Final Exam
CSC 252
8 May 2018
Computer Science Department
University of Rochester

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Name:____________________________________

Problem 0 (2 points):        ______________
Problem 1 (43 points):      ______________
Problem 2 (15 points):      ______________
Problem 3 (30 points):      ______________
Problem 4 (30 points):      ______________
Problem 5 (15 points):      ______________
Total (135 points):              ______________

Remember “I don’t know” is given 15% partial credit, but you must erase/cross everything else.

Please be sure your name is on each sheet of the exam.

Your answers to all questions must be contained in the given boxes. Use spare space to show all
supporting work to earn partial credit.

You have 2 hours and 45 minutes to work (19:15 -- 22:00).

Please sign the following. I have not given nor received any unauthorized help on this exam.

Signature:___________________________________________________________

GOOD LUCK!!!
(And Have a Great Semester Break)
Problem 0: Warm-up (2 points)

Facebook is hiring hardware engineers. What do you think they are building?

Problem 1 (43 points)

Part a (5 points): A microarchitecture is predicting whether a branch is taken or not taken using a 1-bit predictor. The last five branches were: taken, taken, taken, taken, not taken. What does the branch predictor predict (choose): Taken or Not Taken?

Part b (5 points): In a typical Linux/Unix terminal, when you hit Ctrl + Z, which state are you putting the foreground process to (choose): Running, Stopped, Terminated?

Part c (5 points): Cache blocking is a software-level performance optimization technique that improves what aspect of a program (choose): Locality, Parallelism, Concurrency, Security?

Part d (5 points): An application that is 90% parallelizable is executed on a single processor in 1.5 hours. If the application is allowed to run with an unlimited number of processors, what is the lower bound on its execution time?

Part e (5 points): On a page fault, the operating system often loads a page from the disk into memory. How does the operating system know whether it is necessary to write the previously occupied page in the memory back to the disk? Answer in fifteen words or fewer.
**Part f (5 points):** What is the cycle time of a 1 GHz processor?

**Part g (5 points):** What is the fundamental reason that process context switch has a much higher overhead than thread context switch in Linux? Answer in twenty words or fewer.

**Part h (5 points):** Suppose we have two 4-bit 2’s complement numbers:

\[
\begin{align*}
1111 \\
1110
\end{align*}
\]

Does the sum of the two numbers result in an overflow?

**Part i (3 points):** Recall that the crux of tracing-based GC algorithms such as Mark-and-sweep and Mark-sweep-compact is to start from “root” variables and then identify all the reachable variables. In the following code snippet, suppose the program just finishes executing L7, which variables are regarded as “root”? Name only those that point to variables on the heap.

```
L1:   int *p3;
L2:   int* foo(int n) {
L3:     int i, *p1;
L4:     p1 = (int *) malloc(n * sizeof(int));
L5:     for (i=0; i<n; i++)
L6:         p1[i] = i;
L7:     p3 = p1[2];
L8:     return p1;
L9: }
L10:  void bar() {
L11:     int *p2 = foo(5);
L12: }
```
Problem 2 (15 points)

We assume that IEEE decided to add a new 8-bit representation with its main characteristics consistent with the 32/64-bit representations. Consider the following four 8-bit numbers:

A: 11100101
B: 00111001
C: 00001100
D: 00011101

The decimal values represented by the above numbers are as follows, *in no particular order*:

\[
\frac{31}{8}, -21, \frac{29}{32}, \frac{3}{8}
\]

Part a (3 points): Represent decimal value \(\frac{3}{8}\) in binary normalized form

Part b (3 points): Which 8-bit floating point number represents -21 (choose from A, B, C, D)?

Part c (3 points): Which 8-bit floating point number represents \(\frac{29}{32}\) (choose from A, B, C, D)?

Part d (6 points): Given the above information, figure out the following:

(2 points) Number of bits needed for exponent:

(2 points) Number of bits needed for fraction:

(2 points) Bias:
Problem 3 (30 points)

A byte-addressable, write-back cache of \textit{fixed total size} and \textit{fixed cache line (a.k.a., block) size} is implemented as both a direct mapped cache and also as an N-way set-associative cache. In both cases, we will assume the cache is initially empty.

First, consider the cache organized as a direct mapped cache. The following sequence of 11 accesses generates the hits/misses shown. Some miss/hit entries are intentionally left blank.

<table>
<thead>
<tr>
<th>Address</th>
<th>Read/Write</th>
<th>Direct Mapped (Hit/Miss)</th>
</tr>
</thead>
<tbody>
<tr>
<td>010001010</td>
<td>R</td>
<td></td>
</tr>
<tr>
<td>1100100111</td>
<td>R</td>
<td>Miss</td>
</tr>
<tr>
<td>1110101000</td>
<td>R</td>
<td>Miss</td>
</tr>
<tr>
<td>0011000101</td>
<td>R</td>
<td></td>
</tr>
<tr>
<td>0110111000</td>
<td>R</td>
<td></td>
</tr>
<tr>
<td>1010110101</td>
<td>R</td>
<td>Miss</td>
</tr>
<tr>
<td>1100100000</td>
<td>R</td>
<td>Miss</td>
</tr>
<tr>
<td>0100001111</td>
<td>R</td>
<td></td>
</tr>
<tr>
<td>0110111111</td>
<td>W</td>
<td>Miss</td>
</tr>
<tr>
<td>0110101000</td>
<td>R</td>
<td></td>
</tr>
<tr>
<td>0110100101</td>
<td>R</td>
<td>Miss</td>
</tr>
</tbody>
</table>

Part a (4 points): How many cache lines does each set have in a direct mapped cache?

Part b (2 points): What is the cache line (a.k.a., block) size?

Part c (2 points): What are the number of index bits for the direct mapped cache?
Now consider the cache organized as a N-way set-associative cache, with the same total size and same cache line size as before. The total size of “overhead” for this N-way set associative cache is 112 bits. Assume that in this particular cache, overhead in each cache line includes tag bits and 10 additional bits for bookkeeping (e.g., the valid bit, modified bit, LRU bits) that do not affect this problem. We have expanded the table to show the hit/misses for the same sequence of accesses when the cache is organized as an N-way set-associative cache.

<table>
<thead>
<tr>
<th>Address</th>
<th>Read/Write</th>
<th>Direct Mapped (Hit/Miss)</th>
<th>N-way associative (Miss/Hit)</th>
</tr>
</thead>
<tbody>
<tr>
<td>0100001010</td>
<td>R</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1100100111</td>
<td>R</td>
<td>Miss</td>
<td>Miss</td>
</tr>
<tr>
<td>1110101000</td>
<td>R</td>
<td>Miss</td>
<td></td>
</tr>
<tr>
<td>0011000101</td>
<td>R</td>
<td></td>
<td>Miss</td>
</tr>
<tr>
<td>0110111100</td>
<td>R</td>
<td></td>
<td>Miss</td>
</tr>
<tr>
<td>1010110101</td>
<td>R</td>
<td>Miss</td>
<td></td>
</tr>
<tr>
<td>1100100000</td>
<td>R</td>
<td>Miss</td>
<td></td>
</tr>
<tr>
<td>0100001111</td>
<td>R</td>
<td></td>
<td></td>
</tr>
<tr>
<td>0101111111</td>
<td>W</td>
<td>Miss</td>
<td>Miss</td>
</tr>
<tr>
<td>0110110100</td>
<td>R</td>
<td></td>
<td>Hit</td>
</tr>
<tr>
<td>0110100101</td>
<td>R</td>
<td></td>
<td>Miss</td>
</tr>
</tbody>
</table>

Part d (4 points): What is N?

Part e (4 points): What is the number of index bits for the N-Way set associative cache?

Part f (4 points): Is this a write-allocate cache?

Part g (10 points; 1 point per blank): Please complete the second table above by filling in "Hit" or "Miss" for each of the blank entries. “I Don’t Know” is accepted on a per blank basis.
Problem 4 (30 points)

We wish to enhance the x86 ISA by adding a new instruction. The new instruction is called STI, “Store Indirect”, and its format is:

\[ \text{STI Ra,Rb,Offset} \]

The opcode of \text{STI} is 1010, and its binary encoding is (2-Byte long):

<table>
<thead>
<tr>
<th>Opcode &lt;4-bit&gt;</th>
<th>Ra &lt;3-bit&gt;</th>
<th>Rb &lt;3-bit&gt;</th>
<th>Offset &lt;6-bit&gt;</th>
</tr>
</thead>
<tbody>
<tr>
<td>0100</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

\text{STI} operates as follows: We compute a virtual address (call it \( A \)) by adding the sign-extended Offset to the contents of register \( Rb \). The memory location specified by \( A \) contains the virtual address \( B \). We wish to store the contents of register \( Ra \) into the address specified by \( B \).

The processor has a simple one-level virtual memory system. There is also a 2-entry TLB. You are given the following information:

- Virtual Address Space: 64 KB
- Physical Memory Size: 4 KB
- PTE Size: 2 Bytes
- The format of a PTE is shown below. The MSB is the valid bit, and the lower several bits are for the physical page number (PPN). Note that the exact number of bits for PPN is for you to determine. The rest bits are always padded with 0.

<table>
<thead>
<tr>
<th>Valid &lt;1-bit&gt;</th>
<th>0...0</th>
<th>Physical Page Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td></td>
<td>0x0000</td>
</tr>
<tr>
<td>0</td>
<td></td>
<td>0x0001</td>
</tr>
<tr>
<td>0</td>
<td></td>
<td>0x1000</td>
</tr>
<tr>
<td>0</td>
<td></td>
<td>0x1001</td>
</tr>
</tbody>
</table>

- \( %eax: 0x8000 \)
- \( %ebx: 0x401E \)
- Program Counter (\( %eip \)): 0x3048

The TLB state before any instructions related to this problem are executed:

<table>
<thead>
<tr>
<th>Valid</th>
<th>Virtual Page Number (VPN)</th>
<th>PTE</th>
<th>Valid</th>
<th>Physical Page Number (PPN)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>0x0C1</td>
<td></td>
<td>1</td>
<td>0x01A</td>
</tr>
<tr>
<td>1</td>
<td>0x182</td>
<td></td>
<td>1</td>
<td>0x024</td>
</tr>
</tbody>
</table>
**Part a (2 points):** In this particular TLB, the Valid bit in the first column and the Valid bit in the third column are the same in both TLB entries. In general, is it possible that these two valid bits have different values?

**Part b (6 points):** What is binary encoding for \texttt{STI \%eax, \%ebx, 0}? Assume that \%eax is encoded as 0 and \%ebx is encoded as 1.

**Part c (4 points):** To process the \texttt{STI} instruction, one must go through the Fetch, Decode, etc. instruction cycle. What is the maximum number of physical addresses that can be accessed in processing an \texttt{STI} instruction?

Hints:
1. Instruction fetch is the necessary first step in processing any instruction
2. In the one-level virtual memory system, the page table lives in the physical memory

**Part d (18 points):** Now the processor executes \texttt{STI \%eax, \%ebx, 0}. It turned out that five physical memory accesses were needed. The table below shows the Virtual Address (VA), Physical Address (PA), Data, and whether or not there was a TLB hit for each of these five physical memory accesses in the order they occurred. Some of the blanks are intentionally left for you to fill in.

<table>
<thead>
<tr>
<th>Virtual Address</th>
<th>Physical Address</th>
<th>Data</th>
<th>TLB Hit?</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>N/A</td>
<td>0x660</td>
<td>0x8040</td>
<td>No</td>
</tr>
<tr>
<td></td>
<td></td>
<td>0x40FE</td>
<td>No</td>
</tr>
<tr>
<td>N/A</td>
<td></td>
<td></td>
<td>No</td>
</tr>
<tr>
<td></td>
<td>0x1DE</td>
<td></td>
<td>No</td>
</tr>
</tbody>
</table>

Complete the table and fill in the following three boxes. You can assume that no page faults occurred.
Hints:
1. What does the first TLB hit mean?
2. Recall how to use PTBR and VPN to access the page table.
3. Use the first and last accesses to figure out the page size first. Everything else will follow.

(3 points): What is the page size?

(3 points): What is the total number of physical pages?

(3 points): What is the data in the page table base register (PTBR)?

(9 points; 1 point per blank): Please complete the table above. “I Don’t Know” is accepted on a per blank basis.
Problem 5 (15 points)

A programmer writes the following two C code segments. She wants to run them concurrently on a multicore processor, called SC, using two different threads, each of which will run on a different core.

Thread T1
\[
a = X[0]; \\
b = a + Y[0]; \\
while(*flag == 0); \\
Y[0] += 1;
\]

Thread T2
\[
Y[0] = 1; \\
*flag = 1; \\
X[1] *= 2; \\
a = 0;
\]

X, Y, and flag have been allocated in main memory, while a and b are contained in the processor registers. A read or write to any of these variables generates a single memory request. The initial values of all memory locations and variables are 0. Assume each line of the C code segment of each thread translates to a single machine instruction.

Part a (5 points): Both threads have a variable a. Are they referring to the same variable?

Part b (5 points): What are the possible final value(s) of \( Y[0] \) after both threads finish execution? Consider all the possible thread interleavings.

Part c (5 points): What are the possible final value(s) of b after both threads finish execution? Consider all the possible thread interleavings.