1	2	1	3	4	1
---	---	---	---	---	---	---

Filter/Kernel

|--|

Convolution 3x1 + 1x2 + 2x1

Filtered 8 Signal

8			

![](_page_60_Picture_8.jpeg)

![](_page_61_Figure_1.jpeg)

![](_page_61_Figure_2.jpeg)

Filter/Kernel

![](_page_61_Figure_4.jpeg)

Convolution 1x1 + 2x2 + 1x1

**Filtered** 8 6 Signal

1 3	4	1
-----	---	---


![](_page_61_Picture_10.jpeg)

#### 1D Discrete Signal

|--|

Filter/Kernel

![](_page_62_Figure_4.jpeg)

![](_page_62_Figure_5.jpeg)

1

Filtered Signal

8	6	7				
---	---	---	--	--	--	--

2	1
---	---

#### 2x1 + 1x2 + 3x1

![](_page_62_Picture_10.jpeg)

#### 2D Discrete Signal 2D Filter

3	1	2	1	3	4	1
2	4	0	1	10	2	0
0	2	4	21	9	1	14
34	5	4	7	8	90	34
54	6	8	9	13	36	4
6	8	14	2	4	8	52
32	14	54	3	6	8	0

1/9	1/9	1/9
1/9	1/9	1/9
1/9	1/9	1/9

#### Filtered Signal

![](_page_63_Figure_6.jpeg)

![](_page_63_Picture_7.jpeg)

#### 2D Discrete Signal 2D Filter

3	1	2	1	3	4	1
2	4	0	1	10	2	0
0	2	4	21	9	1	14
34	5	4	7	8	90	34
54	6	8	9	13	36	4
6	8	14	2	4	8	52
32	14	54	3	6	8	0

1/9 1/9 1/9

#### **Filtered Signal**

2			

9	1/9	1/9
9	1/9	1/9
9	1/9	1/9

![](_page_64_Figure_7.jpeg)

![](_page_64_Picture_8.jpeg)

#### 2D Discrete Signal 2D Filter

3	1	2	1	3	4	1
2	4	0	1	10	2	0
0	2	4	21	9	1	14
34	5	4	7	8	90	34
54	6	8	9	13	36	4
6	8	14	2	4	8	52
32	14	54	3	6	8	0

1/9 1/9 1/9

#### **Filtered Signal**

2	4			

9	1/9	1/9
9	1/9	1/9
9	1/9	1/9

![](_page_65_Figure_7.jpeg)

![](_page_65_Picture_8.jpeg)

#### **Box Filter**

#### 2D Discrete Signal

![](_page_66_Figure_2.jpeg)

3	1	2	1	3	4	1
2	4	0	1	10	2	0
0	2	4	21	9	1	14
34	5	4	7	8	90	34
54	6	8	9	13	36	4
6	8	14	2	4	8	52
32	14	54	3	6	8	0

![](_page_66_Figure_4.jpeg)

#### **Box Filter**

9	1/9	1/9
9	1/9	1/9
9	1/9	1/9

![](_page_66_Picture_7.jpeg)

#### **Box Filter**

#### 2D Discrete Signal

![](_page_67_Figure_2.jpeg)

3	1	2	1	3	4	1
2	4	0	1	10	2	0
0	2	4	21	9	1	14
34	5	4	7	8	90	34
54	6	8	9	13	36	4
6	8	14	2	4	8	52
32	14	54	3	6	8	0

![](_page_67_Figure_4.jpeg)

#### **Box Filter**

![](_page_67_Figure_6.jpeg)

A box filter makes pixels more similar to its neighbors, i.e., blur.

![](_page_67_Picture_8.jpeg)

# Box (Mean/Moving Average) Filter

![](_page_68_Figure_1.jpeg)

#### 2D Box Filter

1/9	1/9	1/9
1/9	1/9	1/9
1/9	1/9	1/9

#### \* Output size is smaller than input size without padding.

https://towardsdatascience.com/intuitively-understanding-convolutions-for-deep-learning-1f6f42faee1

![](_page_68_Figure_6.jpeg)

# Box (Mean/Moving Average) Filter

![](_page_69_Figure_1.jpeg)

#### 2D Box Filter

1/9	1/9	1/9
1/9	1/9	1/9
1/9	1/9	1/9

#### \* Output size is smaller than input size without padding.

https://towardsdatascience.com/intuitively-understanding-convolutions-for-deep-learning-1f6f42faee1

![](_page_69_Figure_6.jpeg)

#### **Gaussian Filter**

![](_page_70_Figure_1.jpeg)

**2D** Gaussian distribution

_ <u>1</u> 273	1	4	7	4	1
	4	16	26	16	4
	7	26	41	26	7
	4	16	26	16	4
	1	4	7	4	1

A sample 2D Gaussian kernel with mean [0, 0] and  $\sigma=1$ 

A Gaussian filter also averages neighboring pixels, but gives more weight to closer neighbors. It's still a low-pass filter.

![](_page_70_Figure_8.jpeg)

#### Convolution

1D Discrete Convolution

 $f[x] \star g$ 

1D Continuous Convolution

 $f(x) \star g$ 

2D Discrete Convolution

 $f[x, y] \star$ 

2D Continuous Convolution

 $f(x) \star g$ 

$$g[x] = \sum_{k=-\infty}^{k=\infty} f[k]g[x-k]$$

$$k=-\infty$$

$$g(x) = \int_{-\infty}^{\infty} f(\tau)g(x-\tau)d\tau$$

$$\star g[x, y] = \sum_{i=-\infty}^{i=\infty} \sum_{j=-\infty}^{j=\infty} f[i, j]g[x - i, y - j]$$

$$g(x) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} f(\tau, \eta) g(x - \tau, y - \eta) d\tau d\eta$$

![](_page_71_Picture_13.jpeg)
#### **Convolution Theorem**

## $\mathcal{F}(f \star g) = \mathcal{F}(f)\mathcal{F}(g)$

- Spatial domain convolution is equivalent to frequency domain multiplication, and vice versa.
- Why useful? In many cases the spatial domain signal is not given analytically, so we can't have its spectrum analytically.

 $f \star g = \mathcal{F}^{-1}(\mathcal{F}(f)\mathcal{F}(g))$ 

• The only thing we can do is to manipulate the spatial domain data through convolution.



#### **Convolution Theorem**

#### **Spatial Domain**



Fourier Transform



Frequency Domain







### **Box Filter in Spatial and Frequency Domains**



#### Spatial Domain

# Multiplying with this spectrum attenuates high-frequency components.



#### **Frequency Domain**



#### **Box Filter in Spatial and Frequency Domains**



#### Spatial Domain

#### Wider box attenuates high frequencies even more (averaging over a larger window)



#### **Frequency Domain**











#### **Revisiting Ideal Signal Reconstruction**

Sampled Reconstructed signal signal

 $S(t) = \mathcal{F}^{-1}[\mathcal{F}(S_a(t))B(\omega)]$ 

sinc

 $f \star g = \mathcal{F}^{-1}(\mathcal{F}(f)\mathcal{F}(g))$ 

https://web.cs.ucdavis.edu/~okreylos/PhDStudies/Winter2000/SamplingTheory.html







#### **Revisiting Ideal Signal Reconstruction**

#### Sampled Reconstructed signal signal $S(t) = \mathcal{F}^{-1}[\mathcal{F}(S_{\alpha}(t))B(\omega)]$



 $f \star g = \mathcal{F}^{-1}(\mathcal{F}(f)\mathcal{F}(g))$ 







#### **Revisiting Ideal Signal Reconstruction**



 $f \star g = \mathcal{F}^{-1}(\mathcal{F}(f)\mathcal{F}(g))$ 







#### **Anti-Aliased Signal Reconstruction**

Anti-aliasing filter Reconstruction filter (e.g., a box filter) (e.g., a sinc filter)

$$s(t) = S_a(t) \star F$$

- Convolving with multiple filters is equivalent to convolving with one composite filter
- People come up many empirical filters as a composite filter





Nyquist-Shannon sampling theorem applies to generic signals



- Nyquist-Shannon sampling theorem applies to generic signals
- If signals have strong patterns (mostly sparse in the Fourier domain), we can sample at a much lower rate than the Nyquist rate but still obtain a good reconstruction



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- If signals have strong patterns (mostly sparse in the Fourier domain), we can sample at a much lower rate than the Nyquist rate but still obtain a good reconstruction
  - Through compressive sensing



Nyquist-Shannon sampling theorem applies to generic signals

n

- If signals have strong patterns (mostly sparse in the Fourier domain), we can sample at a much lower rate than the Nyquist rate but still obtain a good reconstruction Fully-sampled k-space Wavelet Representation Acquired Image
  - Through compressive sensing







50

- Nyquist-Shannon sampling theorem applies to generic signals
- If signals have strong patterns (mostly sparse in the Fourier domain), we can sample at a much lower rate than the Nyquist rate but still obtain a good reconstruction Fully-sampled k-space Wavelet Representation Acquired Image
  - Through compressive sensing
  - "Single-pixel" camera







50

Nyquist-Shannon sampling theorem applies to generic signals

n

- If signals have strong patterns (mostly sparse in the Fourier domain), we can sample at a much lower rate than the Nyquist rate but still obtain a good reconstruction Fully-sampled k-space Wavelet Representation Acquired Image
  - Through compressive sensing
  - "Single-pixel" camera
  - Must do random sampling







50

 Nyquist-Shannon sampling theorem applies to reconstruction from samples of a single signal without help from "others"



- Nyquist-Shannon sampling theorem applies to reconstruction from samples of a single signal without help from "others"
- We could also learn from prior data to reconstruct signals
  - Through machine/deep learning
  - Image inpainting, super-resolution

















# Upsampling and Downsampling



#### Naive Upsampling $\mathbf{O}$ Ο







#### Naive Upsampling 00 00 Ο 0 0 $\bigcirc$









- First reconstruct the underlying continuous signal
  - With potential anti-aliasing
- And then resample at a desired, higher rate





- That is, first convolve the sampled signal with a filter and then sample Mathematically that's equivalent to computing the convolution only at the
- desired re-sampled points!



# Upsizing by 16:1

nearest neighbor (a.k.a. pixel replication)





#### Slide credit: Marc Levoy

© Marc Levohttps://en.wikipedia.org/wiki/Bicubic\_interpolation 58





#### Downsampling

- Simply dropping samples/pixels? Why is that bad?
- Dropping pixels is equivalent to sampling the original continuous signal using a lower rate. It would make later reconstruction harder!
- Take a photo of a high-frequency scene, and then try to scale it down on your computer. If your image viewer isn't smart, the scaled-down version will be aliased. Why?



## Summary

#### • Think of functions as vectors

- Fourier Series: functions as infinite-dimensional vectors with finite span
- Fourier Transform: functions as infinite-dimensional vectors with infinite span
- Discrete Fourier Transform (Series): functions as finite-dimensional vectors with finite span

#### Numerous applications in science and engineering

- Function approximation (mind the Gibbs phenomena)
- Denoising
- Compression
- Sampling and reconstruction
- • •



## Summary

- Nyquite-Shannon Sampling Theorem
  - Sample at 2*f* if *f* is the maximum frequency component.
  - Otherwise aliasing occurs.
- Anti-Aliasing
  - Sample at a higher rate (or just high enough)
  - Pre-filter high-frequency components
  - (convolution); they are equivalent by the Convolution Theorem.

• Pre-filtering could be done either in the frequency domain or in the spatial domain

