

Notes for CSC 254, 4 Dec. 2023

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Data Abstraction and Object Orientation

Recall discussion of scoping and encapsulation from Chap. 3

Historical development of abstraction mechanisms is roughly:

static set of variables	Basic
locals	Fortran
statics	Fortran, Algol 60, C
modules	Modula-2, Ada 83
module types	Euclid
objects	Smalltalk, C++, Eiffel, Java, C#, Scala, Swift, Ruby, Python, ...
object-based	Self, JavaScript
type extensions	Oberon, Modula-3, Ada 95

Except that objects originated with Simula 67 but were otherwise ignored for most of the '70s, while people continued to refine modules (Simula 67 didn't have data hiding).

The 3 key factors in OO programming (as codified by Wegner):

encapsulation (data hiding)

modules do this, too—e.g., packages in Java and namespaces in C++—but they don't usually give you multiple instances

inheritance

dynamic method binding

this is crucial and often doesn't get looked at carefully—and the default in C++ is different from what you may be used to in Java

Visibility rules

Public and Private parts of an object declaration/definition.

(Some other options in some languages—e.g., package in Java or protected in Java or C++ [which treat them slightly differently])

C++ distinguishes among

public visible to anybody
protected visible only to this class and its descendants
private visible only to this class

Default is public for structs and private for classes.

C++ base classes can also be public, private, or protected. E.g.

```
class circle : public shape { ...  
  anybody can convert (assign) a circle* into a shape*  
class circle : protected shape { ...  
  only members and friends of circle or its derived classes can  
  convert (assign) a circle* into a shape*  
class circle : private shape { ...  
  only members and friends of circle can convert (assign) a  
  circle* into a shape*
```

Java rules are slightly different:

public: visible to anybody
(package) visible only to this class and classes in the same package
protected visible only to this class, its descendants, and classes
 in the same package
private visible only to this class

Package is the default; it's what you get with no specifier ('package' isn't a keyword).

Recall that a **declaration** introduces a name, and enough information about it to allow it to be **used**, at least in limited contexts. A **definition** provides enough information for the compiler to implement the object.

2 reasons to put things in the declaration:

(1) so programmers know how to use the object

Many module-based languages separate modules into pieces: one for the declaration and one for the definition, usually placed in separate files for the purpose of separate compilation.

Declaration modules may be compiled into symbol table data, or they may be textually "included" into user and definition modules. The latter option is a more structured, formal version of the typical ".h" and ".c" files of C.

(2) so the compiler knows how to generate code for uses of the object

At the very least the compiler needs to know how to invoke the methods of the object. If it must allocate space for the object it also needs to know its size (this is a particular challenge in languages with a value model of variables). To figure out the size, the compiler will often need to know information that the programmer does **not** need to know, such as the types (sizes) of private data members.

This can get awkward. It's part of the reason why some newer languages (e.g., Java & C#) dispense with separate declaration and implementation modules. The compiler peruses the single body of code and extracts what users of it need. If you want teams to develop in parallel, you start by creating skeleton versions, which each team uses as an interface specification while they flesh out their own part.

Typically, if you modify a definition module you have to recompile only that definition module. If you change the private portions of a declaration module (the parts the compiler depends on), you have to recompile both the definition module and the user modules, but you don't have to change the **source** of user modules.

A few C++ and Java features you may not have learned:

destructors

These are the opposite of constructors. Mostly they're needed for explicit space management. Java can get by without them because it has garbage collection. Given the availability of destructors, C++ and Rust programmers have invented other clever uses for them, e.g. for locking:

```
std::mutex my_lock;
...
{
    std::lock_guard m(&my_lock);
    // m is a dummy object whose constructor acquires
    // the lock passed as an argument, and then keeps a
    // pointer to this lock in a private data member.

    // code that we'd like to have executed atomically
    // at end of scope, m's destructor automatically releases my_lock
}
```

This idiom is sometimes called RAI: resource acquisition is initialization.

unexpected constructor calls

Constructors are relatively straightforward in a language with a reference model of variables. With a value model, however, we have to arrange to call them at elaboration time for declared objects and sometimes (for temporaries) in the middle of expressions as well.

Consider an object constructed in an argument list:

```
void foo(my_class o) { ... }
...
my_class o2(args);    // constructed here
foo(o2);              // passed by value
foo(my_class(args)); // constructed w/in arg list
```

Because `foo`'s argument is passed by value, the calling sequence needs to invoke the copy constructor. In the first call, this makes good sense. In the second call it seems like a shame, because what's being copied is a temporary that will be destroyed immediately after being passed. (If you put print statements or other side effects in the constructor and destructor [bad idea!], you may be able to see this happen. Or not: the compiler is allowed to elide calls to copy constructors when the copied object will never be used again.)

A similar situation happens when returning:

```
foo e;                foo f(args) {
...                  foo rtn;
e = f(args); // copy? ...
                    return rtn; // copy?
```

Returning `rtn` from `f` may entail 0, 1, or 2 copies, depending on how smart the compiler is. The copies at both ends can be eliminated "for sure" in C++11 using **move constructors**, which use **rvalue references** (indicated in the argument list of the constructor itself with a double ampersand `&&`). A move constructor will be used whenever the compiler knows that the copied-from object will never be used again. Typically that constructor will modify the copied-from object's state so that its destructor won't free stuff we still need.

rvalue references are also used for *move assignment* methods. Programmers are free to use them for other purposes, as well, but this requires great care—it's easy to end up with bugs analogous to dangling references.

initialization

Straightforward in Java because all object-typed variables are references. Data members of object types are simply initialized to null; you specify arguments to the constructors when you call new, explicitly. Arguments for the superclass constructor, if any, can be provided in a pseudo-call, which must be the first statement of the constructor:

```
public child(a, b, c) {  
    super(a, b);  
    ...  
}
```

If you don't provide the super() call, the compiler inserts a call to the zero-arg constructor (which must exist).

Harder in C++ (or Eiffel, or Ada, ...) because of expanded (elaborated) objects—*not* referenced w/ pointers: actually *there*, “in place”.

C++ requires that every object be initialized by a call to a constructor. The rules for doing this for expanded objects are quite complex. For example:

objects as members

```
foo::foo(args) : base(args0),  
               member1(args1), member2(args2) { ...
```

args0, args1, args2, etc. need to be specified in terms of args. The reason these things end up in the header of foo is that they get executed *before* foo's constructor does, and the designers consider it good style to make that clear in the header of foo::foo.

Commonly the arg lists are singletons (for copy constructors), and you might be tempted to replace the code

```
foo::foo(a, b, c) : member1(a), member2(b) { ...
```

with

```
foo::foo(a, b, c) {  
    member1 = a;  
    member2 = b;
```

but this is **not** the same: the latter option calls zero-arg constructors for member1 and member2 **before** calling `foo::foo()`, and **then** calls `operator=`.

Note that the constructors for base classes are called **before** the constructors for children (with multiple inheritance, they're called in the order the specified in the header of the child). Destructors for base classes are called **after** the destructors for children.

In general, the C++ compiler will generate default versions of any needed zero-arg, copy, and move constructors (and `operator=`) that weren't provided by the programmer. These just construct their sub-members and, for the copy case, copy members of built-in types. Automatic generation can be disabled by explicitly **deleting** the constructor:

```
class glarch {  
public:  
    glarch() = delete;
```

In this case, if a zero-arg constructor is needed, the compiler will produce a compile-time error message.

initialization v. assignment

not the same in C++!

`foo::operator=(&foo)` v. `foo::foo(&foo)`

```
foo b;  
    // calls no-arg constructor  
foo f = b;  
    // calls one-arg "copy constructor".  
    // This is syntactic sugar for foo f(b);
```

```
foo b, f;    // calls no-arg constructor  
f = b;      // calls operator=
```

classes as members

Called “inner” classes in Java.

Q: if Inner is a member of Outer, can Inner’s methods see Outer’s members, and if so, which instance do they see?

```
class A {
    int i;
    class B {
        method foo()
            i := 3    // is this allowed?
```

C++ and C# say no, inner classes can see only static fields of the parent. Java says yes, instances of inner class belong to an instance of the outer class, and can access data members of that class. This capability provides much (most?) of the power of nested subroutines, which C++ and Java lack.

Java can be thought of as having four kinds of inner classes. **Static** inner classes are only “sorta” inner: they have limited visibility, but they don’t need an outer class instance to exist.

Member classes (class instance within a class instance) contain a hidden reference to the parent object.

Local classes (class instance within a method of a class instance) contain the hidden reference AND copies of the method’s parameters and final locals (but **not** the non-final locals—so there’s still no static chain).

Anonymous inner classes are like local classes, but can have only one instance.

virtual functions

Virtual functions provide C++’s dynamic method binding: you don’t know at compile time what type the object referred to by a variable will be at run time.

Simula also had virtual functions (all of which were abstract). In most modern OO languages, (Java, C#, Scala, Ruby, Python, ...) **all** member functions are virtual, so you don’t need the keyword.

Key question: if child is derived from parent and I have a parent* p (or a parent& p) that points (refers) to an object that’s actually a child, what member function do I get when I call p->f (p.f)? By default in C++ I get p’s f,

because p's type is parent*. But if f is a virtual function, I get c's f. In Java all methods are virtual.

Also note: If a C++ virtual function has a "0" body in the parent class, then the function is said to be a "pure" virtual function and the parent class is said to be "abstract". In Java you prepend the method declaration with the "abstract" keyword. You can't declare objects of an abstract class; you have to declare them to be of derived classes. Moreover any derived class **must** provide a body for the pure virtual function(s) (unless it too is supposed to be abstract).

BTW: note that inheritance does not obviate the need for generics. You might think: hey, I can define an abstract list class and then derive `int_list`, `person_list`, etc. from it, but the problem is you won't be able to talk about the elements because you won't know their types. That's what generics are for: abstracting over types. See the lecture on polymorphism (generics).

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Implementation of classes

Data members of classes are implemented just like structs (records). With (single) inheritance, derived classes have extra fields at the end. A pointer to the parent and a pointer to the child contain the same address—the child just knows that the struct goes farther than the parent does.

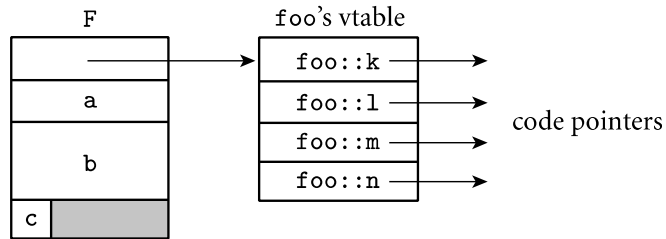
Non-virtual functions require no extra space at run time; the compiler just calls the appropriate version, based on type of variable. Member functions are passed an extra, hidden, initial parameter: 'this' (called 'current' in Eiffel and 'self' in Smalltalk).

Virtual functions are the only thing that requires any trickiness. They are implemented by creating a dispatch table ("vtable") for the class and putting a pointer to that table in the data of the object. Objects of a derived class have a different vtable. In that table, functions defined in the parent come first, though some of the pointers point to overridden versions. You could put the whole vtable table in the object itself. That would save a little time, but potentially waste a **lot** of space.


```

class foo {
    int a;
    double b;
    char c;
public:
    virtual void k( ...
    virtual int l( ...
    virtual void m();
    virtual double n( ...
    ...
} F;

```



The C++ philosophy is to avoid run-time overhead whenever possible. (Sort of the legacy from C). That's why non-virtual functions are the default. Most other OO languages have much more run-time support.

Note that if you can query the type of an object, then you need to be able to get from the object to run-time type info. The standard implementation technique is to put a pointer to the type info at the beginning of the vtable. Of course you only have a vtable in C++ if your class has virtual functions. That's why you can't do a `dynamic_cast` on a pointer whose static type doesn't have virtual functions.

Interface (trait, mix-in) inheritance

Simpler to implement than true multiple inheritance. Each class can have one "real" parent and an arbitrary number of **interfaces**, each of which is fully abstract: no data members (other than statics); no non-pure-virtual methods.

In a dynamic language like Python or Ruby, interface routines are simply included in the (dynamically searched) method table. In a compiled language, the most common implementation adds a dictionary of interface methods to the end of the vtable (or to an *itable* pointed at by the vtable). The first call through a given call site does a (slow) lookup in the table and then *modifies the code of the call site* to embed a cached guess of the lookup, for use next time. If the `this` parameter is in `r1`, call site code then looks like this:

```

r2 := *r1           -- vtable address
if r2 = cached_class goto cache_hit  -- cached_class embedded
call dispatch(r1, iface, method, args) -- slow path
goto next

```

```
cache_hit:
    call cached_method(r1, args)          -- cache_method embedded
next:
```

The book describes an alternative mechanism that avoids slow lookups but is slightly slower in the common case of cache hits.

MB: classic Java also allows static fields in Interfaces.

Starting with Java 8, Interfaces can have
static methods

Straightforward: no access to `this`
default methods

Designed to allow extension of an interface without rewriting
all existing uses of that interface.

Implementation is a little tricky.

no access to members other than the methods and static fields
of the interface itself

does need access to `vtable`, however: for each class that needs the default
code, the compiler generates a static, class-specific forwarding routine
to set up the right `this` parameter.

For true multiple inheritance, see the PLP companion site.

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Smalltalk and Scripting Languages

Long considered the canonical object-oriented language. Based on the thesis work of Alan Kay at Utah in the late 1960's. Went through 5 generations at Xerox PARC, where Kay worked after graduating. Active development ended with Smalltalk-80.

Smalltalk is interesting in its own right, and also for historical reasons. It carried the OO torch from the Simula of the 60s to the C++ of the 80s and 90s and the Java, C#, Python, Ruby, etc. of the 90s and 00s. Smalltalk is HEAVILY integrated into its programming environment. Things like typefaces are part of the syntax of the language.

Everything in Smalltalk is anthropomorphized. "`3 + 4`" is syntax for sending the message "`+ 4`" to the object 3, which returns a reference to the object 7. Even control flow is conceptualized as messages. For example:

```
total = 0
  ifTrue: [average <- 0]
  ifFalse: [average <- sum // total]
```

sends an “= 0” message to the object total, which returns a reference to either the object TRUE or the object FALSE, which is then passed an “ifTrue: ... ifFalse ...” message. Similarly

```
count <- 0.
sum <- 0.
[count <= 20]
  whileTrue: [sum <- sum + count.
             count <- count + 1]
```

sends a “whileTrue: ...” message to a block that would return TRUE or FALSE if sent a “value: ...” message. Similarly

```
3 timesRepeat: [...]
```

```
1 to: 100 by: 10 do: [:i | total <- total + (a at: i)]
```

A simple example method for factorial, understood by integers:

```
factorial
  self = 0
    ifTrue: [^1].
  self < 0
    ifTrue: [self error 'Factorial not defined']
    ifFalse: [^ self * (self-1) factorial]
```

The OO syntax (and semantics) of Objective C, Ruby, and Swift is highly reminiscent of Smalltalk.

In Ruby the expression

```
4 * 3 < 16
```

is equivalent to

```
4.*(3).<(16)
```

which in turn is equivalent to

```
4.send('*', 3).send('<', 16)
```

that is,

```
send a "***, 3" message to 4
then send a "<', 16" message to t,
where t is what you got back from the first send
```

Note, however, that this is more than syntactic sugar: message notation evaluates left-to-right, without regard to traditional notions of precedence. So

```
16 > 4 * 3
```

is equivalent to

```
16.>(4).*(3)
```

or

```
16.send('>', 4).send('*', 3)
```

which groups as

```
(16.>(4)).*(3)
```

or

```
(16.send('>', 4)).send('*', 3)
```

which produces a run-time type error ("undefined method `*' for true:TrueClass"). If you want the equivalent of the infix evaluation order, you have to parenthesize explicitly:

```
16.>(4.*(3))
```

or

```
16.send('>', 4.send('*', 3))
```

As we saw in Chap. 6, Ruby has pass-a-lambda iterators:

```
sum = 0                => 0
[ 1, 2, 3 ].each { |i| sum += i }  => [1, 2, 3] # array itself
sum                      => 6
```

Here the (parameterized) brace-enclosed block is passed to the each method as a parameter.

There's also more conventional-looking syntax:

```
sum = 0
for i in [1, 2, 3] do      # 'do' is optional
  sum += i
end
sum
```

The for loop is syntactic sugar for a call to each.

Here's a more OO alternative:

```
sum = 0
1.upto 3 {|i| sum += i}
sum
```

or instead of using braces:

```
sum = 0
i.upto 3 do |i| sum += i end
sum
```

You can write your own iterators using 'yield'.

```
class Array
  def find
    for i in 0...size
      value = self[i]
      return value if yield(value)
    end
    return nil
  end
end

...
[1, 3, 5, 7, 9].find {|v| v*v > 30 } => 7
```

Think of yield as invoking the block that was juxtaposed (“associated”) with the call to the iterator.

Notice that we’ve defined a new method of the built-in Array class. (Actually, Array already has a find method, but we can redefine it, and it probably looks like this anyway.)

Blocks can also be turned into first-class closures, with unlimited extent:

```
def nTimes(aThing)
  # note lack of type declaration—dynamically typed, as in Lisp
  return proc { |n| aThing * n }
end
```

In recent Ruby, -> is a synonym for proc

```
p1 = nTimes(3)
p2 = nTimes("foo")
p1.call(4)          => 12
p2.call(4)          => "foofoofoofoo"
```

Object orientation in Perl 5 is kind of a kludge; Perl 6 is supposed to be better

JavaScript has an unusual system based on “prototype objects”. It’s an “object-based” language, as opposed to object-oriented. (The original object-based language was Self.)

JavaScript, Python, and Ruby all allow new fields to be added to an object at run time. JavaScript and Ruby allow new methods to be added.

Python and Ruby allow class bodies to be elaborated – conditional compilation. In Ruby:

```
class My_class
  def initialize(a, b)
    @a = a; @b = b;
  end
  if expensive_function()
    def get()
```

```
        return @a
    end
else
    def get()
        return @b
    end
end
end
```

type extensions

- build on structs/records

- language already has modules

- Ada 95, Modula-3, Oberon

 - single inheritance

 - no constructors or destructors

 - explicit 'this' parameter

 - all methods virtual in Modula-3 (also Oberon, I think)

- static by default in Ada 95; virtual when desired; but not a property of the method;

 - rather, determined by access through "class-wide" parameter or pointer

- Fortran 2003

Exist OO extensions to Common Lisp (CLOS), Rexx (Object Rexx), Tcl (Incr Tcl).

OCaml is of course object-oriented (that's what the 'O' is for). For simplicity, I don't usually use any of the OO features in course assignments.